

Peggy Hunt (Utah State Bar No. 6060)  
Chris Martinez (Utah State Bar No. 11152)  
Jeffrey M. Armington (Utah State Bar No. 14050)  
**DORSEY & WHITNEY LLP**  
136 South Main Street, Suite 1000  
Salt Lake City, UT 84101-1685  
Telephone: (801) 933-7360  
Facsimile: (801) 933-7373  
Email: [hunt.peggy@dorsey.com](mailto:hunt.peggy@dorsey.com)  
[martinez.chris@dorsey.com](mailto:martinez.chris@dorsey.com)  
[armington.jeff@dorsey.com](mailto:armington.jeff@dorsey.com)

*Attorneys for Court-Appointed Receiver R. Wayne Klein*

**UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH  
CENTRAL DIVISION**

<p>R. WAYNE KLEIN, as Receiver,</p> <p style="text-align: center;">Plaintiff,</p> <p style="text-align: center;">v.</p> <p>The ESTATE OF GLEN SUDBURY, KATHY SUDBURY, as representative of the estate of Glen Sudbury and as the trustee of the Glen E. &amp; Kathy S. Sudbury Trust 12/95, GLEN SUDBURY DRYWALL COMPANY, LLC, a Utah limited liability company, SLS QUEST, LLC, a Utah limited liability company, G &amp; K SUDBURY LP, a Utah limited partnership, the GLEN E. &amp; KATHY S. SUDBURY TRUST 12/95, and JOHN DOES 1-5,</p> <p style="text-align: center;">Defendants.</p>	<p style="text-align: center;"><b>COMPLAINT</b></p> <p style="text-align: center;"><b>(Ancillary to Case No. 2:12-cv-00591)</b></p> <p>Civil No. _____</p>
--	--

R. Wayne Klein, the Court-Appointed Receiver (the “Receiver” or “Plaintiff”) of National Note of Utah, LC (“National Note”), its subsidiaries and affiliates (collectively, unless otherwise stated, National Note and all subsidiaries and affiliated entities are referred to herein as

“NNU”), and the assets of Wayne LaMar Palmer (“Palmer”), in the case styled as *Securities and Exchange Commission v. National Note of Utah, LC et al.*, Case No. 2:12-cv-00591 (D. Utah) (Jenkins, J.) (the “SEC Civil Enforcement Case”), hereby files this Complaint against the Estate of Glen Sudbury, Kathy Sudbury, the Glen E. & Kathy S. Sudbury Trust 12/95, Glen Sudbury Drywall Company, LLC, SLS Quest, LLC, G & K Sudbury LP, and John Does 1-5 (“Defendant Does”) (collectively, “Defendants”), and states, alleges and avers as follows:

### **STATEMENT OF THE CASE**

1. NNU was operated as an enterprise with all of the characteristics of a Ponzi scheme through which money was solicited from investors.<sup>1</sup> Upon information and belief, Defendants received monies from NNU, and the Receiver seeks to avoid the transfers and/or recover the value of the transfers from Defendants for the benefit of the receivership estate established in the SEC Civil Enforcement Case discussed in greater detail below.

### **PARTIES**

2. Pursuant to an Order Appointing Receiver and Staying Litigation entered on June 25, 2012 in the SEC Civil Enforcement Case (the “Receivership Order”),<sup>2</sup> Plaintiff is the duly-appointed Receiver for National Note and Palmer “together with any and all subsidiaries and affiliated entities of National Note and Palmer. . . .”<sup>3</sup>

3. Upon information and belief, the Estate of Glen Sudbury is an estate administered

---

<sup>1</sup> See SEC Civil Enforcement Case, Docket No. 1 (Complaint).

<sup>2</sup> SEC Civil Enforcement Case, Docket No. 9.

<sup>3</sup> *Id.* (Receivership Order, pp. 1-2).

under the laws of the State of Utah.

4. Upon information and belief, Kathy Sudbury is a Utah resident. On information and belief, Kathy Sudbury is the trustee of the Glen E. & Kathy S. Sudbury Trust 12/95 and the personal representative of the estate of Glen E. Sudbury.

5. Upon information and belief, Glen Sudbury Drywall Company, LLC is a Utah limited liability company with its principal place of business in Utah.

6. Upon information and belief, SLS Quest, LLC is a Utah limited liability company with its principal place of business in Utah.

7. Upon information and belief, G & K Sudbury, LP is a Utah limited partnership with its principal place of business in Utah.

8. Upon information and belief, the Glen E. & Kathy S. Sudbury Trust 12/95 is a trust organized under the laws of the state of Utah for the benefit of Glen E. Sudbury and Kathy Sudbury. The Estate of Glen E. Sudbury, Kathy Sudbury, Glen Sudbury Drywall Company, LLC, SLS Quest, LLC, G & K Sudbury, LC, and the Glen E. & Kathy S. Sudbury Trust 12/95 are collectively referred to herein as “*Sudbury*”.

9. Upon information and belief, Defendant Does are currently unknown parties who have received monies or property from NNU, or are persons to whom Sudbury has transferred monies or property received from NNU.

#### **JURISDICTION AND VENUE**

10. Subject matter jurisdiction is proper in this Court pursuant to 28 U.S.C. §1367.

11. The Court has personal jurisdiction over Defendants.

12. Venue is proper in this Court pursuant to 28 U.S.C. § 754.

## **FACTS**

### **The Ponzi Scheme**

13. Since at least 1994 until the commencement of the SEC Civil Enforcement Case, NNU raised capital by soliciting investors to purchase promissory notes, which typically promised to pay interest at a rate of interest above market rates.

14. Upon information and belief, investors understood that they were investing in an enterprise that, among other things, bought and sold mortgage notes, underwrote and made loans, or bought and sold real estate assets through National Note, or one of many affiliated entities subject to the Receivership Order, all of which are referred to herein collectively as “NNU.”

