

Peggy Hunt (Utah State Bar No. 6060)
Chris Martinez (Utah State Bar No. 11152)
Jeffrey M. Armington (Utah State Bar No. 14050)

DORSEY & WHITNEY LLP

136 South Main Street, Suite 1000

Salt Lake City, UT 84101-1685

Telephone: (801) 933-7360

Facsimile: (801) 933-7373

Email: hunt.peggy@dorsey.com

martinez.chris@dorsey.com

armington.jeff@dorsey.com

Attorneys for Court-Appointed Receiver R. Wayne Klein

**UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH
CENTRAL DIVISION**

R. WAYNE KLEIN, as Receiver, Plaintiff, v. CARLA WHITEHOUSE and JOHN DOES 1- 5, Defendant.	COMPLAINT (Ancillary to Case No. 2:12-cv-00591) Civil No. _____
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R. Wayne Klein, the Court-Appointed Receiver (the “Receiver” or “Plaintiff”) of National Note of Utah, LC (“National Note”), its subsidiaries and affiliates (collectively, unless otherwise stated, National Note and all subsidiaries and affiliated entities are referred to herein as “NNU”), and the assets of Wayne LaMar Palmer (“Palmer”), in the case styled as *Securities and Exchange Commission v. National Note of Utah, LC et al.*, Case No. 2:12-cv-00591 (D. Utah) (Jenkins, J.) (the “SEC Civil Enforcement Case”), hereby files this Complaint against Carla Whitehouse (“Whitehouse”) and John Does 1-5 (“Defendant Does” and together with Whitehouse, “Defendant”), and states, alleges and avers as follows:

STATEMENT OF THE CASE

1. NNU was operated as an enterprise with all of the characteristics of a Ponzi scheme through which money was solicited from investors.¹ Upon information and belief, Defendant was an NNU investor who received monies from NNU, and the Receiver seeks to avoid the transfers and/or recover the value of the transfers from Defendant for the benefit of the receivership estate established in the SEC Civil Enforcement Case discussed in greater detail below. Additionally, the Receiver seeks a declaration that Defendant has no valid interest in real property of the Receivership Estate.

PARTIES

2. Pursuant to an Order Appointing Receiver and Staying Litigation entered on June 25, 2012 in the SEC Civil Enforcement Case (the “Receivership Order”),² Plaintiff is the duly-appointed Receiver for National Note and Palmer “together with any and all subsidiaries and affiliated entities of National Note and Palmer. . . .”³

3. Upon information and belief, Defendant Whitehouse is a resident of or is domiciled in in the State of Utah.

4. Upon information and belief, Defendant Does are currently unknown parties who have received monies or property from NNU, or are persons to whom Whitehouse has transferred monies or property received from NNU.

¹ See SEC Civil Enforcement Case, Docket No. 1 (Complaint).

² SEC Civil Enforcement Case, Docket No. 9.

³ *Id.* (Receivership Order, pp. 1-2).

JURISDICTION AND VENUE

5. Subject matter jurisdiction is proper in this Court pursuant to 28 U.S.C. §1367.
6. The Court has personal jurisdiction over Defendant.
7. Venue is proper in this Court pursuant to 28 U.S.C. § 754.

FACTS

The Ponzi Scheme

8. Since at least 1994 until the commencement of the SEC Civil Enforcement Case, NNU raised capital by soliciting investors to purchase promissory notes, which typically promised to pay interest at a rate of interest above market rates.

9. Upon information and belief, investors understood that they were investing in an enterprise that, among other things, bought and sold mortgage notes, underwrote and made loans, or bought and sold real estate assets through National Note, or one of many affiliated entities subject to the Receivership Order, all of which are referred to herein collectively as “NNU.”

10. Typically, investment funds were deposited in a commingled bank account controlled by NNU. NNU would then transfer such investor funds to another bank account (the “Investor Account”).

11. Monies on deposit in the Investor Account were commingled, and transfers to investors by NNU were made from the commingled funds on deposit in that Investor Account.

12. At all times relevant hereto, NNU was insolvent.

Defendant’s Investment and the Transfers

13. On or about 1995, Defendant commenced investing with NNU.

14. Defendant paid NNU cash in the total amount of \$39,000.00 through a series of

transactions from about 1995 through 2003 (the “Principal Cash Investment”).

15. NNU transferred a total of \$53,592.85 in cash to Defendant (the “Total Transfers”).

16. Of the Total Transfers, \$14,592.85 is an amount that is over and above Defendant’s Principal Cash Investment (the “False Profit Transfers”) (collectively, the Total Transfers and the False Profit Transfers are the “Transfers”).⁴

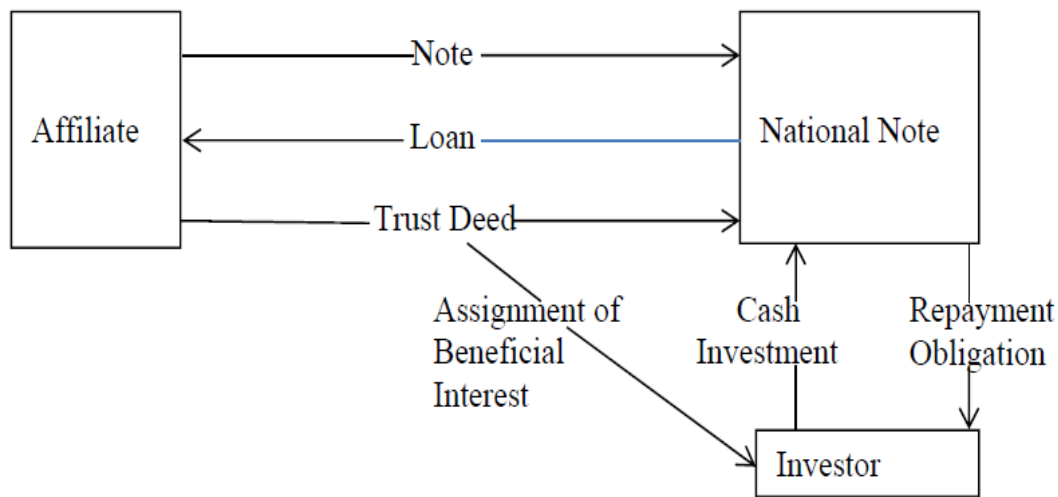
National Note’s Investment Scheme

17. National Note represented to investors that their investment in National Note would be secured by real property. National Note did not own real property sufficient to secure these investments. Accordingly, National Note devised a scheme pursuant to which National Note would purport to grant security to investors, when in truth, National Note would take investors’ money and give them no security in return. National Note’s scheme was as follows.

