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*Attorneys for Court-Appointed Receiver R. Wayne Klein*

**UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH  
CENTRAL DIVISION**

<p>R. WAYNE KLEIN, as Receiver,</p> <p>Plaintiff,</p> <p>v.</p> <p>KENNETH PALMER and JOHN DOES 1-5,</p> <p>Defendants.</p>	<p><b>COMPLAINT</b></p> <p><b>(Ancillary to Case No. 2:12-cv-00591)</b></p> <p>Civil No. _____</p>
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R. Wayne Klein, the Court-Appointed Receiver (the “Receiver” or “Plaintiff”) of National Note of Utah, LC (“National Note”), its subsidiaries and affiliates (collectively, unless otherwise stated, National Note and all subsidiaries and affiliated entities are referred to herein as “NNU”), and the assets of Wayne LaMar Palmer (“Palmer”), in the case styled as *Securities and Exchange Commission v. National Note of Utah, LC et al.*, Case No. 2:12-cv-00591 (D. Utah) (Jenkins, J.) (the “SEC Civil Enforcement Case”), hereby files this Complaint against Kenneth Palmer (“Kenneth”) and John Does 1-5 (“Defendant Does” and together with Kenneth, “Defendant”), and states, alleges and avers as follows:

### **STATEMENT OF THE CASE**

1. NNU was operated as an enterprise with all of the characteristics of a Ponzi scheme through which money was solicited from investors.<sup>1</sup> Upon information and belief, Defendant was an NNU investor who received monies from NNU, and the Receiver seeks to avoid the transfers and/or recover the value of the transfers from Defendant for the benefit of the receivership estate established in the SEC Civil Enforcement Case discussed in greater detail below.

### **PARTIES**

2. Pursuant to an Order Appointing Receiver and Staying Litigation entered on June 25, 2012 in the SEC Civil Enforcement Case (the “Receivership Order”),<sup>2</sup> Plaintiff is the duly-appointed Receiver for National Note and Palmer “together with any and all subsidiaries and affiliated entities of National Note and Palmer. . . .”<sup>3</sup>

3. Upon information and belief, Defendant Kenneth is a resident of or is domiciled in the State of Utah.

4. Upon information and belief, Defendant Does are currently unknown parties who have received monies or property from NNU, or are persons to whom Kenneth has transferred monies or property received from NNU.

### **JURISDICTION AND VENUE**

5. Subject matter jurisdiction is proper in this Court pursuant to 28 U.S.C. §1367.

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<sup>1</sup> See SEC Civil Enforcement Case, Docket No. 1 (Complaint).

<sup>2</sup> SEC Civil Enforcement Case, Docket No. 9.

<sup>3</sup> *Id.* (Receivership Order, pp. 1-2).

6. The Court has personal jurisdiction over Defendant.
7. Venue is proper in this Court pursuant to 28 U.S.C. § 754.

## **FACTS**

### **The Ponzi Scheme**

8. Since at least 1994 until the commencement of the SEC Civil Enforcement Case, NNU raised capital by soliciting investors to purchase promissory notes, which typically promised to pay interest at a rate of interest above market rates.

9. Upon information and belief, investors understood that they were investing in an enterprise that, among other things, bought and sold mortgage notes, underwrote and made loans, or bought and sold real estate assets through National Note, or one of many affiliated entities subject to the Receivership Order, all of which are referred to herein collectively as “NNU.”

10. Typically, investment funds were deposited in a commingled bank account controlled by NNU. NNU would then transfer such investor funds to another bank account (the “Investor Account”).

11. Monies on deposit in the Investor Account were commingled, and transfers to investors by NNU were made from the commingled funds on deposit in that Investor Account.

12. At all times relevant hereto, NNU was insolvent.

### **Defendant’s Investment and the Transfers**

13. On or about 1998, Wayne Palmer created Pedigree Properties, LLC, which is an entity whose assets are subject to the Receivership Order, and thus, managed by the Receiver. On information and belief, Pedigree Properties was formed to manage and invest the proceeds (the “Proceeds”) of a sale of a farm that belonged Wayne Palmer’s father. The proceeds of that

sale were to be divided equally among the ten Palmer siblings, including Defendant.

14. Wayne Palmer invested each sibling's share of the Proceeds, through Pedigree Properties, in National Note. Defendant's share of the proceeds equaled \$43,700.00 (the "Pedigree Principal Cash Investment").

15. National Note then transferred interest and principal payments to Pedigree Properties, and Pedigree Properties then transferred these interest and principal payments to the Palmer siblings, including Defendant. National Note then distributed to Defendant, through Pedigree Properties, a total amount of \$46,932.00 (the "Pedigree Transfers").

16. On or about 2008, Defendant transferred his Pedigree Principal Cash Investment into a separate investment with NNU. A history of Defendant's separate investment is attached hereto as Exhibit A

17. As set forth on Exhibit A, NNU transferred a total of \$15,295.00 in cash to Defendant (the "Kenneth Transfers"). The Pedigree Transfers and the Kenneth Transfers are collectively referred to as the "*Total Transfers*". The Total Transfers equal \$62,227.00.

18. Of the Total Transfers, \$18,527.00 is an amount that is over and above Defendant's Principal Cash Investment (the "False Profit Transfers") (collectively, the Total Transfers and the False Profit Transfers are the "Transfers").<sup>4</sup>

#### **The SEC Civil Case and the Receiver's Appointment**

19. On June 25, 2012, the SEC Civil Enforcement Case was filed, alleging that NNU is a Ponzi scheme, and seeking, among other things, orders (a) restraining and enjoining NNU and Palmer from continuing to violate federal securities laws, (b) freezing assets and prohibiting

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<sup>4</sup> See Exh. A.