15. Typically, investment funds were deposited in a commingled bank account controlled by NNU. NNU would then transfer such investor funds to another bank account (the “Investor Account”).

16. Monies on deposit in the Investor Account were commingled, and transfers to investors by NNU were made from the commingled funds on deposit in that Investor Account.

17. At all times relevant hereto, NNU was insolvent.

### **Sudbury’s Investment and the Transfers**

18. On or about 2000, Sudbury commenced investing with NNU. Histories of Sudbury’s investments are attached hereto as Exhibit A.

19. Sudbury paid NNU cash in the total amount of \$545,686.38 on or about 2000 through 2006 (the “Principal Cash Investment”).

20. As set forth on Exhibit A, NNU transferred a total of \$619,256.07 in cash to

Sudbury (the “Total Transfers”).

21. Of the Total Transfers, \$73,569.69 is an amount that is over and above Sudbury’s Principal Cash Investment (the “False Profit Transfers”) (collectively, the Total Transfers and the False Profit Transfers are the “Transfers”).<sup>4</sup>

### **The SEC Civil Case and the Receiver’s Appointment**

22. On June 25, 2012, the SEC Civil Enforcement Case was filed, alleging that NNU is a Ponzi scheme, and seeking, among other things, orders (a) restraining and enjoining NNU and Palmer from continuing to violate federal securities laws, (b) freezing assets and prohibiting NNU from transferring, changing, wasting, dissipating, converting, concealing, or otherwise disposing of assets, (c) prohibiting NNU from destroying, mutilating, concealing, transferring, altering, or otherwise disposing of NNU’s books and records, (d) imposing civil money penalties against NNU and Palmer, and (e) requiring the disgorgement by NNU and Palmer of all ill-gotten gains received by them pursuant to the scheme.<sup>5</sup>

23. Also on June 25, 2012, as a result of the filing of the SEC Civil Enforcement Action, the Court entered a Temporary Restraining Order and Order to Show Cause against the defendants<sup>6</sup> and the Receivership Order appointing the Receiver.<sup>7</sup> Since that time, both National Note and Palmer have stipulated to a Preliminary Injunction Order that prohibits National Note

---

<sup>4</sup> See Exh. A.

<sup>5</sup> SEC Civil Enforcement Case, Docket No. 1 (Complaint).

<sup>6</sup> *Id.*, Docket No. 7.

<sup>7</sup> *Id.*, Docket No. 9.

and Palmer from committing any further acts in furtherance of the Ponzi scheme and that prohibits National Note and Palmer from withdrawing, transferring, selling, buying, pledging, encumbering, assigning, dissipating, concealing, or otherwise disposing of any of their assets.<sup>8</sup>

24. On or about May 21, 2013, the Court entered an Order authorizing the Receiver to commence legal proceedings for the benefit of and on behalf of the receivership estate.<sup>9</sup>

**FIRST CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8)*

25. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

26. NNU was engaged in an enterprise with all of the characteristics of a Ponzi scheme.

27. NNU made the Transfers to Sudbury in furtherance of the Ponzi scheme.

28. At all relevant times hereto, NNU had at least one creditor.

29. The Transfers were made and any obligations to Sudbury were incurred with actual intent to hinder, delay or defraud a creditor of NNU.

30. Pursuant to Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8, the Receiver may avoid and recover the Transfers to Sudbury, or in the event such Transfers were transferred, from the Defendants.

31. Alternatively, to the extent that Sudbury took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Sudbury,

---

<sup>8</sup> *Id.*, Docket Nos. 45 and 46.

<sup>9</sup> *Id.*, Docket No. 315.

or in the event such False Profit Transfers were transferred, from the Defendants.

**SECOND CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8)*

32. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

33. NNU was engaged in an enterprise that has all of the characteristics of a Ponzi scheme.

34. NNU made the Transfers to Sudbury in furtherance of the Ponzi scheme.

35. At all relevant times hereto, NNU had at least one creditor.

36. The Transfers were made or the obligations to Sudbury were incurred by NNU without receiving a reasonably equivalent value in exchange for the Transfers or obligations.

37. At the time the Transfers were made, NNU (a) was engaged or was about to be engaged in a business or transaction for which the remaining assets of NNU were unreasonably small in relation to the business or transaction; or (b) intended to incur, or believed or reasonably should have believed that it would incur, debts beyond its ability to pay as such debts became due.

38. Pursuant to Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8, the Receiver may avoid and recover the Transfers from Sudbury, or in the event such Transfers were transferred, from the Defendants.

39. Alternatively, to the extent that Sudbury took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Sudbury, or in the event such False Profit Transfers were transferred, from the Defendants.

**THIRD CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-6(1) and 25-6-8)*

40. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

41. NNU was engaged in a Ponzi scheme.

42. NNU made the Transfers to Sudbury in furtherance of the Ponzi scheme.

43. NNU had at least one creditor at the time that the Transfers were made or the obligation to Sudbury was incurred.

44. The Transfers were made or the obligation to Sudbury was incurred by NNU without NNU receiving a reasonably equivalent value in exchange for the Transfers or obligation.

45. NNU was insolvent at the time the Transfers were made or the obligation was incurred, or became insolvent as a result of the Transfers or the obligation incurred.

46. Pursuant to Utah Code Ann. §§ 25-6-6(1) and 25-6-8, the Receiver may avoid and recover the Transfers to Sudbury, or in the event such Transfers were transferred, from the Defendants.

47. Alternatively, to the extent that Sudbury took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Sudbury, or in the event such Transfers were transferred, from the Defendants.

**FOURTH CLAIM FOR RELIEF**

*(Constructive Trust)*

48. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.