18. First, National Note would lend money to an affiliated entity (the “Affiliate”). The Affiliate would execute a promissory note, pursuant to which it agreed to repay the loan to National Note (the “Affiliate Note”). The Affiliate Note would then be secured by a Trust Deed executed by the Affiliate in favor of National Note (the “Affiliate Trust Deed”).

19. National Note then solicited money from investors by promising that their investment would be secured by Assignments of Beneficial Interest in Trust Deed (the “ABIs”). The ABIs purported to assign National Note’s “right, title and interest” in the Affiliate Trust Deed. National Note did not assign its interest in the Affiliate Note to the investors. The following diagram shows National Note’s scheme:

⁴ See Exh. A.



20. The investors purportedly received an assignment of National Note's secured interest in real property. This secured interest gave National Note the right to foreclose on the underlying real property if the Affiliate defaulted on the Affiliate Note. If, however, the Affiliate never defaulted and the Affiliate Note was paid, the Affiliate Trust Deed was cancelled and the secured interest disappeared.

21. The Affiliate was not a party to any of the ABIs and there was no privity of contract between the Affiliate and the investors. Accordingly, there was no contract pursuant to which the Affiliate was obligated to pay the Affiliate Note payments to the investors instead of National Note. Moreover, the ABI did not assign National Note's rights under the Affiliate Note to the investors. Accordingly, the ABI did not give the Investor the right to demand payment under the Affiliate Note.

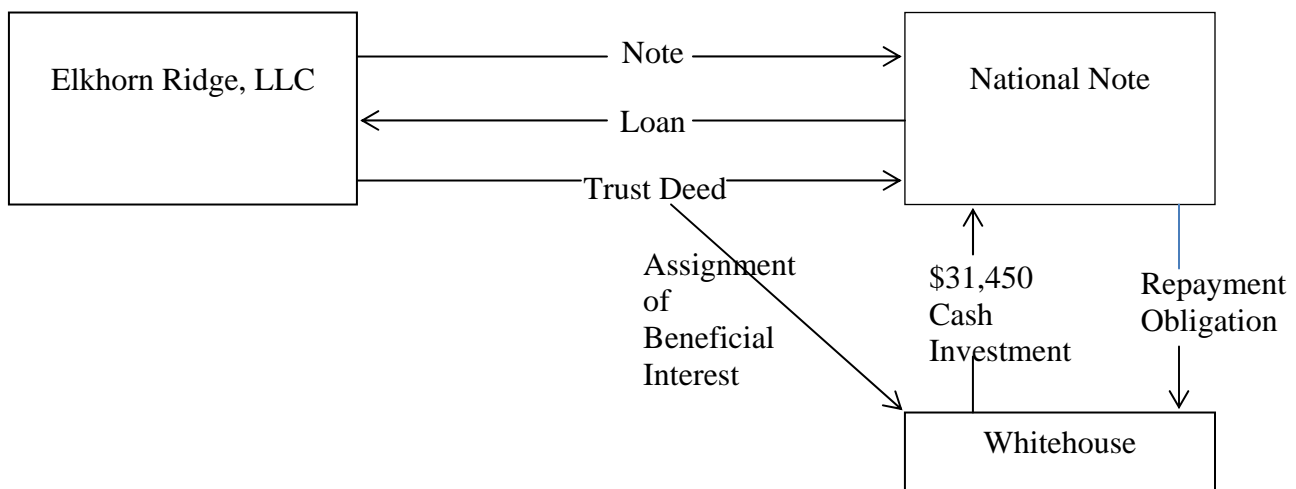
22. The end result of this scheme was that the investors received no security at all. If National Note breached its agreement with the investor, the investor had no foreclosure rights as a result of the assignment of National Note's interest in the Affiliate Deed of Trust, because the

Affiliate Deed of Trust was security for the Affiliate Note, not the agreement between National Note and the investors.

Defendant Invests \$31,450 with National Note

23. On or about 2005, Defendant invested \$31,450.00 with National Note. This agreement was documented in a Promissory Note, dated April 14, 2005 (the “Whitehouse Note”).

24. Consistent with the financing scheme outlined above, the Whitehouse Note was not secured by a deed of trust. Instead, on January 31, 2008, National Note executed an Assignment of Beneficial Interest in Trust Deed in favor of Whitehouse (the “Whitehouse ABI”). The Whitehouse ABI purported to assign National Note’s interest in a Trust Deed for real property in Malad, Idaho - specifically Lot 23 of the Elkhorn Ridge Estates (“Elkhorn Lot 23”). The Trust Deed was executed by Elkhorn Ridge, LLC in favor of National Note (the “NNU Lot 23 Trust Deed”). The NNU Lot 23 Trust Deed was security for a \$52,650.00 loan between National Note and Elkhorn Ridge, LLC (the “NNU Lot 23 Note”). National Note did not assign its beneficial interest in the NNU Lot 23 Note to Defendant. The following diagram illustrates the transactions:



26. National Note did not execute a trust deed for Elkhorn Lot 23 in favor of Defendant. Moreover, Elkhorn Ridge, LLC is not a party to the Whitehouse ABI. Indeed, there is no privity of contract between Elkhorn Ridge, LLC and Defendant. Finally, the Whitehouse ABI did not assign National Note's rights under the NNU Lot 23 Note to Defendant. Accordingly, the Whitehouse ABI did not give Defendant the right to demand payment under the NNU Lot 23 Note.

27. All that Defendant purported to receive through the Whitehouse ABI was an assignment of National Note's security interest in the NNU Lot 23 Trust Deed. National Note's security interest merely gave National Note the right to foreclose on Elkhorn Lot 23 if Elkhorn Ridge, LLC defaulted on the NNU Lot 23 Note. If, however, Elkhorn Ridge, LLC never defaulted and the NNU Lot 23 Note was paid, the NNU Lot 23 Trust Deed was cancelled and the secured interest disappeared, regardless of whether National Note honored the Whitehouse Note.

28. Conversely, the Whitehouse ABI gave Defendant no right to foreclose on Elkhorn Lot 23, had National Note defaulted on the Whitehouse Note. This is because the NNU Lot 23 Trust Deed did not secure the Whitehouse Note. Accordingly, as a matter of law and fact, the Whitehouse ABI gave no security to Defendant. The Whitehouse Note was nothing more than an unsecured note.