NNU from transferring, changing, wasting, dissipating, converting, concealing, or otherwise disposing of assets, (c) prohibiting NNU from destroying, mutilating, concealing, transferring, altering, or otherwise disposing of NNU's books and records, (d) imposing civil money penalties against NNU and Palmer, and (e) requiring the disgorgement by NNU and Palmer of all ill-gotten gains received by them pursuant to the scheme.<sup>5</sup>

20. Also on June 25, 2012, as a result of the filing of the SEC Civil Enforcement Action, the Court entered a Temporary Restraining Order and Order to Show Cause against the defendants<sup>6</sup> and the Receivership Order appointing the Receiver.<sup>7</sup> Since that time, both National Note and Palmer have stipulated to a Preliminary Injunction Order that prohibits National Note and Palmer from committing any further acts in furtherance of the Ponzi scheme and that prohibits National Note and Palmer from withdrawing, transferring, selling, buying, pledging, encumbering, assigning, dissipating, concealing, or otherwise disposing of any of their assets.<sup>8</sup>

21. On or about May 21, 2013, the Court entered an Order authorizing the Receiver to commence legal proceedings for the benefit of and on behalf of the receivership estate.<sup>9</sup>

### **FIRST CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8)*

22. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

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<sup>5</sup> SEC Civil Enforcement Case, Docket No. 1 (Complaint).

<sup>6</sup> *Id.*, Docket No. 7.

<sup>7</sup> *Id.*, Docket No. 9.

<sup>8</sup> *Id.*, Docket Nos. 45 and 46.

<sup>9</sup> *Id.*, Docket No. 315.

23. NNU was engaged in an enterprise with all of the characteristics of a Ponzi scheme.

24. NNU made the False Profit Transfers to Defendant in furtherance of the Ponzi scheme.

25. At all relevant times hereto, NNU had at least one creditor.

26. The False Profit Transfers were made and any obligations to Defendant incurred with actual intent to hinder, delay or defraud a creditor of NNU.

27. Pursuant to Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8, the Receiver may avoid and recover the False Profit Transfers to Defendant.

**SECOND CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8)*

28. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

29. NNU was engaged in an enterprise that has all of the characteristics of a Ponzi scheme.

30. NNU made the False Profit Transfers to Defendant in furtherance of the Ponzi scheme.

31. At all relevant times hereto, NNU had at least one creditor.

32. The False Profit Transfers were made or the obligations to Defendant were incurred by NNU without receiving a reasonably equivalent value in exchange for the False Profit Transfers or obligations.

33. At the time the False Profit Transfers were made, NNU (a) was engaged or was

about to be engaged in a business or transaction for which the remaining assets of NNU were unreasonably small in relation to the business or transaction; or (b) intended to incur, or believed or reasonably should have believed that it would incur, debts beyond its ability to pay as such debts became due.

34. Pursuant to Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8, the Receiver may avoid and recover the False Profit Transfers to Defendant.

**THIRD CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-6(1) and 25-6-8)*

35. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

36. NNU was engaged in a Ponzi scheme.

37. NNU made the False Profit Transfers to Defendant in furtherance of the Ponzi scheme

38. NNU had at least one creditor at the time that the False Profit Transfers were made or the obligation to Defendant was incurred.

39. The False Profit Transfers were made or the obligation to Defendant was incurred by NNU without NNU receiving a reasonably equivalent value in exchange for the False Profit Transfer or obligation.

40. NNU was insolvent at the time the False Profit Transfers were made or the obligation was incurred, or became insolvent as a result of the False Profit Transfers or the obligation incurred.

41. Pursuant to Utah Code Ann. §§ 25-6-6(1) and 25-6-8, the Receiver may avoid and

recover the False Profit Transfers to Defendant.

**FOURTH CLAIM FOR RELIEF**  
*(Constructive Trust)*

42. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

43. The False Profit Transfers to Defendant were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

44. Allowing Defendant to retain the False Profit Transfers would unjustly enrich Defendant and would be inequitable.

45. The False Profit Transfers can be traced to wrongful behavior.

46. An injustice would result if Defendant was allowed to keep the False Profit Transfers.

47. A constructive trust for the benefit of the receivership estate must be imposed for the benefit of the receivership estate in the amount of the False Profit Transfers made by NNU to Defendant.

**FIFTH CLAIM FOR RELIEF**  
*(Unjust Enrichment)*

48. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

49. The False Profit Transfers to Defendant were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

50. The False Profit Transfers conferred a benefit upon Defendant.

51. The Defendant knowingly benefitted from the False Profit Transfers.



52. Allowing Defendant to retain the False Profit Transfers would unjustly enrich Defendant and would be inequitable.

53. Absent return of the False Profit Transfers, the receivership estate will be damaged by Defendant's unjust enrichment and may have no adequate remedy at law.

54. Defendant must disgorge the amount of the False Profit Transfers.

**SIXTH CLAIM FOR RELIEF**  
*(Disgorgement)*

55. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

56. The False Profit Transfers were made as part of and in furtherance of a Ponzi scheme.

57. The False Profit Transfers were ill-gotten by Defendant.

58. Defendant has no claim to the False Profit Transfers made by NNU, or derivatively, from NNU's investors.

59. All False Profit Transfers made to Defendant should be disgorged to the Receiver for the benefit of the receivership estate.

**PRAYER FOR RELIEF**

WHEREFORE, the Receiver prays for Judgment against Defendant as follows:

A. Pursuant to the Receiver's First Claim for Relief, judgment against Defendant avoiding the False Profit Transfers under Utah Code Ann. §§ 25-6-5(a)(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the False Profit Transfers in the total amount of \$18,527.00.

B. Pursuant to the Receiver's Second Claim for Relief, judgment against Defendant avoiding the False Profit Transfers under Utah Code Ann. §§ 25-6-5(a)(2) and 25-6-8, and permitting Plaintiff's recovery of the value of the False Profit Transfers in the total amount of \$18,527.00.

C. Pursuant to the Receiver's Third Claim for Relief, judgment against Defendant avoiding the False Profit Transfers under Utah Code Ann. §§ 25-6-6(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the False Profit Transfers in the total amount of \$18,527.00.

D. Pursuant to the Receiver's Fourth Claim for Relief, judgment against Defendant imposing a constructive trust for the benefit of the receivership estate on all False Profit Transfers.

E. Pursuant to the Receiver's Fifth Claim for Relief, judgment against Defendant for unjust enrichment, and requiring Defendant to disgorge the False Profit Transfers in the total amount of \$18,527.00.

F. Pursuant to the Receiver's Sixth Claim for Relief, entry of an Order requiring Defendant to disgorge the False Profit Transfers in the total amount of \$18,527.00.

G. Judgment for pre-judgment interest, costs, and fees, including reasonable attorney's fees, as may be allowed by law.

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H. For such other and further relief as the Court deems just and proper.

DATED this 24<sup>th</sup> day of June, 2013.