49. The Transfers to Defendants were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

50. Allowing Defendants to retain the Transfers would unjustly enrich Defendants and would be inequitable.

51. The Transfers can be traced to wrongful behavior.

52. An injustice would result if Defendants were allowed to keep the Transfers.

53. A constructive trust for the benefit of the receivership estate must be imposed for the benefit of the receivership estate in the amount of the Transfers made by NNU to Defendants, or in the alternative if Sudbury acted in good faith, for the False Profit Transfers.

**FIFTH CLAIM FOR RELIEF**

*(Unjust Enrichment)*

54. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

55. The Transfers to Defendants were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

56. The Transfers conferred a benefit upon Defendants.

57. The Defendants knowingly benefitted from the Transfers.

58. Allowing Defendants to retain the Transfers would unjustly enrich Defendants and would be inequitable.

59. Absent return of the Transfers, the receivership estate will be damaged by Defendants' unjust enrichment and may have no adequate remedy at law.

60. Defendants must disgorge the amount of the Transfers, or if Sudbury acted in

good faith, the False Profit Transfers, for the benefit of the receivership estate.

**SIXTH CLAIM FOR RELIEF**  
*(Disgorgement)*

61. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

62. The Transfers were made as part of and in furtherance of a Ponzi scheme.

63. The Transfers were ill-gotten by Defendants.

64. Defendants have no claim to the Transfers made by NNU, or derivatively, from NNU's investors.

65. All Transfers made to Defendants, or if Sudbury acted in good faith, the False Profit Transfers, should be disgorged to the Receiver for the benefit of the receivership estate.

**PRAYER FOR RELIEF**

WHEREFORE, the Receiver prays for Judgment against Defendants as follows:

A. Pursuant to the Receiver's First Claim for Relief, judgment against the Defendants avoiding the Transfers under Utah Code Ann. §§ 25-6-5(a)(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$619,256.07, or alternatively, the False Profit Transfers, in the total amount of \$73,569.69.

B. Pursuant to the Receiver's Second Claim for Relief, judgment against the Defendants avoiding the Transfers under Utah Code Ann. §§ 25-6-5(a)(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$619,256.07, or alternatively, the False Profit Transfers, in the total amount of \$73,569.69.

C. Pursuant to the Receiver's Third Claim for Relief, judgment against the



# EXHIBIT A

### Investment Pay History

Reference

GS00-1020-PY  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Additional information

Regular pmt:	0.01	Int rate:	16.000	16.000	Acct closed:	08/01/04	Orig bal:	50000.00
Escrow pmt:	0.00	Int calc:		Fixed			Orig date:	10/20/00
Service fee:	0.00	Pmt type:	T USRule	365 M			Maturity:	05/01/02
Total pmt:	0.01	NSF fee:		0.00			Balloon:	50666.67
		Reminder:		05/01/02				

MATURITY DATE

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		
							Escrow	Late	Svc
10/20/00		0.00	0.00	0.00	0.00	50000.00	0.00	0.00	0.00
11/30/00	12/01/00 R	920.55	0.00	666.67	0.00	50000.00	0.00	0.00	0.00
			8312	253.88 AI					
11/30/00	P	0.00	0.00	253.88	0.00	50000.00	0.00	0.00	0.00
			8312						
<b>Annual Total:</b>		920.55	0.00	920.55	0.00		0.00	0.00	0.00
<b>Escrow Paid Out:</b>							0.00		
01/01/01	01/01/01 R	666.67	0.00	666.67	0.00	50000.00	0.00	0.00	0.00
			8382						
02/01/01	02/01/01 R	666.67	0.00	666.67	0.00	50000.00	0.00	0.00	0.00
			8450						
03/01/01	03/01/01 R	666.67	0.00	666.67	0.00	50000.00	0.00	0.00	0.00
			8521						
03/30/01	04/01/01 R	666.67	0.00	666.67	0.00	50000.00	0.00	0.00	0.00
			8597						
05/01/01	05/01/01 R	666.67	0.00	666.67	0.00	50000.00	0.00	0.00	0.00
			8673						
05/31/01	06/01/01 R	666.67	0.00	666.67	0.00	50000.00	0.00	0.00	0.00
			8754						
06/29/01	07/01/01 R	666.67	0.00	666.67	0.00	50000.00	0.00	0.00	0.00
			8837						
07/25/01	P	526.03	0.00	526.03	0.00	50000.00	0.00	0.00	0.00
			8886						
07/25/01	P	50000.00	50000.00	0.00	0.00	0.00	0.00	0.00	0.00
			2248						
07/30/01	08/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
09/01/01	09/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
10/01/01	10/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
11/01/01	11/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
11/29/01	12/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						

Investment Pay History

Reference

GS00-1020-PY Page 2  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
Annual Total:		55192.72	50000.00	5192.72	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/02	01/01/02 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
02/01/02	02/01/02 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
02/28/02	03/01/02 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
04/01/02	04/01/02 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
04/29/02	P	-50000.00	-50000.00	0.00	0.00	50000.00	0.00	0.00	0.00
			ADD2PRIN						
05/01/02	05/01/02 R	0.00	0.00	0.00	0.00	50000.00	0.00	0.00	0.00
			CURRENT	43.84 AI					
06/01/02	06/01/02 R	710.51	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			9767	710.50 AI					
06/01/02	P	0.00	0.00	710.50	0.00	50000.00	0.00	0.00	0.00
			9767						
07/01/02	07/01/02 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			9849	666.66 AI					
07/01/02	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			9849						
08/01/02	08/01/02 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			9938	666.66 AI					
08/01/02	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			9938						
09/01/02	09/01/02 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			10029	666.66 AI					
09/01/02	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			10029						
09/27/02	10/01/02 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			10084	666.66 AI					
09/27/02	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			10084						
09/27/02	P	15000.00	15000.00	0.00	0.00	35000.00	0.00	0.00	0.00
			2416						
09/30/02	P	35000.00	35000.00	0.00	0.00	0.00	0.00	0.00	0.00
			2417						
11/01/02	11/01/02 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
12/01/02	12/01/02 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
Annual Total:		53377.19	0.00	3377.19	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/03	01/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
02/01/03	02/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						