The SEC Civil Case and the Receiver's Appointment

29. On June 25, 2012, the SEC Civil Enforcement Case was filed, alleging that NNU is a Ponzi scheme, and seeking, among other things, orders (a) restraining and enjoining NNU and Palmer from continuing to violate federal securities laws, (b) freezing assets and prohibiting NNU from transferring, changing, wasting, dissipating, converting, concealing, or otherwise

disposing of assets, (c) prohibiting NNU from destroying, mutilating, concealing, transferring, altering, or otherwise disposing of NNU's books and records, (d) imposing civil money penalties against NNU and Palmer, and (e) requiring the disgorgement by NNU and Palmer of all ill-gotten gains received by them pursuant to the scheme.⁵

30. Also on June 25, 2012, as a result of the filing of the SEC Civil Enforcement Action, the Court entered a Temporary Restraining Order and Order to Show Cause against the defendants⁶ and the Receivership Order appointing the Receiver.⁷ Since that time, both National Note and Palmer have stipulated to a Preliminary Injunction Order that prohibits National Note and Palmer from committing any further acts in furtherance of the Ponzi scheme and that prohibits National Note and Palmer from withdrawing, transferring, selling, buying, pledging, encumbering, assigning, dissipating, concealing, or otherwise disposing of any of their assets.⁸

31. On or about May 21, 2013, the Court entered an Order authorizing the Receiver to commence legal proceedings for the benefit of and on behalf of the receivership estate.⁹

FIRST CLAIM FOR RELIEF

(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8)

32. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

33. NNU was engaged in an enterprise with all of the characteristics of a Ponzi

⁵ SEC Civil Enforcement Case, Docket No. 1 (Complaint).

⁶ *Id.*, Docket No. 7.

⁷ *Id.*, Docket No. 9.

⁸ *Id.*, Docket Nos. 45 and 46.

⁹ *Id.*, Docket No. 315.

scheme.

34. NNU made the Transfers to Defendant in furtherance of the Ponzi scheme.

35. At all relevant times hereto, NNU had at least one creditor.

36. The Transfers were made and any obligations to Defendant incurred with actual intent to hinder, delay or defraud a creditor of NNU.

37. Pursuant to Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8, the Receiver may avoid and recover the Transfers to Defendant.

38. Alternatively, to the extent that Defendant took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Defendant.

SECOND CLAIM FOR RELIEF

(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8)

39. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

40. NNU was engaged in an enterprise that has all of the characteristics of a Ponzi scheme.

41. NNU made the Transfers to Defendant in furtherance of the Ponzi scheme.

42. At all relevant times hereto, NNU had at least one creditor.

43. The Transfers were made or the obligations to Defendant were incurred by NNU without receiving a reasonably equivalent value in exchange for the Transfers or obligations.

44. At the time the Transfers were made, NNU (a) was engaged or was about to be engaged in a business or transaction for which the remaining assets of NNU were unreasonably small in relation to the business or transaction; or (b) intended to incur, or believed or reasonably

should have believed that it would incur, debts beyond its ability to pay as such debts became due.

45. Pursuant to Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8, the Receiver may avoid and recover the Transfers to Defendant.

46. Alternatively, to the extent that Defendant took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Defendant.

THIRD CLAIM FOR RELIEF

(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-6(1) and 25-6-8)

47. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

48. NNU was engaged in a Ponzi scheme.

49. NNU made the Transfers to Defendant in furtherance of the Ponzi scheme

50. NNU had at least one creditor at the time that the Transfers were made or the obligation to Defendant was incurred.

51. The Transfers were made or the obligation to Defendant was incurred by NNU without NNU receiving a reasonably equivalent value in exchange for the Transfer or obligation.

52. NNU was insolvent at the time the Transfers were made or the obligation was incurred, or became insolvent as a result of the Transfers or the obligation incurred.

53. Pursuant to Utah Code Ann. §§ 25-6-6(1) and 25-6-8, the Receiver may avoid and recover the Transfers to Defendant.

54. Alternatively, to the extent that Defendant took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Defendant.

FOURTH CLAIM FOR RELIEF

(Constructive Trust)

55. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

56. The Transfers to Defendant were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

57. Allowing Defendant to retain the Transfers would unjustly enrich Defendant and would be inequitable.

58. The Transfers can be traced to wrongful behavior.

59. An injustice would result if Defendant was allowed to keep the Transfers.

60. A constructive trust for the benefit of the receivership estate must be imposed for the benefit of the receivership estate in the amount of the Transfers made by NNU to Defendant, or in the alternative if Defendant acted in good faith, for the False Profit Transfers.

FIFTH CLAIM FOR RELIEF

(Unjust Enrichment)

61. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

62. The Transfers to Defendant were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

63. The Transfers conferred a benefit upon Defendant.

64. The Defendant knowingly benefitted from the Transfers.

65. Allowing Defendant to retain the Transfers would unjustly enrich Defendant and would be inequitable.

66. Absent return of the Transfers, the receivership estate will be damaged by Defendant's unjust enrichment and may have no adequate remedy at law.

67. Defendant must disgorge the amount of the Transfers, or if Defendant acted in good faith, the False Profit Transfers, for the benefit of the receivership estate.

SIXTH CLAIM FOR RELIEF
(Disgorgement)

68. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

69. The Transfers were made as part of and in furtherance of a Ponzi scheme.

70. The Transfers were ill-gotten by Defendant.

71. Defendant has no claim to the Transfers made by NNU, or derivatively, from NNU's investors.

72. All Transfers made to Defendant, or if Defendant acted in good faith, the False Profit Transfers, should be disgorged to the Receiver for the benefit of the receivership estate.

SEVENTH CLAIM FOR RELIEF
(Declaratory Judgment)

73. The Receiver incorporates by reference herein all previous paragraphs of this Complaint.

74. An actual controversy has arisen between the Receiver and Defendant regarding the enforceability of the Whitehouse ABI.

75. The Receiver is entitled to a declaratory judgment that:

- a. The Whitehouse ABI is invalid and never gave Defendant any security for its \$31,450.00 investment with National Note.

76. A judicial declaration is necessary and appropriate at this time under the circumstances in order that the respective rights and duties of the parties may be determined.

PRAYER FOR RELIEF

WHEREFORE, the Receiver prays for Judgment against Defendant as follows:

A. Pursuant to the Receiver's First Claim for Relief, judgment against Defendant avoiding the Transfers under Utah Code Ann. §§ 25-6-5(a)(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$53,592.85, or alternatively, the amount of the False Profit Transfers, in the total amount of \$14,592.85.