**DORSEY & WHITNEY LLP**

/s/ Peggy Hunt

Peggy Hunt

Chris Martinez

Jeffrey M. Armington

*Attorneys for Receiver*



**Investment Pay History**

Reference

P98-1101-PY 1NNU

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Printed 06/17/13

Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		
							Escrow	Late	Svc
Report Total:		56760.00	0.00	5160.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 5160.00

## Investment Pay History

## Reference

PP98-1201-PY 1NNU  
 Printed 06/17/13 Period of 01/01/90 - 12/31/12

## Additional information

Regular pmt:	577.00	Int rate:	12.000 12.000	Acct closed:	09/01/99	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	12/01/98
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	12/01/04
Total pmt:	577.00	NSF fee:	0.00				
Ord/Day/LFee: 2/15	15.40						
Total if late:	592.40						

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
12/01/98		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
12/01/98	P	-57700.00	-57700.00	0.00	0.00	57700.00	0.00	0.00	0.00
			FROMSUSP						
Annual Total:		0.00	-57700.00	0.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/04/99	01/01/99 R	577.00	0.00	577.00	0.00	57700.00	0.00	0.00	0.00
			6853						
02/01/99	02/01/99 R	577.00	0.00	577.00	0.00	57700.00	0.00	0.00	0.00
			6916						
03/01/99	03/01/99 R	577.00	0.00	577.00	0.00	57700.00	0.00	0.00	0.00
			6973						
03/30/99	04/01/99 R	577.00	0.00	577.00	0.00	57700.00	0.00	0.00	0.00
			7044						
04/29/99	05/01/99 R	577.00	0.00	577.00	0.00	57700.00	0.00	0.00	0.00
			7098						
06/01/99	06/01/99 R	577.00	0.00	577.00	0.00	57700.00	0.00	0.00	0.00
			7155						
07/01/99	07/01/99 R	577.00	0.00	577.00	0.00	57700.00	0.00	0.00	0.00
			7217						
08/02/99	08/01/99 R	577.00	0.00	577.00	0.00	57700.00	0.00	0.00	0.00
			7272						
09/01/99	09/01/99 R	577.00	0.00	577.00	0.00	57700.00	0.00	0.00	0.00
			7333						
09/01/99	Y	57700.00	57700.00	0.00	0.00	0.00	0.00	0.00	0.00
			PO2SUSP						
Annual Total:		62893.00	57700.00	5193.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		62893.00	0.00	5193.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 5193.00

## Investment Pay History

## Reference

PP98-1102-PY NNU  
 Printed 06/17/13 Period of 01/01/90 - 12/31/12

## Additional information

Regular pmt:	2870.00	Int rate:	12.000 12.000	Acct closed:	11/01/08	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	11/01/98
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	11/01/08
Total pmt:	2870.00	NSF fee:	0.00			Balloon:	287000.00
Ord/Day/LFee: 2/15	57.40	Reminder:	11/01/08				
Total if late:	2927.40	MATURITY DATE					

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance ----- Escrow Late Svc
11/01/98		0.00	0.00	0.00	0.00	0.00	0.00 0.00 0.00
11/01/98	P	-139721.70	-139721.70 ADD2PRIN	0.00	0.00	139721.69	0.00 0.00 0.00
11/01/98	P	-278.31	-278.31 ADD2PRIN	0.00	0.00	140000.00	0.00 0.00 0.00
11/01/98	P	51600.00	51600.00 2RICHDRS	0.00	0.00	88400.00	0.00 0.00 0.00
11/30/98	12/01/98 R	884.00	0.00 6796	884.00	0.00	88400.00	0.00 0.00 0.00
12/01/98	P	57700.00	57700.00 2 BERGER	0.00	0.00	30700.00	0.00 0.00 0.00
Annual Total:		110184.00	-30700.01	884.00	0.00		0.00 0.00 0.00
Escrow Paid Out:							0.00
01/04/99	01/01/99 R	307.00	0.00 6853	307.00	0.00	30700.00	0.00 0.00 0.00
02/01/99	02/01/99 R	307.00	0.00 6916	0.01 306.99 AI	0.00	30700.00	0.00 0.00 0.00
02/01/99	P	0.00	0.00 6916	306.99	0.00	30700.00	0.00 0.00 0.00
03/01/99	03/01/99 R	307.00	0.00 6973	0.01 306.99 AI	0.00	30700.00	0.00 0.00 0.00
03/01/99	P	0.00	0.00 6973	306.99	0.00	30700.00	0.00 0.00 0.00
03/30/99	04/01/99 R	307.00	0.00 7044	0.01 306.99 AI	0.00	30700.00	0.00 0.00 0.00
03/30/99	P	0.00	0.00 7044	306.99	0.00	30700.00	0.00 0.00 0.00
04/29/99	05/01/99 R	307.00	0.00 7098	0.01 306.99 AI	0.00	30700.00	0.00 0.00 0.00
04/29/99	P	0.00	0.00 7098	306.99	0.00	30700.00	0.00 0.00 0.00
06/01/99	06/01/99 R	307.00	0.00 7155	0.01 306.99 AI	0.00	30700.00	0.00 0.00 0.00
06/01/99	P	0.00	0.00 7155	306.99	0.00	30700.00	0.00 0.00 0.00