## Investment Pay History

Reference

GS00-1020-PY

Page 3

Printed 02/18/13

Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		Svc
							Escrow	Late	
03/01/03	03/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
04/01/03	04/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/03	05/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
06/01/03	06/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
07/01/03	07/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
08/01/03	08/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
09/01/03	09/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
10/01/03	10/01/03 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
10/13/03	P	-50000.00	-50000.00	0.00	0.00	50000.00	0.00	0.00	0.00
			ADD2PRIN						
11/01/03	11/01/03 R	416.44	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			11333	416.43 AI					
11/01/03	P	0.00	0.00	416.43	0.00	50000.00	0.00	0.00	0.00
			11333						
12/01/03	12/01/03 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			11429	666.66 AI					
12/01/03	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			11429						
Annual Total:		1083.11	-50000.00	1083.11	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/04	01/01/04 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			11524	666.66 AI					
01/01/04	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			11524						
02/01/04	02/01/04 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			11621	666.66 AI					
02/01/04	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			11621						
03/01/04	03/01/04 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			11725	666.66 AI					
03/01/04	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			11725						
04/01/04	04/01/04 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			11827	666.66 AI					
04/01/04	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			11827						
05/01/04	05/01/04 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			11947	666.66 AI					
05/01/04	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			11947						

**Investment Pay History**

Reference

iS00-1020-PY Page 4  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
06/01/04	06/01/04 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			12053	666.66 AI					
06/01/04	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			12053						
07/01/04	07/01/04 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			12180	666.66 AI					
07/01/04	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			12180						
08/01/04	08/01/04 R	666.67	0.00	0.01	0.00	50000.00	0.00	0.00	0.00
			12309	666.66 AI					
08/01/04	P	0.00	0.00	666.66	0.00	50000.00	0.00	0.00	0.00
			12309						
08/01/04	Y	50000.00	50000.00	0.00	0.00	0.00	0.00	0.00	0.00
			TO SLSQ						
<b>Annual Total:</b>		<b>55333.36</b>	<b>50000.00</b>	<b>5333.36</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Report Total:</b>		<b>165906.93</b>	<b>50000.00</b>	<b>15906.93</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Balances:</b>						<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Taxable: 15906.93



### Investment Pay History

Reference

GS01-0417-PY APS  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Additional information

Regular pmt:	60.00	Int rate:	16.000	16.000	Acct closed:	03/08/05	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:		Fixed			Orig date:	04/17/01
Service fee:	0.00	Pmt type:	T	USRULE 365 M			Maturity:	02/01/10
Total pmt:	60.00	NSF fee:		0.00			Balloon:	17733.33
Ord/Day/LFee:	2/15	1.20	Reminder:	02/01/10				
Total if late:	61.20	MATURITY DATE						

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		
							Escrow	Late	Svc
04/17/01		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
04/17/01	P	-4000.00	-4000.00	0.00	0.00	4000.00	0.00	0.00	0.00
			INITIAL						
05/01/01	05/01/01 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			8664						
05/31/01	06/01/01 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			8744						
06/29/01	07/01/01 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			8826						
07/30/01	08/01/01 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			8908						
09/01/01	09/01/01 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			8994						
10/01/01	10/01/01 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			9076						
11/01/01	11/01/01 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			9162						
11/29/01	12/01/01 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			9244						
<b>Annual Total:</b>		<b>426.64</b>	<b>-4000.00</b>	<b>426.64</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/01/02	01/01/02 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			9330						
02/01/02	02/01/02 R	53.33	0.00	53.33	0.00	4000.00	0.00	0.00	0.00
			9414						
02/19/02	P	-2400.00	-2400.00	0.00	0.00	6400.00	0.00	0.00	0.00
			29019	31.56 AI					
02/28/02	03/01/02 R	59.61	0.00	59.61	0.00	6400.00	0.00	0.00	0.00
			9503						
04/01/02	04/01/02 R	85.33	0.00	85.33	0.00	6400.00	0.00	0.00	0.00
			9585						
05/01/02	05/01/02 R	85.33	0.00	85.33	0.00	6400.00	0.00	0.00	0.00
			9668						
06/01/02	06/01/02 R	85.33	0.00	85.33	0.00	6400.00	0.00	0.00	0.00
			9754						