B. Pursuant to the Receiver's Second Claim for Relief, judgment against Defendant avoiding the Transfers under Utah Code Ann. §§ 25-6-5(a)(2) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$53,592.85, or alternatively, the amount of the False Profit Transfers, in the total amount of \$14,592.85.

C. Pursuant to the Receiver's Third Claim for Relief, judgment against Defendant avoiding the Transfers under Utah Code Ann. §§ 25-6-6(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$53,592.85, or alternatively, the amount of the False Profit Transfers, in the total amount of \$14,592.85.

D. Pursuant to the Receiver's Fourth Claim for Relief, judgment against Defendant imposing a constructive trust for the benefit of the receivership estate on any and all Transfers, or alternatively, all False Profit Transfers.

E. Pursuant to the Receiver's Fifth Claim for Relief, judgment against Defendant for unjust enrichment, and requiring Defendant to disgorge the Transfers in the total amount of \$53,592.85, or alternatively, the amount of the False Profit Transfers, in the total amount of

\$14,592.85.

F. Pursuant to the Receiver's Sixth Claim for Relief, entry of an Order requiring Defendant to disgorge the Transfers in the total amount of \$53,592.85, or alternatively, the amount of the False Profit Transfers, in the total amount of \$14,592.85.

G. Pursuant to the Receiver's Seventh Claim for Relief, entry of an Order and Judgment declaring that:

a. The Whitehouse ABI is invalid and never gave Defendant any security for its \$31,450.00 loan to National Note.

H. Judgment for pre-judgment interest, costs, and fees, including reasonable attorney's fees, as may be allowed by law.

I. For such other and further relief as the Court deems just and proper.

DATED this 21st day of June, 2013.

DORSEY & WHITNEY LLP

/s/ Peggy Hunt

Peggy Hunt

Chris Martinez

Jeffrey M. Armington

Attorneys for Receiver

EXHIBIT A

Investment Pay History**Reference**

CW95-0518-PY APS

Printed 02/13/13 Period of 01/01/90 - 12/31/12

Additional information

Regular pmt:	342.34	Int rate:	12.000	12.000	Acct closed:	04/03/96	Orig bal:	12000.00
Escrow pmt:	0.00	Int calc:		Fixed			Orig date:	05/18/95
Service fee:	0.00	Pmt type:	T USRule	365 M			Maturity:	01/01/99
Total pmt:	342.34	NSF fee:		0.00				
Ord/Day/LFee: 2/15	6.85							
Total if late:	349.19							

Code 1 : [SFR] Code 2 : [2ND] Code 3 : []

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
05/18/95		0.00	0.00	0.00	0.00	12000.00	0.00	0.00	0.00
07/28/95	07/01/95 R	684.68	168.75 1316	173.59	0.00	11831.25	0.00	6.85	0.00
07/28/95	08/01/95 R	0.00	230.47 1316	105.02	0.00	11600.78	0.00	0.00	0.00
08/29/95	09/01/95 R	342.34	208.85 1372	133.49	0.00	11391.93	0.00	0.00	0.00
09/28/95	10/01/95 R	342.34	228.42 1407	113.92	0.00	11163.51	0.00	0.00	0.00
10/27/95	11/01/95 R	342.34	230.70 1423	111.64	0.00	10932.81	0.00	0.00	0.00
11/29/95	12/01/95 R	342.34	233.01 1453	109.33	0.00	10699.80	0.00	0.00	0.00
Annual Total:		2054.04	1300.20	746.99	0.00		0.00	6.85	0.00
Escrow Paid Out:							0.00		
01/01/96	01/01/96 R	342.34	235.34 1485	107.00	0.00	10464.46	0.00	0.00	0.00
02/01/96	02/01/96 R	342.34	237.70 1541	104.64	0.00	10226.76	0.00	0.00	0.00
03/01/96	03/01/96 R	342.34	240.07 1576	102.27	0.00	9986.69	0.00	0.00	0.00
04/01/96	04/01/96 R	342.34	242.47 5032	99.87	0.00	9744.22	0.00	0.00	0.00
04/03/96	P	9744.22	9737.81 2520	6.41	0.00	6.41	0.00	0.00	0.00
Annual Total:		11113.58	10693.39	420.19	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		

Investment Pay History

Reference

W95-0518-PY APS

Page 2

Printed 02/13/13

Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		
							Escrow	Late	Svc
Report Total:		13167.62	11993.59	1167.18	0.00		0.00	6.85	0.00
Escrow Paid Out:							0.00		
Balances:						6.41	0.00	0.00	0.00

Taxable: 1174.03

Investment Pay History**Reference**

'96-0501-PY APS

Printed 02/13/13

Period of 01/01/90 - 12/31/12

Additional information

Regular pmt:	125.00	Int rate:	12.000	12.000	Acct closed:	11/06/97	Orig bal:	12500.00
Escrow pmt:	0.00	Int calc:		Fixed			Orig date:	05/01/96
Service fee:	0.00	Pmt type:	T USRule	365 M			Maturity:	05/01/01
Total pmt:	125.00	NSF fee:		0.00			Balloon:	12500.00
Ord/Day/LFee: 2/15	2.50							
Total if late:	127.50							

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
05/01/96		0.00	0.00	0.00	0.00	12500.00	0.00	0.00	0.00
05/31/96	06/01/96 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5103						
06/28/96	07/01/96 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5144						
08/01/96	08/01/96 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5183						
08/28/96	09/01/96 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5221						
09/30/96	10/01/96 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5261						
10/31/96	11/01/96 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5300						
11/29/96	12/01/96 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5336						
Annual Total:		875.00	0.00	875.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/97	01/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5380						
02/01/97	02/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5426						
03/01/97	03/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5472						
03/31/97	04/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5519						
04/30/97	05/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5562						
05/30/97	06/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5583						
06/30/97	07/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5632						
07/29/97	08/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5683						

Investment Pay History

Reference

W96-0501-PY APS

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Printed 02/13/13

Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
08/29/97	09/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			5731						
10/01/97	10/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			6017						
10/31/97	11/01/97 R	125.00	0.00	125.00	0.00	12500.00	0.00	0.00	0.00
			6066						
11/06/97	P	12520.55	12500.00	20.55	0.00	0.00	0.00	0.00	0.00
			PAYOFF						
Annual Total:		13895.55	12500.00	1395.55	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		14770.55	12500.00	2270.55	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 2270.55