## Investment Pay History

Reference

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Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
07/01/99	07/01/99 R	307.00	0.00	0.01	0.00	30700.00	0.00	0.00	0.00
			7217	306.99 AI					
07/01/99	P	0.00	0.00	306.99	0.00	30700.00	0.00	0.00	0.00
			7217						
08/02/99	08/01/99 R	307.00	0.00	0.01	0.00	30700.00	0.00	0.00	0.00
			7272	306.99 AI					
08/02/99	P	0.00	0.00	306.99	0.00	30700.00	0.00	0.00	0.00
			7272						
09/01/99	09/01/99 R	307.00	0.00	0.01	0.00	30700.00	0.00	0.00	0.00
			7333	306.99 AI					
09/01/99	P	0.00	0.00	306.99	0.00	30700.00	0.00	0.00	0.00
			7333						
09/01/99	P	-51600.00	-51600.00	0.00	0.00	82300.00	0.00	0.00	0.00
			PORICHAR						
09/01/99	P	-57700.00	-57700.00	0.00	0.00	140000.00	0.00	0.00	0.00
			POBERGER						
10/01/99	10/01/99 R	1400.00	0.00	0.01	0.00	140000.00	0.00	0.00	0.00
			7397	1399.99 AI					
10/01/99	P	0.00	0.00	1399.99	0.00	140000.00	0.00	0.00	0.00
			7397						
11/01/99	11/01/99 R	1400.00	0.00	0.01	0.00	140000.00	0.00	0.00	0.00
			7463	1399.99 AI					
11/01/99	P	0.00	0.00	1399.99	0.00	140000.00	0.00	0.00	0.00
			7463						
11/01/99	P	-147000.00	-147000.00	0.00	0.00	287000.00	0.00	0.00	0.00
			ADD2PRIN						
11/30/99	12/01/99 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			7527	2869.99 AI					
11/30/99	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			7527						
Annual Total:		8433.00	-256300.00	8433.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/04/00	01/01/00 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			7594	2869.99 AI					
01/04/00	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			7594						
01/31/00	02/01/00 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			7658	2869.99 AI					
01/31/00	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			7658						
03/01/00	03/01/00 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			7726	2869.99 AI					
03/01/00	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			7726						
03/31/00	04/01/00 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			7794	2869.99 AI					
03/31/00	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			7794						
05/01/00	05/01/00 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			7861	2869.99 AI					



## Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
05/01/00	P	0.00	0.00 7861	2869.99	0.00	287000.00	0.00	0.00	0.00
06/01/00	06/01/00 R	2870.00	0.00 7936	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
06/01/00	P	0.00	0.00 7936	2869.99	0.00	287000.00	0.00	0.00	0.00
07/01/00	07/01/00 R	2870.00	0.00 7996	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
07/01/00	P	0.00	0.00 7996	2869.99	0.00	287000.00	0.00	0.00	0.00
07/28/00	08/01/00 R	2870.00	0.00 8067	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
07/28/00	P	0.00	0.00 8067	2869.99	0.00	287000.00	0.00	0.00	0.00
09/01/00	09/01/00 R	2870.00	0.00 8132	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
09/01/00	P	0.00	0.00 8132	2869.99	0.00	287000.00	0.00	0.00	0.00
09/29/00	10/01/00 R	2870.00	0.00 8199	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
09/29/00	P	0.00	0.00 8199	2869.99	0.00	287000.00	0.00	0.00	0.00
10/31/00	11/01/00 R	2870.00	0.00 8265	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
10/31/00	P	0.00	0.00 8265	2869.99	0.00	287000.00	0.00	0.00	0.00
11/30/00	12/01/00 R	2870.00	0.00 8334	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
11/30/00	P	0.00	0.00 8334	2869.99	0.00	287000.00	0.00	0.00	0.00
Annual Total:		34440.00	0.00	34440.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/01	01/01/01 R	2870.00	0.00 8404	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
01/01/01	P	0.00	0.00 8404	2869.99	0.00	287000.00	0.00	0.00	0.00
02/01/01	02/01/01 R	2870.00	0.00 8473	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
02/01/01	P	0.00	0.00 8473	2869.99	0.00	287000.00	0.00	0.00	0.00
03/01/01	03/01/01 R	2870.00	0.00 8544	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
03/01/01	P	0.00	0.00 8544	2869.99	0.00	287000.00	0.00	0.00	0.00
03/30/01	04/01/01 R	2870.00	0.00 8620	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00
03/30/01	P	0.00	0.00 8620	2869.99	0.00	287000.00	0.00	0.00	0.00
05/01/01	05/01/01 R	2870.00	0.00 8697	0.01 2869.99 AI	0.00	287000.00	0.00	0.00	0.00

## Investment Pay History

Reference

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 Printed 06/17/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
05/01/01	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			8697						
05/31/01	06/01/01 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			8778	2869.99 AI					
05/31/01	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			8778						
06/29/01	07/01/01 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			8862	2869.99 AI					
06/29/01	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			8862						
07/30/01	08/01/01 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			8944	2869.99 AI					
07/30/01	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			8944						
08/31/01	09/01/01 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			9030	2869.99 AI					
08/31/01	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			9030						
10/01/01	10/01/01 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			9113	2869.99 AI					
10/01/01	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			9113						
11/01/01	11/01/01 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			9200	2869.99 AI					
11/01/01	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			9200						
11/29/01	12/01/01 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			9282	2869.99 AI					
11/29/01	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			9282						
Annual Total:		34440.00	0.00	34440.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/02	01/01/02 R	2870.00	0.00	0.01	0.00	287000.00	0.00	0.00	0.00
			9368	2869.99 AI					
01/01/02	P	0.00	0.00	2869.99	0.00	287000.00	0.00	0.00	0.00
			9368						
02/01/02	02/01/02 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			9453						
02/28/02	03/01/02 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			9542						
04/01/02	04/01/02 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			9623						
05/01/02	05/01/02 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			9707						
06/01/02	06/01/02 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			9792						
07/01/02	07/01/02 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			9876						
08/01/02	08/01/02 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			9967						

## Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance ----- Escrow Late	Svc
09/01/02	09/01/02 R	2870.00	0.00 10058	2870.00	0.00	287000.00	0.00 0.00	0.00
10/01/02	10/01/02 R	2870.00	0.00 10151	2870.00	0.00	287000.00	0.00 0.00	0.00
11/01/02	11/01/02 R	2870.00	0.00 10246	2870.00	0.00	287000.00	0.00 0.00	0.00
12/01/02	12/01/02 R	2870.00	0.00 10334	2870.00	0.00	287000.00	0.00 0.00	0.00
Annual Total:		34440.00	0.00	34440.00	0.00		0.00 0.00	0.00
Escrow Paid Out:							0.00	
01/01/03	01/01/03 R	2870.00	0.00 10429	2870.00	0.00	287000.00	0.00 0.00	0.00
02/01/03	02/01/03 R	2870.00	0.00 10517	2870.00	0.00	287000.00	0.00 0.00	0.00
03/01/03	03/01/03 R	2870.00	0.00 10606	2870.00	0.00	287000.00	0.00 0.00	0.00
04/01/03	04/01/03 R	2870.00	0.00 10695	2870.00	0.00	287000.00	0.00 0.00	0.00
05/01/03	05/01/03 R	2870.00	0.00 10791	2870.00	0.00	287000.00	0.00 0.00	0.00
06/01/03	06/01/03 R	2870.00	0.00 10889	2870.00	0.00	287000.00	0.00 0.00	0.00
07/01/03	07/01/03 R	2870.00	0.00 10981	2870.00	0.00	287000.00	0.00 0.00	0.00
08/01/03	08/01/03 R	2870.00	0.00 11077	2870.00	0.00	287000.00	0.00 0.00	0.00
09/01/03	09/01/03 R	2870.00	0.00 11172	2870.00	0.00	287000.00	0.00 0.00	0.00
10/01/03	10/01/03 R	2870.00	0.00 11268	2870.00	0.00	287000.00	0.00 0.00	0.00
11/01/03	11/01/03 R	2870.00	0.00 11366	2870.00	0.00	287000.00	0.00 0.00	0.00
12/01/03	12/01/03 R	2870.00	0.00 11464	2870.00	0.00	287000.00	0.00 0.00	0.00
Annual Total:		34440.00	0.00	34440.00	0.00		0.00 0.00	0.00
Escrow Paid Out:							0.00	
01/01/04	01/01/04 R	2870.00	0.00 11561	2870.00	0.00	287000.00	0.00 0.00	0.00
02/01/04	02/01/04 R	2870.00	0.00 11657	2870.00	0.00	287000.00	0.00 0.00	0.00
03/01/04	03/01/04 R	2870.00	0.00 11762	2870.00	0.00	287000.00	0.00 0.00	0.00
04/01/04	04/01/04 R	2870.00	0.00 11867	2870.00	0.00	287000.00	0.00 0.00	0.00
05/01/04	05/01/04 R	2870.00	0.00 11990	2870.00	0.00	287000.00	0.00 0.00	0.00
06/01/04	06/01/04 R	2870.00	0.00 12095	2870.00	0.00	287000.00	0.00 0.00	0.00

## Investment Pay History

Reference

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 Printed 06/17/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
07/01/04	07/01/04 R	2870.00	0.00 12223	2870.00	0.00	287000.00	0.00	0.00	0.00
08/01/04	08/01/04 R	2870.00	0.00 12353	2870.00	0.00	287000.00	0.00	0.00	0.00
09/01/04	09/01/04 R	2870.00	0.00 12492	2870.00	0.00	287000.00	0.00	0.00	0.00
10/01/04	10/01/04 R	2870.00	0.00 12639	2870.00	0.00	287000.00	0.00	0.00	0.00
11/01/04	11/01/04 R	2870.00	0.00 12796	2870.00	0.00	287000.00	0.00	0.00	0.00
12/01/04	12/01/04 R	2870.00	0.00 12953	2870.00	0.00	287000.00	0.00	0.00	0.00
Annual Total:		34440.00	0.00	34440.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/05	01/01/05 R	2870.00	0.00 13124	2870.00	0.00	287000.00	0.00	0.00	0.00
02/01/05	02/01/05 R	2870.00	0.00 13293	2870.00	0.00	287000.00	0.00	0.00	0.00
03/01/05	03/01/05 R	2870.00	0.00 13471	2870.00	0.00	287000.00	0.00	0.00	0.00
04/01/05	04/01/05 R	2870.00	0.00 13670	2870.00	0.00	287000.00	0.00	0.00	0.00
05/01/05	05/01/05 R	2870.00	0.00 13876	2870.00	0.00	287000.00	0.00	0.00	0.00
06/01/05	06/01/05 R	2870.00	0.00 14095	2870.00	0.00	287000.00	0.00	0.00	0.00
07/01/05	07/01/05 R	2870.00	0.00 15338	2870.00	0.00	287000.00	0.00	0.00	0.00
08/01/05	08/01/05 R	2870.00	0.00 14595	2870.00	0.00	287000.00	0.00	0.00	0.00
09/01/05	09/01/05 R	2870.00	0.00 14857	2870.00	0.00	287000.00	0.00	0.00	0.00
10/01/05	10/01/05 R	2870.00	0.00 15133	2870.00	0.00	287000.00	0.00	0.00	0.00
11/01/05	11/01/05 R	2870.00	0.00 15419	2870.00	0.00	287000.00	0.00	0.00	0.00
12/01/05	12/01/05 R	2870.00	0.00 15721	2870.00	0.00	287000.00	0.00	0.00	0.00
Annual Total:		34440.00	0.00	34440.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/06	01/01/06 R	2870.00	0.00 16033	2870.00	0.00	287000.00	0.00	0.00	0.00
02/01/06	02/01/06 R	2870.00	0.00 16359	2870.00	0.00	287000.00	0.00	0.00	0.00
03/01/06	03/01/06 R	2870.00	0.00 16695	2870.00	0.00	287000.00	0.00	0.00	0.00
04/01/06	04/01/06 R	2870.00	0.00 17048	2870.00	0.00	287000.00	0.00	0.00	0.00

## Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance ----- Escrow Late	Svc
05/01/06	05/01/06 R	2870.00	0.00 17418	2870.00	0.00	287000.00	0.00 0.00	0.00
06/01/06	06/01/06 R	2870.00	0.00 17801	2870.00	0.00	287000.00	0.00 0.00	0.00
07/01/06	07/01/06 R	2870.00	0.00 18187	2870.00	0.00	287000.00	0.00 0.00	0.00
08/01/06	08/01/06 R	2870.00	0.00 18585	2870.00	0.00	287000.00	0.00 0.00	0.00
09/01/06	09/01/06 R	2870.00	0.00 18997	2870.00	0.00	287000.00	0.00 0.00	0.00
10/01/06	10/01/06 R	2870.00	0.00 19418	2870.00	0.00	287000.00	0.00 0.00	0.00
11/01/06	11/01/06 R	2870.00	0.00 19845	2870.00	0.00	287000.00	0.00 0.00	0.00
12/01/06	12/01/06 R	2870.00	0.00 20279	2870.00	0.00	287000.00	0.00 0.00	0.00
Annual Total:		34440.00	0.00	34440.00	0.00		0.00 0.00	0.00
Escrow Paid Out:							0.00	
01/01/07	01/01/07 R	2870.00	0.00 20720	2870.00	0.00	287000.00	0.00 0.00	0.00
02/01/07	02/01/07 R	2870.00	0.00 21167	2870.00	0.00	287000.00	0.00 0.00	0.00
03/01/07	03/01/07 R	2870.00	0.00 21627	2870.00	0.00	287000.00	0.00 0.00	0.00
04/01/07	04/01/07 R	2870.00	0.00 22090	2870.00	0.00	287000.00	0.00 0.00	0.00
05/01/07	05/01/07 R	2870.00	0.00 22567	2870.00	0.00	287000.00	0.00 0.00	0.00
06/01/07	06/01/07 R	2870.00	0.00 23028	2870.00	0.00	287000.00	0.00 0.00	0.00
07/01/07	07/01/07 R	2870.00	0.00 23506	2870.00	0.00	287000.00	0.00 0.00	0.00
08/01/07	08/01/07 R	2870.00	0.00 23985	2870.00	0.00	287000.00	0.00 0.00	0.00
09/01/07	09/01/07 R	2870.00	0.00 24469	2870.00	0.00	287000.00	0.00 0.00	0.00
10/01/07	10/01/07 R	2870.00	0.00 24971	2870.00	0.00	287000.00	0.00 0.00	0.00
11/01/07	11/01/07 R	2870.00	0.00 25471	2870.00	0.00	287000.00	0.00 0.00	0.00
12/01/07	12/01/07 R	2870.00	0.00 25983	2870.00	0.00	287000.00	0.00 0.00	0.00
Annual Total:		34440.00	0.00	34440.00	0.00		0.00 0.00	0.00
Escrow Paid Out:							0.00	
01/01/08	01/01/08 R	2870.00	0.00 26502	2870.00	0.00	287000.00	0.00 0.00	0.00
02/01/08	02/01/08 R	2870.00	0.00 27013	2870.00	0.00	287000.00	0.00 0.00	0.00

## Investment Pay History

Reference

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 Printed 06/17/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
03/01/08	03/01/08 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			27541						
04/01/08	04/01/08 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			28080						
05/01/08	05/01/08 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			28620						
06/01/08	06/01/08 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			29166						
07/01/08	07/01/08 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			29724						
08/01/08	08/01/08 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			30277						
09/01/08	09/01/08 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			30829						
10/01/08	10/01/08 R	2870.00	0.00	2870.00	0.00	287000.00	0.00	0.00	0.00
			31391						
11/01/08	11/01/08 R	0.00	0.00	0.00	0.00	287000.00	0.00	0.00	0.00
			4PAYOFF	2870.00 AI					
11/01/08	Y	289870.00	287000.00	2870.00	0.00	0.00	0.00	0.00	0.00
			4560						
Annual Total:		318570.00	287000.00	31570.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		712707.00	-0.01	316407.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 316407.00

## Investment Pay History

## Reference

PP01-0312-PY NNU  
 Printed 06/17/13 Period of 01/01/90 - 12/31/12

## Additional information

Regular pmt:	874.00	Int rate:	12.000 12.000	Rem pmts:	31/ 31	Orig bal:	150000.00
Escrow pmt:	0.00	Int calc:	Fixed	Per diem:	28.73	Orig date:	03/09/01
Service fee:	0.00	Pmt type:	1099 T USRule 365 M	Next due:	05/01/11	Maturity:	11/01/13
Total pmt:	874.00	NSF fee:	0.00	Arrears:	17829.60		
Ord/Day/LFee: 2/15	17.48	Reminder:	11/01/13				
Total if late:	891.48	MATURITY DATE					

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----	Escrow	Late	Svc
03/09/01		0.00	0.00	0.00	0.00	150000.00	0.00	0.00	0.00	0.00
03/30/01	05/01/01 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			8620	965.75 AI						
05/01/01	06/01/01 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			8697	965.75 AI						
05/31/01	07/01/01 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			8778	965.75 AI						
06/29/01	08/01/01 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			8862	965.75 AI						
07/30/01	09/01/01 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			8944	965.75 AI						
08/31/01	10/01/01 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9030	965.75 AI						
10/01/01	11/01/01 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9113	965.75 AI						
11/01/01	12/01/01 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9200	965.75 AI						
11/29/01	01/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9282	965.75 AI						
Annual Total:		13500.00	0.00	13500.00	0.00		0.00	0.00	0.00	0.00
Escrow Paid Out:							0.00			
01/01/02	02/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9368	965.75 AI						
02/01/02	03/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9453	965.75 AI						
02/28/02	04/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9542	965.75 AI						
04/01/02	05/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9623	965.75 AI						
05/01/02	06/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9707	965.75 AI						
06/01/02	07/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9792	965.75 AI						
07/01/02	08/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00	0.00
			9876	965.75 AI						



## Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
08/01/02	09/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			9967	965.75 AI					
09/01/02	10/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10058	965.75 AI					
10/01/02	11/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10151	965.75 AI					
11/01/02	12/01/02 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10246	965.75 AI					
12/01/02	01/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10334	965.75 AI					
Annual Total:		18000.00	0.00	18000.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/03	02/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10429	965.75 AI					
02/01/03	03/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10517	965.75 AI					
03/01/03	04/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10606	965.75 AI					
04/01/03	05/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10695	965.75 AI					
05/01/03	06/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10791	965.75 AI					
06/01/03	07/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10889	965.75 AI					
07/01/03	08/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			10981	965.75 AI					
08/01/03	09/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11077	965.75 AI					
09/01/03	10/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11172	965.75 AI					
10/01/03	11/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11268	965.75 AI					
11/01/03	12/01/03 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11366	965.75 AI					
12/01/03	01/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11464	965.75 AI					
Annual Total:		18000.00	0.00	18000.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/04	02/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11561	965.75 AI					
02/01/04	03/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11657	965.75 AI					
03/01/04	04/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11762	965.75 AI					
04/01/04	05/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11867	965.75 AI					
05/01/04	06/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			11990	965.75 AI					



## Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance ----- Escrow Late	Svc
06/01/04	07/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			12095	965.75 AI				
07/01/04	08/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			12223	965.75 AI				
08/01/04	09/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			12353	965.75 AI				
09/01/04	10/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			12492	965.75 AI				
10/01/04	11/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			12639	965.75 AI				
11/01/04	12/01/04 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			12796	965.75 AI				
12/01/04	01/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			12953	965.75 AI				
Annual Total:		18000.00	0.00	18000.00	0.00		0.00	0.00
Escrow Paid Out:							0.00	
01/01/05	02/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			13124	965.75 AI				
02/01/05	03/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			13293	965.75 AI				
03/01/05	04/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			13471	965.75 AI				
04/01/05	05/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			13670	965.75 AI				
05/01/05	06/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			13876	965.75 AI				
06/01/05	07/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			14095	965.75 AI				
07/01/05	08/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			15338	965.75 AI				
08/01/05	09/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			14595	965.75 AI				
09/01/05	10/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			14857	965.75 AI				
10/01/05	11/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			15133	965.75 AI				
11/01/05	12/01/05 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			15419	965.75 AI				
12/01/05	01/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			15721	965.75 AI				
Annual Total:		18000.00	0.00	18000.00	0.00		0.00	0.00
Escrow Paid Out:							0.00	
01/01/06	02/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			16033	965.75 AI				
02/01/06	03/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			16359	965.75 AI				
03/01/06	04/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00
			16695	965.75 AI				

## Investment Pay History

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Escrow	Payment/Balance Late	----- Svc
04/01/06	05/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			17048	965.75 AI					
05/01/06	06/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			17418	965.75 AI					
06/01/06	07/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			17801	965.75 AI					
07/01/06	08/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			18187	965.75 AI					
08/01/06	09/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			18585	965.75 AI					
09/01/06	10/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			18997	965.75 AI					
10/01/06	11/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			19418	965.75 AI					
11/01/06	12/01/06 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			19845	965.75 AI					
12/01/06	01/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			20279	965.75 AI					
Annual Total:		18000.00	0.00	18000.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/07	02/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			20720	965.75 AI					
02/01/07	03/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			21167	965.75 AI					
03/01/07	04/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			21627	965.75 AI					
04/01/07	05/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			22090	965.75 AI					
05/01/07	06/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			22567	965.75 AI					
06/01/07	07/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			23028	965.75 AI					
07/01/07	08/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			23506	965.75 AI					
08/01/07	09/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			23985	965.75 AI					
09/01/07	10/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			24469	965.75 AI					
10/01/07	11/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			24971	965.75 AI					
11/01/07	12/01/07 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			25471	965.75 AI					
12/01/07	01/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			25983	965.75 AI					
Annual Total:		18000.00	0.00	18000.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/08	02/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			26502	965.75 AI					

## Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
02/01/08	03/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			27013	965.75 AI					
03/01/08	04/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			27541	965.75 AI					
04/01/08	05/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			28080	965.75 AI					
05/01/08	06/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			28620	965.75 AI					
06/01/08	07/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			29166	965.75 AI					
07/01/08	08/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			29724	965.75 AI					
08/01/08	09/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			30277	965.75 AI					
09/01/08	10/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			30829	965.75 AI					
10/01/08	11/01/08 R	1500.00	0.00	1500.00	0.00	150000.00	0.00	0.00	0.00
			31391	965.75 AI					
11/01/08	Y	150965.75	150000.00	965.75	0.00	0.00	0.00	0.00	0.00
			4560						
11/01/08	P	-87400.00	-87400.00	0.00	0.00	87400.00	0.00	0.00	0.00
			1929						
12/01/08	12/01/08 R	862.03	0.00	862.03	0.00	87400.00	0.00	0.00	0.00
			32518						
Annual Total:		166827.78	62600.00	16827.78	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/09	01/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			33090						
02/01/09	02/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			33658						
03/01/09	03/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			34231						
04/01/09	04/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			34812						
05/01/09	05/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			35399						
06/01/09	06/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			35990						
07/01/09	07/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			36587						
08/01/09	08/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			37182						
09/01/09	09/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			37778						
10/01/09	10/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			38385						
11/01/09	11/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			38989						
12/01/09	12/01/09 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			38603						

## Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance ----- Escrow Late Svc		
Annual Total:		10488.00	0.00	10488.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/10	01/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			40232						
02/01/10	02/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			40852						
03/01/10	03/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			41489						
04/01/10	04/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			42122						
05/01/10	05/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			42755						
06/01/10	06/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			43396						
07/01/10	07/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			44030						
08/01/10	08/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			44670						
09/01/10	09/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			45323						
10/01/10	10/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			45985						
11/01/10	11/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			46653						
12/01/10	12/01/10 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			47333						
Annual Total:		10488.00	0.00	10488.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/11	01/01/11 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			48051						
02/01/11	02/01/11 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			48729						
03/01/11	03/01/11 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			49412						
04/01/11	04/01/11 R	874.00	0.00	874.00	0.00	87400.00	0.00	0.00	0.00
			50110						
Annual Total:		3496.00	0.00	3496.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		312799.78	62600.00	162799.78	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						87400.00	0.00	0.00	0.00

Taxable: 162799.78

## Investment Pay History

## Reference

KP08-1101-PY NNU  
 Printed 06/17/13 Period of 01/01/90 - 12/31/12

## Additional information

Regular pmt:	437.00	Int rate:	12.000 12.000	Rem pmts:	25/ 25	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:	Fixed	Per diem:	14.37	Orig date:	11/01/08
Service fee:	0.00	Pmt type:	1099 T USRule 365 M	Next due:	11/01/11	Maturity:	11/01/13
Total pmt:	437.00	NSF fee:	25.00	Arrears:	6240.36		
Ord/Day/LFee: 1/15	8.74	Reminder:	11/01/13				
Total if late:	445.74	MATURITY DATE					

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
11/01/08		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
11/01/08	P	-43700.00	-43700.00	0.00	0.00	43700.00	0.00	0.00	0.00
			1926						
12/01/08	12/01/08 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			32510						
Annual Total:		437.00	-43700.00	437.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/09	01/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			33082						
02/01/09	02/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			33650						
03/01/09	03/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			34223						
04/01/09	04/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			34803						
05/01/09	05/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			35391						
06/01/09	06/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			35982						
07/01/09	07/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			36579						
08/01/09	08/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			37174						
09/01/09	09/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			37770						
10/01/09	10/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			38377						
11/01/09	11/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			38981						
12/01/09	12/01/09 R	437.00	0.00	437.00	0.00	43700.00	0.00	0.00	0.00
			38595						
Annual Total:		5244.00	0.00	5244.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		