**Investment Pay History**

Reference

GS01-0417-PY APS Page 2  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		Svc
							Escrow	Late	
07/01/02	07/01/02 R	85.33	0.00 9835	85.33	0.00	6400.00	0.00	0.00	0.00
07/01/02	P	-1500.00	-1500.00 ADD2PRIN	0.00	0.00	7900.00	0.00	0.00	0.00
08/01/02	08/01/02 R	105.33	0.00 9923	105.33	0.00	7900.00	0.00	0.00	0.00
09/01/02	09/01/02 R	105.33	0.00 10015	105.33	0.00	7900.00	0.00	0.00	0.00
10/01/02	10/01/02 R	105.33	0.00 10106	105.33	0.00	7900.00	0.00	0.00	0.00
11/01/02	11/01/02 R	105.33	0.00 10203	105.33	0.00	7900.00	0.00	0.00	0.00
12/01/02	12/01/02 R	105.33	0.00 10290	105.33	0.00	7900.00	0.00	0.00	0.00
<b>Annual Total:</b>		<b>1034.24</b>	<b>-3900.00</b>	<b>1034.24</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/01/03	01/01/03 R	105.33	0.00 10385	105.33	0.00	7900.00	0.00	0.00	0.00
01/20/03	P	-4500.00	-4500.00 ADD2PRIN	0.00	0.00	12400.00	0.00	0.00	0.00
02/01/03	02/01/03 R	130.50	0.00 10472	130.50	0.00	12400.00	0.00	0.00	0.00
03/01/03	03/01/03 R	165.86	0.00 10560	165.33	0.00	12400.00	0.00	0.00	0.00
03/01/03	P	0.00	0.00 10560	0.53	0.00	12400.00	0.00	0.00	0.00
04/01/03	04/01/03 R	165.33	0.00 10650	165.33	0.00	12400.00	0.00	0.00	0.00
05/01/03	05/01/03 R	165.33	0.00 10743	165.33	0.00	12400.00	0.00	0.00	0.00
06/01/03	06/01/03 R	165.33	0.00 10841	165.33	0.00	12400.00	0.00	0.00	0.00
07/01/03	07/01/03 R	165.33	0.00 10933	165.33	0.00	12400.00	0.00	0.00	0.00
08/01/03	08/01/03 R	165.33	0.00 11029	165.33	0.00	12400.00	0.00	0.00	0.00
09/01/03	09/01/03 R	165.33	0.00 11124	165.33	0.00	12400.00	0.00	0.00	0.00
10/01/03	10/01/03 R	165.33	0.00 11221	165.33	0.00	12400.00	0.00	0.00	0.00
11/01/03	11/01/03 R	165.33	0.00 11317	165.33	0.00	12400.00	0.00	0.00	0.00
12/01/03	12/01/03 R	165.33	0.00 11413	165.33	0.00	12400.00	0.00	0.00	0.00
<b>Annual Total:</b>		<b>1889.66</b>	<b>-4500.00</b>	<b>1889.66</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/01/04	01/01/04 R	165.33	0.00 11507	165.33	0.00	12400.00	0.00	0.00	0.00

## Investment Pay History

Reference

3S01-0417-PY APS Page 3  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
01/06/04	P	-5100.00	-5100.00	0.00	0.00	17500.00	0.00	0.00	0.00
			ADD2PRIN	27.18 AI					
02/01/04	02/01/04 R	226.63	0.00	165.33	0.00	17500.00	0.00	0.00	0.00
			11605	61.30 AI					
02/01/04	P	0.00	0.00	61.30	0.00	17500.00	0.00	0.00	0.00
			11605						
03/01/04	03/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			11708						
03/30/04	04/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			11808						
05/01/04	05/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			11925						
06/01/04	06/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			12029						
07/01/04	07/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			12154						
08/01/04	08/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			12282						
09/01/04	09/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			12417						
10/01/04	10/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			12558						
11/01/04	11/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			12713						
12/01/04	12/01/04 R	233.33	0.00	233.33	0.00	17500.00	0.00	0.00	0.00
			12867						
12/01/04	P	17500.00	17500.00	0.00	0.00	0.00	0.00	0.00	0.00
			2942						
Annual Total:		20225.26	12400.00	2725.26	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/05	01/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
02/01/05	02/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
02/07/05	P	-4500.00	-4500.00	0.00	0.00	4500.00	0.00	0.00	0.00
			ADD2PRIN						
03/01/05	03/01/05 R	43.40	0.00	43.40	0.00	4500.00	0.00	0.00	0.00
			13366						
03/08/05	P	13.81	0.00	13.81	0.00	4500.00	0.00	0.00	0.00
			13517						
03/08/05	P	4500.00	4500.00	0.00	0.00	0.00	0.00	0.00	0.00
			2989						
Annual Total:		4557.21	0.00	57.21	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		

**Investment Pay History**

Reference

IS01-0417-PY APS Page 4  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		Svc
							Escrow	Late	
Report Total:		28133.01	0.00	6133.01	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 6133.01

### Investment Pay History

Reference

SL01-0501-PY NNU  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Additional information

Regular pmt:	1100.00	Int rate:	16.000 12.000	Acct closed:	06/01/06	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	04/27/01
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	07/01/10
Total pmt:	1100.00	NSF fee:	0.00				
Ord/Day/LFee:	2/15 44.00	Reminder:	07/01/10				
Total if late:	1144.00	MATURITY DATE					

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----		
							Escrow	Late	Svc
04/27/01		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
04/27/01	P	-30000.00	-30000.00	0.00	0.00	30000.00	0.00	0.00	0.00
			INITPRIN						
05/31/01	06/01/01 R	400.00	0.00	0.01	0.00	30000.00	0.00	0.00	0.00
			8784	399.99 AI					
05/31/01	P	0.00	0.00	399.99	0.00	30000.00	0.00	0.00	0.00
			8784						
06/29/01	07/01/01 R	400.00	0.00	0.01	0.00	30000.00	0.00	0.00	0.00
			8868	399.99 AI					
06/29/01	P	0.00	0.00	399.99	0.00	30000.00	0.00	0.00	0.00
			8868						
07/30/01	08/01/01 R	400.00	0.00	0.01	0.00	30000.00	0.00	0.00	0.00
			8950	399.99 AI					
07/30/01	P	0.00	0.00	399.99	0.00	30000.00	0.00	0.00	0.00
			8950						
08/31/01	09/01/01 R	400.00	0.00	0.01	0.00	30000.00	0.00	0.00	0.00
			9036	399.99 AI					
08/31/01	P	0.00	0.00	399.99	0.00	30000.00	0.00	0.00	0.00
			9036						
10/01/01	10/01/01 R	400.00	0.00	0.01	0.00	30000.00	0.00	0.00	0.00
			9119	399.99 AI					
10/01/01	P	0.00	0.00	399.99	0.00	30000.00	0.00	0.00	0.00
			9119						
11/01/01	11/01/01 R	400.00	0.00	0.01	0.00	30000.00	0.00	0.00	0.00
			9206	399.99 AI					
11/01/01	P	0.00	0.00	399.99	0.00	30000.00	0.00	0.00	0.00
			9206						
11/29/01	12/01/01 R	400.00	0.00	0.01	0.00	30000.00	0.00	0.00	0.00
			9288	399.99 AI					
11/29/01	P	0.00	0.00	399.99	0.00	30000.00	0.00	0.00	0.00
			9288						
Annual Total:		2800.00	-30000.00	2800.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		