Investment Pay History**Reference**

CW99-0101-PY APS

Printed 02/13/13 Period of 01/01/90 - 12/31/12

Additional information

Mateescu Note

Regular pmt:	108.00	Int rate:	12.000 12.000	Acct closed:	09/01/99	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	01/01/99
Service fee:	0.00	Pmt type:	T USRule 365 M			Maturity:	01/01/04
Total pmt:	108.00	NSF fee:	0.00				
Ord/Day/LFee: 2/15	2.16						
Total if late:	110.16						

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
01/01/99		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
01/01/99	P	-10800.00	-10800.00	0.00	0.00	10800.00	0.00	0.00	0.00
			FROMSUSP						
02/01/99	02/01/99 R	108.00	0.00	108.00	0.00	10800.00	0.00	0.00	0.00
			6892						
03/01/99	03/01/99 R	108.00	0.00	108.00	0.00	10800.00	0.00	0.00	0.00
			6949						
03/30/99	04/01/99 R	108.00	0.00	108.00	0.00	10800.00	0.00	0.00	0.00
			7020						
04/29/99	05/01/99 R	108.00	0.00	108.00	0.00	10800.00	0.00	0.00	0.00
			7074						
06/01/99	06/01/99 R	108.00	0.00	108.00	0.00	10800.00	0.00	0.00	0.00
			7129						
07/01/99	07/01/99 R	108.00	0.00	108.00	0.00	10800.00	0.00	0.00	0.00
			7191						
07/30/99	08/01/99 R	108.00	0.00	108.00	0.00	10800.00	0.00	0.00	0.00
			7248						
09/01/99	09/01/99 R	108.00	0.00	108.00	0.00	10800.00	0.00	0.00	0.00
			7306						
09/01/99	Y	10800.00	10800.00	0.00	0.00	0.00	0.00	0.00	0.00
			PO2SUSP						
Annual Total:		11664.00	0.00	864.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		11664.00	0.00	864.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 864.00

Investment Pay History**Reference**

SuspAPSCW-PY ASUS
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Additional information

Suspense Account ACCT 2709

Regular pmt:	270.00	Int rate:	12.000	12.000	Rem pmts:	165/165	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:	Fixed		Per diem:	8.88	Orig date:	05/18/95
Service fee:	0.00	Pmt type:	T USRule 365 M		Next due:	10/01/11	Maturity:	06/01/25
Total pmt:	270.00	NSF fee:	0.00		Arrears:	4131.00		
Ord/Day/LFee: 2/15	5.40							
Total if late:	275.40							
Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance Escrow Late	Svc
05/18/95		0.00	0.00	0.00	0.00	0.00	0.00	0.00
05/18/95	P	-12000.00	-12000.00	0.00	0.00	12000.00	0.00	0.00
			ADD2PRIN					
05/18/95	P	12000.00	12000.00	0.00	0.00	0.00	0.00	0.00
			2LEONARD					
07/01/95	07/01/95 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
08/01/95	08/01/95 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
09/01/95	09/01/95 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
10/01/95	10/01/95 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
11/01/95	11/01/95 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
12/01/95	12/01/95 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
Annual Total:		12000.00	0.00	0.00	0.00		0.00	0.00
Escrow Paid Out:							0.00	
01/01/96	01/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
02/01/96	02/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
03/01/96	03/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
04/01/96	04/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					
04/03/96	P	-9744.22	-9744.22	0.00	0.00	9744.22	0.00	0.00
			POLEONAR					
04/03/96	P	9744.22	9744.22	0.00	0.00	0.00	0.00	0.00
			PAY2APS					
05/01/96	05/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT					

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
05/01/96	P	-12500.00	-12500.00 ADD2PRIN	0.00	0.00	12500.00	0.00	0.00	0.00
05/01/96	P	12500.00	12500.00 2 BOWEN	0.00	0.00	0.00	0.00	0.00	0.00
06/01/96	06/01/96 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
07/01/96	07/01/96 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
08/01/96	08/01/96 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
09/01/96	09/01/96 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
10/01/96	10/01/96 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
11/01/96	11/01/96 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
12/01/96	12/01/96 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
Annual Total:		22244.22	0.00	0.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/97	01/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
02/01/97	02/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
03/01/97	03/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
04/01/97	04/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
05/01/97	05/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
06/01/97	06/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
07/01/97	07/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
08/01/97	08/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
09/01/97	09/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
10/01/97	10/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
11/01/97	11/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
11/06/97	P	-12500.00	-12500.00 PO BOWEN	0.00	0.00	12500.00	0.00	0.00	0.00
12/01/97	12/01/97 R	102.73	0.00 6115	0.01 102.73 AI	0.00	12500.00	0.00	0.00	0.00
12/01/97	P	0.00	0.00 6115	102.72 0.01 AI	0.00	12500.00	0.00	0.00	0.00

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
Annual Total:		102.73	-12500.00	102.73	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/98	01/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6170	125.00 AI					
01/01/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6170	0.01 AI					
01/31/98	02/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6207	125.00 AI					
01/31/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6207	0.01 AI					
02/27/98	03/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6258	125.00 AI					
02/27/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6258	0.01 AI					
03/31/98	04/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6306	125.00 AI					
03/31/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6306	0.01 AI					
04/30/98	05/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6361	125.00 AI					
04/30/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6361	0.01 AI					
05/27/98	06/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6412	125.00 AI					
05/27/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6412	0.01 AI					
06/30/98	07/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6469	125.00 AI					
06/30/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6469	0.01 AI					
07/31/98	08/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6532	125.00 AI					
07/31/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6532	0.01 AI					
09/01/98	09/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6594	125.00 AI					
09/01/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6594	0.01 AI					
09/29/98	10/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6654	125.00 AI					
09/29/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6654	0.01 AI					
10/29/98	11/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6717	125.00 AI					
10/29/98	P	0.00	0.00	124.99	0.00	12500.00	0.00	0.00	0.00
			6717	0.01 AI					
11/30/98	12/01/98 R	125.00	0.00	0.01	0.00	12500.00	0.00	0.00	0.00
			6773	125.00 AI					

