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U.S. DISTRICT COURT

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*Attorneys for Court-Appointed Receiver R. Wayne Klein*

**UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH  
CENTRAL DIVISION**

<p>SECURITIES AND EXCHANGE COMMISSION,</p> <p style="text-align: right;">Plaintiff,</p> <p style="text-align: center;">v.</p> <p>NATIONAL NOTE OF UTAH, LC, a Utah Limited Liability Company and WAYNE LaMAR PALMER, and individual,</p> <p style="text-align: right;">Defendants.</p>	<p><b>ORDER APPROVING SIXTH INTERIM FEE APPLICATION FOR RECEIVER AND RECEIVER'S PROFESSIONALS FOR SERVICES RENDERED FROM JULY 1, 2015 THROUGH SEPTEMBER 30, 2015, AS MODIFIED</b></p> <p style="text-align: right;">Case No.: 2:12-cv-00591 BSJ</p> <p style="text-align: right;">The Honorable Bruce S. Jenkins</p>
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The matter before the Court is the *Sixth Interim Fee Application for Receiver and Receiver's Professionals for Services Rendered From July 1, 2015 Through September 30, 2015* (the "Fee Application") filed by R. Wayne Klein, the Court-appointed Receiver (the "Receiver"), seeking the allowance of fees and expenses incurred by the Receiver and his forensic accountants, Klein & Associates, PLLC, and his legal counsel, Dorsey & Whitney LLP,

as a cost of administering the Receivership Estate for the period of July 1, 2015 through September 30, 2015 (the “Application Period”) on an interim basis. No objections to the Fee Application have been filed.

A hearing on the Fee Application was conducted by the Court on February 25, 2016. Peggy Hunt of Dorsey & Whitney LLP, appeared on behalf of the Receiver, and the Receiver was present as well. Amy J. Oliver appeared on behalf of the Securities and Exchange Commission. Representations by the Receiver and his counsel were made on the record. As part of these representations, Dorsey & Whitney LLP agreed to reduce the amount of its Fee Application in the total amount of \$1,191.00 to omit fees requested to prepare a fee application for one of the Receiver’s professionals in the total amount of \$1,083.00 and for time billed related to a phone conversations with chambers in the total amount of \$108.00.

The Court has reviewed the Fee Application, all Exhibits thereto, the record in this case, the representations of the Receiver and counsel made on the record, the modifications made to the Fee Application of Dorsey & Whitney LLP as stated on the record and reflected herein, and applicable law. The fees and expenses requested for the Application Period are reasonable, necessary and beneficial. Based thereon, and for good cause appearing,

**IT IS HEREBY ORDERED** that:

- (1) The Fee Application is **APPROVED**;
- (2) The fees and expenses of the Receiver and his professionals Klein & Associates PLLC and Dorsey & Whitney LLP are **ALLOWED** on an interim basis as set forth in the Fee Application;

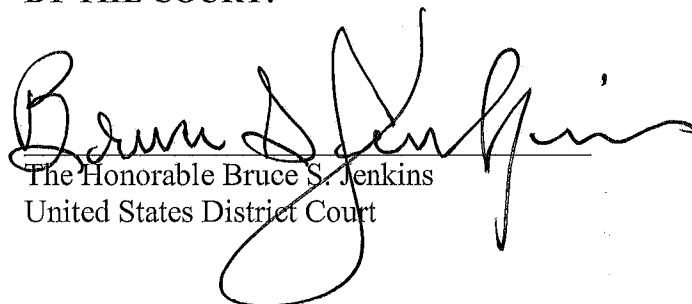
(3) Klein & Associates PLLC is **ALLOWED** a claim in the total amount of \$51,867.50 for fees;

(4) Dorsey & Whitney LLP is **ALLOWED** a claim in the total amount of \$65,240.00 for fees, which amount includes the reduction the firm agreed to at the hearing, and \$8,760.30 for reimbursement of out-of-pocket expenses; and

(5) The Receiver is **AUTHORIZED** to pay all fees and expenses as allowed herein.

DATED this 14 day of March, 2016.

**BY THE COURT:**

  
The Honorable Bruce S. Jenkins  
United States District Court