## Investment Pay History

Reference

.01-0501-PY NNU Page 2  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		Svc
							Escrow	Late	
01/01/02	01/01/02 R	400.00	0.00	0.01	0.00	30000.00	0.00	0.00	0.00
			9374	399.99 AI					
01/01/02	P	0.00	0.00	399.99	0.00	30000.00	0.00	0.00	0.00
			9374						
02/01/02	02/01/02 R	400.00	0.00	400.00	0.00	30000.00	0.00	0.00	0.00
			9459						
02/28/02	03/01/02 R	400.00	0.00	400.00	0.00	30000.00	0.00	0.00	0.00
			9548						
04/01/02	04/01/02 R	400.00	0.00	400.00	0.00	30000.00	0.00	0.00	0.00
			9629						
05/01/02	05/01/02 R	400.00	0.00	400.00	0.00	30000.00	0.00	0.00	0.00
			9713						
06/01/02	06/01/02 R	400.00	0.00	400.00	0.00	30000.00	0.00	0.00	0.00
			9798						
07/01/02	07/01/02 R	400.00	0.00	400.00	0.00	30000.00	0.00	0.00	0.00
			9882						
08/01/02	08/01/02 R	400.00	0.00	400.00	0.00	30000.00	0.00	0.00	0.00
			9973						
09/01/02	09/01/02 R	400.00	0.00	400.00	0.00	30000.00	0.00	0.00	0.00
			10063						
09/06/02	P	-7500.00	-7500.00	0.00	0.00	37500.00	0.00	0.00	0.00
			2025	65.75 AI					
09/13/02	P	-5000.00	-5000.00	0.00	0.00	42500.00	0.00	0.00	0.00
			2176	180.82 AI					
09/30/02	P	-5000.00	-5000.00	0.00	0.00	47500.00	0.00	0.00	0.00
			2179	497.53 AI					
10/01/02	10/01/02 R	518.35	0.00	400.00	0.00	47500.00	0.00	0.00	0.00
			10157	118.35 AI					
10/01/02	P	0.00	0.00	118.35	0.00	47500.00	0.00	0.00	0.00
			10157						
11/01/02	11/01/02 R	633.33	0.00	633.33	0.00	47500.00	0.00	0.00	0.00
			10252						
12/01/02	12/01/02 R	633.33	0.00	633.33	0.00	47500.00	0.00	0.00	0.00
			10340						
Annual Total:		5385.01	-17500.00	5385.01	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/03	01/01/03 R	633.33	0.00	633.33	0.00	47500.00	0.00	0.00	0.00
			10435						
02/01/03	02/01/03 R	633.33	0.00	633.33	0.00	47500.00	0.00	0.00	0.00
			10523						
02/20/03	P	395.62	0.00	395.62	0.00	47500.00	0.00	0.00	0.00
			10538						
02/20/03	P	12000.00	12000.00	0.00	0.00	35500.00	0.00	0.00	0.00
			2497						
03/01/03	03/01/03 R	140.05	0.00	140.05	0.00	35500.00	0.00	0.00	0.00
			10612						
04/01/03	04/01/03 R	473.33	0.00	473.33	0.00	35500.00	0.00	0.00	0.00
			10701						

## Investment Pay History

Reference

.01-0501-PY NNU Page 3  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
05/01/03	05/01/03 R	473.33	0.00 10799	473.33	0.00	35500.00	0.00	0.00	0.00
06/01/03	06/01/03 R	473.33	0.00 10897	473.33	0.00	35500.00	0.00	0.00	0.00
07/01/03	07/01/03 R	473.33	0.00 10989	473.33	0.00	35500.00	0.00	0.00	0.00
08/01/03	08/01/03 R	473.33	0.00 11085	473.33	0.00	35500.00	0.00	0.00	0.00
09/01/03	09/01/03 R	473.33	0.00 11180	473.33	0.00	35500.00	0.00	0.00	0.00
10/01/03	10/01/03 R	473.33	0.00 11276	473.33	0.00	35500.00	0.00	0.00	0.00
11/01/03	11/01/03 R	473.33	0.00 11374	473.33	0.00	35500.00	0.00	0.00	0.00
12/01/03	12/01/03 R	473.33	0.00 11472	473.33	0.00	35500.00	0.00	0.00	0.00
Annual Total:		18062.30	12000.00	6062.30	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/04	01/01/04 R	473.33	0.00 11569	473.33	0.00	35500.00	0.00	0.00	0.00
01/01/04	P	-26000.00	-26000.00 ADD2PRIN	0.00	0.00	61500.00	0.00	0.00	0.00
02/01/04	02/01/04 R	820.00	0.00 11665	820.00	0.00	61500.00	0.00	0.00	0.00
03/01/04	03/01/04 R	820.00	0.00 11771	820.00	0.00	61500.00	0.00	0.00	0.00
03/16/04	P	10000.00	9595.62 2777	404.38	0.00	51904.38	0.00	0.00	0.00
04/01/04	04/01/04 R	768.42	404.38 11876	364.04	0.00	51500.00	0.00	0.00	0.00
05/01/04	05/01/04 R	686.66	0.00 12000	686.66 0.01 AI	0.00	51500.00	0.00	0.00	0.00
06/01/04	06/01/04 R	686.68	0.00 12105	686.66 0.02 AI	0.00	51500.00	0.00	0.00	0.00
06/01/04	P	0.00	0.00 12105	0.02	0.00	51500.00	0.00	0.00	0.00
06/01/04	P	50000.00	50000.00 2839	0.00	0.00	1500.00	0.00	0.00	0.00
07/01/04	07/01/04 R	20.00	0.00 12234	20.00	0.00	1500.00	0.00	0.00	0.00
08/01/04	08/01/04 R	20.00	0.00 12365	20.00	0.00	1500.00	0.00	0.00	0.00
08/01/04	P	-50000.00	-50000.00 DRYWALL	0.00	0.00	51500.00	0.00	0.00	0.00
09/01/04	09/01/04 R	686.66	0.00 12505	686.66 0.01 AI	0.00	51500.00	0.00	0.00	0.00
10/01/04	10/01/04 R	686.66	0.00 12652	686.66 0.02 AI	0.00	51500.00	0.00	0.00	0.00