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEam	Basis	Escrow	Payment/Balance Late	Svc
11/30/98	P	0.00	0.00 6773	124.99 0.01 AI	0.00	12500.00	0.00	0.00	0.00
Annual Total:		1500.00	0.00	1500.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/99	01/01/99 R	125.00	0.00 6829	0.01 125.00 AI	0.00	12500.00	0.00	0.00	0.00
01/01/99	P	0.00	0.00 6829	124.99 0.01 AI	0.00	12500.00	0.00	0.00	0.00
01/01/99	P	10800.01	10800.00 2MATESCU	0.01	0.00	1700.00	0.00	0.00	0.00
02/01/99	02/01/99 R	17.00	0.00 6892	0.01 16.99 AI	0.00	1700.00	0.00	0.00	0.00
02/01/99	P	0.00	0.00 6892	16.99	0.00	1700.00	0.00	0.00	0.00
03/01/99	03/01/99 R	17.00	0.00 6949	0.01 16.99 AI	0.00	1700.00	0.00	0.00	0.00
03/01/99	P	0.00	0.00 6949	16.99	0.00	1700.00	0.00	0.00	0.00
03/30/99	04/01/99 R	17.00	0.00 7020	0.01 16.99 AI	0.00	1700.00	0.00	0.00	0.00
03/30/99	P	0.00	0.00 7020	16.99	0.00	1700.00	0.00	0.00	0.00
04/29/99	05/01/99 R	17.00	0.00 7074	0.01 16.99 AI	0.00	1700.00	0.00	0.00	0.00
04/29/99	P	0.00	0.00 7074	16.99	0.00	1700.00	0.00	0.00	0.00
06/01/99	06/01/99 R	17.00	0.00 7129	0.01 16.99 AI	0.00	1700.00	0.00	0.00	0.00
06/01/99	P	0.00	0.00 7129	16.99	0.00	1700.00	0.00	0.00	0.00
07/01/99	07/01/99 R	17.00	0.00 7191	0.01 16.99 AI	0.00	1700.00	0.00	0.00	0.00
07/01/99	P	0.00	0.00 7191	16.99	0.00	1700.00	0.00	0.00	0.00
07/22/99	P	-5500.00	-5500.00 ADD2PRIN	0.00 11.74 AI	0.00	7200.00	0.00	0.00	0.00
07/30/99	08/01/99 R	35.41	0.00 7248	0.01 35.40 AI	0.00	7200.00	0.00	0.00	0.00
07/30/99	P	0.00	0.00 7248	35.40	0.00	7200.00	0.00	0.00	0.00
09/01/99	09/01/99 R	72.00	0.00 7306	0.01 71.99 AI	0.00	7200.00	0.00	0.00	0.00
09/01/99	P	0.00	0.00 7306	71.99	0.00	7200.00	0.00	0.00	0.00
09/01/99	P	-10800.00	-10800.00 POMATEES	0.00	0.00	18000.00	0.00	0.00	0.00
10/01/99	10/01/99 R	180.00	0.00 7371	0.01 179.99 AI	0.00	18000.00	0.00	0.00	0.00

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
10/01/99	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7371						
11/01/99	11/01/99 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			7436	179.99 AI					
11/01/99	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7436						
11/30/99	12/01/99 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			7500	179.99 AI					
11/30/99	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7500						
Annual Total:		11674.42	-5500.00	874.42	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/04/00	01/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			7567	179.99 AI					
01/04/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7567						
01/31/00	02/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			7631	179.99 AI					
01/31/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7631						
03/01/00	03/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			7699	179.99 AI					
03/01/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7699						
03/31/00	04/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			7767	179.99 AI					
03/31/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7767						
05/01/00	05/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			7834	179.99 AI					
05/01/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7834						
06/01/00	06/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			7909	179.99 AI					
06/01/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7909						
07/01/00	07/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			7975	179.99 AI					
07/01/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			7975						
07/28/00	08/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			8040	179.99 AI					
07/28/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			8040						
09/01/00	09/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			8104	179.99 AI					
09/01/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			8104						

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
09/29/00	10/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			8171	179.99 AI					
09/29/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			8171						
10/31/00	11/01/00 R	180.00	0.00	0.01	0.00	18000.00	0.00	0.00	0.00
			8235	179.99 AI					
10/31/00	P	0.00	0.00	179.99	0.00	18000.00	0.00	0.00	0.00
			8235						
11/15/00	P	-2500.00	-2500.00	0.00	0.00	20500.00	0.00	0.00	0.00
			ADD2PRIN	82.85 AI					
11/30/00	12/01/00 R	190.69	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8306	190.68 AI					
11/30/00	P	0.00	0.00	190.68	0.00	20500.00	0.00	0.00	0.00
			8306						
Annual Total:		2170.69	-2500.00	2170.69	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/01	01/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8376	204.99 AI					
01/01/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			8376						
02/01/01	02/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8443	204.99 AI					
02/01/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			8443						
03/01/01	03/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8514	204.99 AI					
03/01/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			8514						
03/30/01	04/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8590	204.99 AI					
03/30/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			8590						
05/01/01	05/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8666	204.99 AI					
05/01/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			8666						
05/31/01	06/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8746	204.99 AI					
05/31/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			8746						
06/29/01	07/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8828	204.99 AI					
06/29/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			8828						
07/30/01	08/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8910	204.99 AI					
07/30/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			8910						

Investment Pay History

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
09/01/01	09/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			8996	204.99 AI					
09/01/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			8996						
10/01/01	10/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			9078	204.99 AI					
10/01/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			9078						
11/01/01	11/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			9165	204.99 AI					
11/01/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			9165						
11/29/01	12/01/01 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			9246	204.99 AI					
11/29/01	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			9246						
Annual Total:		2460.00	0.00	2460.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/02	01/01/02 R	205.00	0.00	0.01	0.00	20500.00	0.00	0.00	0.00
			9332	204.99 AI					
01/01/02	P	0.00	0.00	204.99	0.00	20500.00	0.00	0.00	0.00
			9332						
02/01/02	02/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			9416						
02/28/02	03/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			9507						
04/01/02	04/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			9587						
05/01/02	05/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			9670						
06/01/02	06/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			9756						
07/01/02	07/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			9838						
08/01/02	08/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			9925						
09/01/02	09/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			10016						
10/01/02	10/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			10108						
11/01/02	11/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			10205						
12/01/02	12/01/02 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			10293						
Annual Total:		2460.00	0.00	2460.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/03	01/01/03 R	205.00	0.00	205.00	0.00	20500.00	0.00	0.00	0.00
			10387						

Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
02/01/03	02/01/03 R	205.00	0.00 10474	205.00	0.00	20500.00	0.00	0.00	0.00
03/01/03	03/01/03 R	205.00	0.00 10562	205.00	0.00	20500.00	0.00	0.00	0.00
04/01/03	04/01/03 R	205.00	0.00 10652	205.00	0.00	20500.00	0.00	0.00	0.00
05/01/03	05/01/03 R	205.00	0.00 10745	205.00	0.00	20500.00	0.00	0.00	0.00
06/01/03	06/01/03 R	205.00	0.00 10843	205.00	0.00	20500.00	0.00	0.00	0.00
07/01/03	07/01/03 R	205.00	0.00 10935	205.00	0.00	20500.00	0.00	0.00	0.00
08/01/03	08/01/03 R	205.00	0.00 11031	205.00	0.00	20500.00	0.00	0.00	0.00
09/01/03	09/01/03 R	205.00	0.00 11126	205.00	0.00	20500.00	0.00	0.00	0.00
09/19/03	P	-6500.00	-6500.00 ADD2PRIN	0.00 121.32 AI	0.00	27000.00	0.00	0.00	0.00
10/01/03	10/01/03 R	227.84	0.00 11223	205.00 22.84 AI	0.00	27000.00	0.00	0.00	0.00
10/01/03	P	0.00	0.00 11223	22.84	0.00	27000.00	0.00	0.00	0.00
11/01/03	11/01/03 R	270.00	0.00 11319	205.00 65.00 AI	0.00	27000.00	0.00	0.00	0.00
11/01/03	P	0.00	0.00 11319	65.00	0.00	27000.00	0.00	0.00	0.00
12/01/03	12/01/03 R	270.00	0.00 11415	270.00	0.00	27000.00	0.00	0.00	0.00
Annual Total:		2612.84	-6500.00	2612.84	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/04	01/01/04 R	270.00	0.00 11509	270.00	0.00	27000.00	0.00	0.00	0.00
02/01/04	02/01/04 R	270.00	0.00 11607	270.00	0.00	27000.00	0.00	0.00	0.00
03/01/04	03/01/04 R	270.00	0.00 11710	270.00	0.00	27000.00	0.00	0.00	0.00
03/30/04	04/01/04 R	270.00	0.00 11810	270.00	0.00	27000.00	0.00	0.00	0.00
05/01/04	05/01/04 R	270.00	0.00 11927	270.00	0.00	27000.00	0.00	0.00	0.00
06/01/04	06/01/04 R	270.00	0.00 12031	270.00	0.00	27000.00	0.00	0.00	0.00
07/01/04	07/01/04 R	270.00	0.00 12156	270.00	0.00	27000.00	0.00	0.00	0.00
08/01/04	08/01/04 R	270.00	0.00 12284	270.00	0.00	27000.00	0.00	0.00	0.00
09/01/04	09/01/04 R	270.00	0.00 12419	270.00	0.00	27000.00	0.00	0.00	0.00

Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
10/01/04	10/01/04 R	270.00	0.00 12560	270.00	0.00	27000.00	0.00	0.00	0.00
11/01/04	11/01/04 R	270.00	0.00 12715	270.00	0.00	27000.00	0.00	0.00	0.00
12/01/04	12/01/04 R	270.00	0.00 12869	270.00	0.00	27000.00	0.00	0.00	0.00
Annual Total:		3240.00	0.00	3240.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/05	01/01/05 R	270.00	0.00 13029	270.00	0.00	27000.00	0.00	0.00	0.00
02/01/05	02/01/05 R	270.00	0.00 13197	270.00	0.00	27000.00	0.00	0.00	0.00
03/01/05	03/01/05 R	270.00	0.00 13368	270.00	0.00	27000.00	0.00	0.00	0.00
04/01/05	04/01/05 R	270.00	0.00 13561	270.00	0.00	27000.00	0.00	0.00	0.00
05/01/05	05/01/05 R	270.00	0.00 13762	270.00	0.00	27000.00	0.00	0.00	0.00
06/01/05	06/01/05 R	270.00	0.00 13974	270.00	0.00	27000.00	0.00	0.00	0.00
07/01/05	07/01/05 R	270.00	0.00 14209	270.00	0.00	27000.00	0.00	0.00	0.00
08/01/05	08/01/05 R	270.00	0.00 14457	270.00	0.00	27000.00	0.00	0.00	0.00
09/01/05	09/01/05 R	270.00	0.00 14715	270.00	0.00	27000.00	0.00	0.00	0.00
10/01/05	10/01/05 R	270.00	0.00 14987	270.00	0.00	27000.00	0.00	0.00	0.00
11/01/05	11/01/05 R	270.00	0.00 15266	270.00	0.00	27000.00	0.00	0.00	0.00
12/01/05	12/01/05 R	270.00	0.00 15565	270.00	0.00	27000.00	0.00	0.00	0.00
Annual Total:		3240.00	0.00	3240.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/06	01/01/06 R	270.00	0.00 15870	270.00	0.00	27000.00	0.00	0.00	0.00
02/01/06	02/01/06 R	270.00	0.00 16187	270.00	0.00	27000.00	0.00	0.00	0.00
03/01/06	03/01/06 R	270.00	0.00 16519	270.00	0.00	27000.00	0.00	0.00	0.00
04/01/06	04/01/06 R	270.00	0.00 16861	270.00	0.00	27000.00	0.00	0.00	0.00
05/01/06	05/01/06 R	270.00	0.00 17219	270.00	0.00	27000.00	0.00	0.00	0.00
06/01/06	06/01/06 R	270.00	0.00 17603	270.00	0.00	27000.00	0.00	0.00	0.00
07/01/06	07/01/06 R	270.00	0.00 17982	270.00	0.00	27000.00	0.00	0.00	0.00