## Investment Pay History

Reference

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 Printed 06/17/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Escrow	Payment/Balance Late	----- Svc
01/01/10	01/01/10 R	437.00	0.00 40224	437.00	0.00	43700.00	0.00	0.00	0.00
02/01/10	02/01/10 R	437.00	0.00 40844	437.00	0.00	43700.00	0.00	0.00	0.00
03/01/10	03/01/10 R	437.00	0.00 41481	437.00	0.00	43700.00	0.00	0.00	0.00
04/01/10	04/01/10 R	437.00	0.00 42114	437.00	0.00	43700.00	0.00	0.00	0.00
05/01/10	05/01/10 R	437.00	0.00 42745	437.00	0.00	43700.00	0.00	0.00	0.00
06/01/10	06/01/10 R	437.00	0.00 43385	437.00	0.00	43700.00	0.00	0.00	0.00
07/01/10	07/01/10 R	437.00	0.00 44019	437.00	0.00	43700.00	0.00	0.00	0.00
08/01/10	08/01/10 R	437.00	0.00 44659	437.00	0.00	43700.00	0.00	0.00	0.00
09/01/10	09/01/10 R	437.00	0.00 45311	437.00	0.00	43700.00	0.00	0.00	0.00
10/01/10	10/01/10 R	437.00	0.00 45974	437.00	0.00	43700.00	0.00	0.00	0.00
11/01/10	11/01/10 R	437.00	0.00 46642	437.00	0.00	43700.00	0.00	0.00	0.00
12/01/10	12/01/10 R	437.00	0.00 47321	437.00	0.00	43700.00	0.00	0.00	0.00
Annual Total:		5244.00	0.00	5244.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/11	01/01/11 R	437.00	0.00 48040	437.00	0.00	43700.00	0.00	0.00	0.00
02/01/11	02/01/11 R	437.00	0.00 48717	437.00	0.00	43700.00	0.00	0.00	0.00
03/01/11	03/01/11 R	437.00	0.00 49399	437.00	0.00	43700.00	0.00	0.00	0.00
04/01/11	04/01/11 R	437.00	0.00 50097	437.00	0.00	43700.00	0.00	0.00	0.00
05/01/11	05/01/11 R	437.00	0.00 50788	437.00	0.00	43700.00	0.00	0.00	0.00
06/01/11	06/01/11 R	437.00	0.00 51489	437.00	0.00	43700.00	0.00	0.00	0.00
07/01/11	07/01/11 R	437.00	0.00 52197	437.00	0.00	43700.00	0.00	0.00	0.00
08/01/11	08/01/11 R	437.00	0.00 52911	437.00	0.00	43700.00	0.00	0.00	0.00
09/01/11	09/01/11 R	437.00	0.00 53631	437.00	0.00	43700.00	0.00	0.00	0.00
10/01/11	10/01/11 R	437.00	0.00 54355	437.00	0.00	43700.00	0.00	0.00	0.00
Annual Total:		4370.00	0.00	4370.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		

**Investment Pay History**

Reference

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Printed 06/17/13

Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		
							Escrow	Late	Svc
Report Total:		15295.00	-43700.00	15295.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						43700.00	0.00	0.00	0.00

Taxable: 15295.00



JS 44 (Rev. 12/12)

## CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

**I. (a) PLAINTIFFS**  
R. Wayne Klein, as Receiver

**DEFENDANTS**  
KENNETH PALMER and JOHN DOES 1-5,

(b) County of Residence of First Listed Plaintiff Salt Lake  
(EXCEPT IN U.S. PLAINTIFF CASES)

County of Residence of First Listed Defendant Salt Lake  
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

(c) Attorneys (Firm Name, Address, and Telephone Number)  
Peggy Hunt  
Dorsey & Whitney, LLP  
136 South Main Street #1000, SLC, UT 84101; 801-933-7360

Attorneys (If Known)

**II. BASIS OF JURISDICTION** (Place an "X" in One Box Only)

- ☐ 1 U.S. Government Plaintiff  
☒ 3 Federal Question (U.S. Government Not a Party)  
☐ 2 U.S. Government Defendant  
☐ 4 Diversity (Indicate Citizenship of Parties in Item III)

**III. CITIZENSHIP OF PRINCIPAL PARTIES** (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- |   | PTF                        | DEF                        |   | PTF                        | DEF                        |
|---|----------------------------|----------------------------|---|----------------------------|----------------------------|
| Citizen of This State                   | <input type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State     | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State                | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation  | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

**IV. NATURE OF SUIT** (Place an "X" in One Box Only)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input checked="" type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	<b>PERSONAL INJURY</b> <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	<b>PERSONAL INJURY</b> <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability <b>PERSONAL PROPERTY</b> <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other <b>LABOR</b> <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act <b>IMMIGRATION</b> <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 <b>PROPERTY RIGHTS</b> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark <b>SOCIAL SECURITY</b> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) <b>FEDERAL TAX SUITS</b> <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes
<b>REAL PROPERTY</b> <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	<b>CIVIL RIGHTS</b> <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 448 Education	<b>PRISONER PETITIONS</b> <b>Habeas Corpus:</b> <input type="checkbox"/> 463 Alien Detainee <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty <b>Other:</b> <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <input type="checkbox"/> 560 Civil Detainee - Conditions of Confinement			

**V. ORIGIN** (Place an "X" in One Box Only)

- ☒ 1 Original Proceeding  
☐ 2 Removed from State Court  
☐ 3 Remanded from Appellate Court  
☐ 4 Reinstated or Reopened  
☐ 5 Transferred from Another District (specify)  
☐ 6 Multidistrict Litigation

**VI. CAUSE OF ACTION**

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):  
28 U.S.C. § 754

Brief description of cause:

Recovery of the value of transfers from Defendant for the benefit of the receivership estate.

**VII. REQUESTED IN COMPLAINT:**

☐ CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P.

DEMAND \$  
18,527.00

CHECK YES only if demanded in complaint:  
JURY DEMAND: ☐ Yes ☒ No

**VIII. RELATED CASE(S) IF ANY**

(See instructions):

JUDGE Jenkins

DOCKET NUMBER 2:12-cv-00591

DATE

06/24/13

SIGNATURE OF ATTORNEY OF RECORD

FOR OFFICE USE ONLY

RECEIPT #

AMOUNT

APPLYING IFP

JUDGE

MAG. JUDGE