## Investment Pay History

Reference

01-0501-PY NNU

Page 4

Printed 02/18/13

Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		Svc
							Escrow	Late	
11/01/04	11/01/04 R	686.66	0.00	686.66	0.00	51500.00	0.00	0.00	0.00
			12810	0.03 AI					
12/01/04	12/01/04 R	686.66	0.00	686.66	0.00	51500.00	0.00	0.00	0.00
			12967	0.04 AI					
Annual Total:		67041.73	-16000.00	7041.73	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/05	01/01/05 R	686.66	0.00	686.66	0.00	51500.00	0.00	0.00	0.00
			13141	0.05 AI					
02/01/05	02/01/05 R	686.66	0.00	686.66	0.00	51500.00	0.00	0.00	0.00
			13309	0.06 AI					
02/10/05	P	-75000.00	-75000.00	0.00	0.00	126500.00	0.00	0.00	0.00
			ADD2PRIN	203.24 AI					
03/01/05	03/01/05 R	1256.83	0.00	686.66	0.00	126500.00	0.00	0.00	0.00
			13489	570.17 AI					
03/01/05	P	0.00	0.00	570.17	0.00	126500.00	0.00	0.00	0.00
			13489						
03/08/05	P	388.16	0.00	388.16	0.00	126500.00	0.00	0.00	0.00
			13519						
03/08/05	P	20000.00	20000.00	0.00	0.00	106500.00	0.00	0.00	0.00
			2991						
04/01/05	04/01/05 R	1120.44	0.00	1120.44	0.00	106500.00	0.00	0.00	0.00
			13688						
04/05/05	P	19482.80	19296.06	186.74	0.00	87203.94	0.00	0.00	0.00
			WIRETRAN						
04/05/05	P	10517.20	10517.20	0.00	0.00	76686.74	0.00	0.00	0.00
			3010						
04/15/05	Y	77022.90	76686.74	336.16	0.00	0.00	0.00	0.00	0.00
			3016						
05/01/05	05/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/05	06/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/05	07/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/05	08/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/05	09/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/05	10/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/05	11/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/05	12/01/05 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/05	01/01/06 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						
05/01/05	02/01/06 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			BRINGCUR						



**Investment Pay History**

Reference

SL01-0501-PY NNU Page 5  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----		Svc
							Escrow	Late	
05/01/05	03/01/06 R	0.00	0.00 BRINGCUR	0.00	0.00	0.00	0.00	0.00	0.00
05/01/05	04/01/06 R	0.00	0.00 BRINGCUR	0.00	0.00	0.00	0.00	0.00	0.00
05/01/05	05/01/06 R	0.00	0.00 BRINGCUR	0.00	0.00	0.00	0.00	0.00	0.00
<b>Annual Total:</b>		<b>131161.65</b>	<b>51500.00</b>	<b>4661.65</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
05/01/06	P	-228637.89	-228637.89 SDBRYFML	0.00	0.00	228637.89	0.00	0.00	0.00
06/01/06	06/01/06 R	1100.00	0.00 17829	1100.00 1186.38 AI	0.00	228637.89	0.00	0.00	0.00
06/01/06	P	1186.38	0.00 17830	1186.38	0.00	228637.89	0.00	0.00	0.00
06/01/06	P	-1186.38	-1186.38 ADD2PRIN	0.00	0.00	229824.27	0.00	0.00	0.00
06/01/06	Y	229824.27	229824.27 2G&KSUD	0.00	0.00	0.00	0.00	0.00	0.00
<b>Annual Total:</b>		<b>232110.65</b>	<b>0.00</b>	<b>2286.38</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Report Total:</b>		<b>456561.34</b>	<b>0.00</b>	<b>28237.07</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Balances:</b>						<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Taxable: 28237.07

### Investment Pay History

Reference

GS05-0617-PY NNU  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Additional information

Regular pmt:	1100.00	Int rate:	12.000 12.000	Acct closed:	05/01/06	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	06/17/05
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	07/01/10
Total pmt:	1100.00	NSF fee:	25.00				
Ord/Day/LFee: 1/15	44.00	Reminder:	07/01/10				
Total if late:	1144.00	MATURITY DATE					