Investment Pay History

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEam	Basis	Escrow	Payment/Balance Late	Svc
08/01/06	08/01/06 R	270.00	0.00 18375	270.00	0.00	27000.00	0.00	0.00	0.00
09/01/06	09/01/06 R	270.00	0.00 18778	270.00	0.00	27000.00	0.00	0.00	0.00
10/01/06	10/01/06 R	270.00	0.00 19192	270.00	0.00	27000.00	0.00	0.00	0.00
11/01/06	11/01/06 R	270.00	0.00 19615	270.00	0.00	27000.00	0.00	0.00	0.00
12/01/06	12/01/06 R	270.00	0.00 20043	270.00	0.00	27000.00	0.00	0.00	0.00
Annual Total:		3240.00	0.00	3240.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/07	01/01/07 R	270.00	0.00 20480	270.00	0.00	27000.00	0.00	0.00	0.00
02/01/07	02/01/07 R	270.00	0.00 20926	270.00	0.00	27000.00	0.00	0.00	0.00
03/01/07	03/01/07 R	270.00	0.00 21377	270.00	0.00	27000.00	0.00	0.00	0.00
04/01/07	04/01/07 R	270.00	0.00 21833	270.00	0.00	27000.00	0.00	0.00	0.00
05/01/07	05/01/07 R	270.00	0.00 22303	270.00	0.00	27000.00	0.00	0.00	0.00
06/01/07	06/01/07 R	270.00	0.00 22767	270.00	0.00	27000.00	0.00	0.00	0.00
07/01/07	07/01/07 R	270.00	0.00 23237	270.00	0.00	27000.00	0.00	0.00	0.00
08/01/07	08/01/07 R	270.00	0.00 23719	270.00	0.00	27000.00	0.00	0.00	0.00
09/01/07	09/01/07 R	270.00	0.00 24201	270.00	0.00	27000.00	0.00	0.00	0.00
10/01/07	10/01/07 R	270.00	0.00 24695	270.00	0.00	27000.00	0.00	0.00	0.00
11/01/07	11/01/07 R	270.00	0.00 25196	270.00	0.00	27000.00	0.00	0.00	0.00
12/01/07	12/01/07 R	270.00	0.00 25702	270.00	0.00	27000.00	0.00	0.00	0.00
Annual Total:		3240.00	0.00	3240.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/08	01/01/08 R	270.00	0.00 26218	270.00	0.00	27000.00	0.00	0.00	0.00
02/01/08	02/01/08 R	270.00	0.00 26732	270.00	0.00	27000.00	0.00	0.00	0.00
03/01/08	03/01/08 R	270.00	0.00 27250	270.00	0.00	27000.00	0.00	0.00	0.00
04/01/08	04/01/08 R	270.00	0.00 27787	270.00	0.00	27000.00	0.00	0.00	0.00
05/01/08	05/01/08 R	270.00	0.00 28328	270.00	0.00	27000.00	0.00	0.00	0.00

Investment Pay History

Reference

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
04/01/10	04/01/10 R	270.00	0.00 41796	270.00	0.00	27000.00	0.00	0.00	0.00
05/01/10	05/01/10 R	270.00	0.00 42424	270.00	0.00	27000.00	0.00	0.00	0.00
06/01/10	06/01/10 R	270.00	0.00 43062	270.00	0.00	27000.00	0.00	0.00	0.00
07/01/10	07/01/10 R	270.00	0.00 43696	270.00	0.00	27000.00	0.00	0.00	0.00
08/01/10	08/01/10 R	270.00	0.00 44332	270.00	0.00	27000.00	0.00	0.00	0.00
09/01/10	09/01/10 R	270.00	0.00 44975	270.00	0.00	27000.00	0.00	0.00	0.00
10/01/10	10/01/10 R	270.00	0.00 45635	270.00	0.00	27000.00	0.00	0.00	0.00
11/01/10	11/01/10 R	270.00	0.00 46298	270.00	0.00	27000.00	0.00	0.00	0.00
12/01/10	12/01/10 R	270.00	0.00 46972	270.00	0.00	27000.00	0.00	0.00	0.00
Annual Total:		3240.00	0.00	3240.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/11	01/01/11 R	270.00	0.00 47695	270.00	0.00	27000.00	0.00	0.00	0.00
02/01/11	02/01/11 R	270.00	0.00 48368	270.00	0.00	27000.00	0.00	0.00	0.00
03/01/11	03/01/11 R	270.00	0.00 49043	270.00	0.00	27000.00	0.00	0.00	0.00
04/01/11	04/01/11 R	270.00	0.00 49739	270.00	0.00	27000.00	0.00	0.00	0.00
05/01/11	05/01/11 R	270.00	0.00 50427	270.00	0.00	27000.00	0.00	0.00	0.00
06/01/11	06/01/11 R	270.00	0.00 51118	270.00	0.00	27000.00	0.00	0.00	0.00
07/01/11	07/01/11 R	270.00	0.00 51825	270.00	0.00	27000.00	0.00	0.00	0.00
08/01/11	08/01/11 R	270.00	0.00 52540	270.00	0.00	27000.00	0.00	0.00	0.00
09/01/11	09/01/11 R	270.00	0.00 53257	270.00	0.00	27000.00	0.00	0.00	0.00
Annual Total:		2430.00	0.00	2430.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		82334.90	-27000.00	37290.68	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						27000.00	0.00	0.00	0.00

Taxable: 37290.68

JS 44 (Rev. 12/12)

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS

R. Wayne Klein, as Receiver

DEFENDANTS

CARLA WHITEHOUSE and JOHN DOES 1-5,

(b) County of Residence of First Listed Plaintiff Salt Lake
(EXCEPT IN U.S. PLAINTIFF CASES)

County of Residence of First Listed Defendant Salt Lake
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

(c) Attorneys (Firm Name, Address, and Telephone Number)

Peggy Hunt
Dorsey & Whitney, LLP
136 South Main Street #1000, SLC, UT 84101; 801-933-7360

Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- ☐ 1 U.S. Government Plaintiff
☒ 3 Federal Question (U.S. Government Not a Party)
☐ 2 U.S. Government Defendant
☐ 4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- | | PTF | DEF | | PTF | DEF |
|---|----------------------------|----------------------------|---|----------------------------|----------------------------|
| Citizen of This State | <input type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

IV. NATURE OF SUIT (Place an "X" in One Box Only)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input checked="" type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	PERSONAL INJURY <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other LABOR <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act IMMIGRATION <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark SOCIAL SECURITY <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) FEDERAL TAX SUITS <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes

V. ORIGIN (Place an "X" in One Box Only)

- ☒ 1 Original Proceeding
☐ 2 Removed from State Court
☐ 3 Remanded from Appellate Court
☐ 4 Reinstated or Reopened
☐ 5 Transferred from Another District (specify)
☐ 6 Multidistrict Litigation

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):
 28 U.S.C. § 754

Brief description of cause:

Recovery of the value of transfers from Defendant for the benefit of the receivership estate.

VII. REQUESTED IN COMPLAINT:

☐ CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P.

DEMAND \$
 53,592.85

CHECK YES only if demanded in complaint:
 JURY DEMAND: ☐ Yes ☒ No

VIII. RELATED CASE(S) IF ANY

(See instructions):

JUDGE Jenkins

DOCKET NUMBER 2:12-cv-00591

DATE

06/21/13

SIGNATURE OF ATTORNEY OF RECORD

FOR OFFICE USE ONLY

RECEIPT # _____ AMOUNT _____ APPLYING IFP _____ JUDGE _____ MAG. JUDGE _____