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----		
							Escrow	Late	Svc
06/17/05		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
06/17/05	P	-220000.00	-220000.00	0.00	0.00	220000.00	0.00	0.00	0.00
			2454						
08/01/05	08/01/05 R	3254.79	0.00	2200.00	0.00	220000.00	0.00	0.00	0.00
			14629	1054.79 AI					
08/01/05	P	0.00	0.00	1054.79	0.00	220000.00	0.00	0.00	0.00
			14629						
09/01/05	09/01/05 R	2200.00	0.00	2200.00	0.00	220000.00	0.00	0.00	0.00
			14892						
10/01/05	10/01/05 R	2200.00	0.00	2200.00	0.00	220000.00	0.00	0.00	0.00
			15170						
11/01/05	11/01/05 R	2200.00	0.00	2200.00	0.00	220000.00	0.00	0.00	0.00
			15458						
12/01/05	12/01/05 R	2200.00	0.00	2200.00	0.00	220000.00	0.00	0.00	0.00
			15763						
<b>Annual Total:</b>		<b>12054.79</b>	<b>-220000.00</b>	<b>12054.79</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/01/06	01/01/06 R	2200.00	0.00	2200.00	0.00	220000.00	0.00	0.00	0.00
			16077						
01/27/06	P	-4000.00	-4000.00	0.00	0.00	224000.00	0.00	0.00	0.00
			2210	1880.55 AI					
02/01/06	02/01/06 R	1100.00	0.00	1100.00	0.00	224000.00	0.00	0.00	0.00
			16403	1148.77 AI					
02/01/06	P	1148.77	0.00	1148.77	0.00	224000.00	0.00	0.00	0.00
			16404						
02/01/06	P	-1148.77	-1148.77	0.00	0.00	225148.77	0.00	0.00	0.00
			ADD2PRIN						
03/01/06	03/01/06 R	1100.00	0.00	1100.00	0.00	225148.77	0.00	0.00	0.00
			16738	1151.49 AI					
03/01/06	P	1151.49	0.00	1151.49	0.00	225148.77	0.00	0.00	0.00
			16739						
03/01/06	P	-1151.49	-1151.49	0.00	0.00	226300.26	0.00	0.00	0.00
			ADD2PRIN						
04/01/06	04/01/06 R	1100.00	0.00	1100.00	0.00	226300.26	0.00	0.00	0.00
			17092	1163.00 AI					

**Investment Pay History**

Reference

GS05-0617-PY NNU Page 2  
 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----		Svc
							Escrow	Late	
04/01/06	P	1163.00	0.00 17093	1163.00	0.00	226300.26	0.00	0.00	0.00
04/01/06	P	-1163.00	-1163.00 ADD2PRIN	0.00	0.00	227463.26	0.00	0.00	0.00
05/01/06	05/01/06 R	1100.00	0.00 17464	1100.00 1174.63 AI	0.00	227463.26	0.00	0.00	0.00
05/01/06	P	1174.63	0.00 17465	1174.63	0.00	227463.26	0.00	0.00	0.00
05/01/06	P	-1174.63	-1174.63 ADD2PRIN	0.00	0.00	228637.89	0.00	0.00	0.00
05/01/06	P	228637.89	228637.89 2SLSQUES	0.00	0.00	0.00	0.00	0.00	0.00
<b>Annual Total:</b>		<b>239875.78</b>	<b>220000.00</b>	<b>11237.89</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Report Total:</b>		<b>251930.57</b>	<b>0.00</b>	<b>23292.68</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Balances:</b>						<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Taxable: 23292.68

JS 44 (Rev. 12/12)

**CIVIL COVER SHEET**

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

**I. (a) PLAINTIFFS**

R. Wayne Klein, as Receiver

(b) County of Residence of First Listed Plaintiff Salt Lake  
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number)  
Peggy Hunt  
Dorsey & Whitney, LLP  
136 South Main Street #1000, SLC, UT 84101; 801-933-7360

**DEFENDANTS**

The ESTATE OF GLEN SUDBURY, KATHY SUDBURY, GLEN SUDBURY DRYWALL COMPANY, LLC, SLS QUEST, LLC, G & K SUDBURY LP, GLEN E. & KATHY S. SUDBURY TRUST 12/95 and J

County of Residence of First Listed Defendant Salt Lake  
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

**II. BASIS OF JURISDICTION** (Place an "X" in One Box Only)

- 1 U.S. Government Plaintiff
- 3 Federal Question (U.S. Government Not a Party)
- 2 U.S. Government Defendant
- 4 Diversity (Indicate Citizenship of Parties in Item III)

**III. CITIZENSHIP OF PRINCIPAL PARTIES** (Place an "X" in One Box for Plaintiff and One Box for Defendant)

	PTF	DEF		PTF	DEF
Citizen of This State	<input type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated or Principal Place of Business In This State	<input type="checkbox"/> 4	<input type="checkbox"/> 4
Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business In Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5
Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6

**IV. NATURE OF SUIT** (Place an "X" in One Box Only)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input checked="" type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	<b>PERSONAL INJURY</b> <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	<b>PERSONAL INJURY</b> <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability <b>PERSONAL PROPERTY</b> <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other <b>LABOR</b> <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act <b>IMMIGRATION</b> <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 <b>PROPERTY RIGHTS</b> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark <b>SOCIAL SECURITY</b> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) <b>FEDERAL TAX SUITS</b> <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS---Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes

**V. ORIGIN** (Place an "X" in One Box Only)

- 1 Original Proceeding
- 2 Removed from State Court
- 3 Remanded from Appellate Court
- 4 Reinstated or Reopened
- 5 Transferred from Another District (specify)
- 6 Multidistrict Litigation

**VI. CAUSE OF ACTION**

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):  
28 U.S.C. § 754  
Brief description of cause:  
Recovery of the value of transfers from Defendant for the benefit of the receivership estate.

**VII. REQUESTED IN COMPLAINT:**

CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P. **DEMAND \$** 619,256.07 **CHECK YES only if demanded in complaint:**  
**JURY DEMAND:**  Yes  No

**VIII. RELATED CASE(S) IF ANY**

(See instructions): JUDGE Jenkins DOCKET NUMBER 2:12-cv-00591

DATE 6/20/13 SIGNATURE OF ATTORNEY OF RECORD 

FOR OFFICE USE ONLY

RECEIPT # \_\_\_\_\_ AMOUNT \_\_\_\_\_ APPLYING IFP \_\_\_\_\_ JUDGE \_\_\_\_\_ MAG. JUDGE \_\_\_\_\_