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*Attorneys for Court-Appointed Receiver R. Wayne Klein*

**UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH  
CENTRAL DIVISION**

<p>R. WAYNE KLEIN, as Receiver,  Plaintiff,  v.  DAVE SIEVERTS, a Utah resident; CAROL SIEVERTS, a Utah resident; and JOHN DOES 1-5,  Defendants.</p>	<p><b>COMPLAINT</b>  (Ancillary to Case No. 2:12-cv-00591)  Civil No. _____</p>
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R. Wayne Klein, the Court-Appointed Receiver (the “Receiver” or “Plaintiff”) of National Note of Utah, LC (“National Note”), its subsidiaries and affiliates (collectively, unless otherwise stated, National Note and all subsidiaries and affiliated entities are referred to herein as “NNU”), and the assets of Wayne LaMar Palmer (“Palmer”), in the case styled as *Securities and Exchange Commission v. National Note of Utah, LC et al.*, Case No. 2:12-cv-00591 (D. Utah) (Jenkins, J.) (the “SEC Civil Enforcement Case”), hereby files this Complaint against Dave Sieverts, Carol Sieverts, and John Does 1-5 (“Defendant Does”) (collectively, “Defendants”), and states, alleges and avers as follows:

## **STATEMENT OF THE CASE**

1. NNU was operated as an enterprise with all of the characteristics of a Ponzi scheme through which money was solicited from investors.<sup>1</sup> Upon information and belief, Defendants Dave Sieverts and Carol Sieverts were NNU investors who received monies from NNU, and the Receiver seeks to avoid the transfers and/or recover the value of the transfers from Defendants for the benefit of the receivership estate established in the SEC Civil Enforcement Case discussed in greater detail below.

## **PARTIES**

2. Pursuant to an Order Appointing Receiver and Staying Litigation entered on June 25, 2012 in the SEC Civil Enforcement Case (the “Receivership Order”),<sup>2</sup> Plaintiff is the duly-appointed Receiver for National Note and Palmer “together with any and all subsidiaries and affiliated entities of National Note and Palmer. . . .”<sup>3</sup>

3. Upon information and belief, Defendant Dave Sieverts is a resident of or is domiciled in in the State of Utah.

4. Upon information and belief, Defendant Carol Sieverts is a resident of or is domiciled in in the State of Utah. Collectively, Dave Sieverts and Carol Sieverts are referred to herein as “Sieverts.”

5. Upon information and belief, Defendant Does are currently unknown parties who have received monies or property from NNU, or are persons to whom Sieverts has transferred monies or property received from NNU.

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<sup>1</sup> See SEC Civil Enforcement Case, Docket No. 1 (Complaint).

<sup>2</sup> SEC Civil Enforcement Case, Docket No. 9.

<sup>3</sup> *Id.* (Receivership Order, pp. 1-2).

### **JURISDICTION AND VENUE**

6. Subject matter jurisdiction is proper in this Court pursuant to 28 U.S.C. §1367.
7. The Court has personal jurisdiction over Defendants.
8. Venue is proper in this Court pursuant to 28 U.S.C. § 754.

### **FACTS**

#### **The Ponzi Scheme**

9. Since at least 1994 until the commencement of the SEC Civil Enforcement Case, NNU raised capital by soliciting investors to purchase promissory notes, which typically promised to pay interest at a rate of interest above market rates.

10. Upon information and belief, investors understood that they were investing in an enterprise that, among other things, bought and sold mortgage notes, underwrote and made loans, or bought and sold real estate assets through National Note, or one of many affiliated entities subject to the Receivership Order, all of which are referred to herein collectively as “NNU.”

11. Typically, investment funds were deposited in a commingled bank account controlled by NNU. NNU would then transfer such investor funds to another bank account (the “Investor Account”).

12. Monies on deposit in the Investor Account were commingled, and transfers to investors by NNU were made from the commingled funds on deposit in that Investor Account.

13. At all times relevant hereto, NNU was insolvent.

#### **Defendant’s Investment and the Transfers**

14. On or about 1998, Sieverts commenced investing with NNU. A history of Sieverts’ investment(s) is attached hereto as Exhibit A.

15. Sieverts paid NNU cash in the total amount of \$524,800.00 on or about 1998,

1999, 2002, and 2005 (the “Principal Cash Investment”).

16. As set forth on Exhibit A, NNU transferred a total of \$595,868.13 in cash to Sieverts (the “Total Transfers”).

17. Of the Total Transfers, \$71,068.13 is an amount that is over and above Sieverts’ Principal Cash Investment (the “False Profit Transfers”) (collectively, the Total Transfers and the False Profit Transfers are the “Transfers”).<sup>4</sup>

### **The SEC Civil Case and the Receiver’s Appointment**

18. On June 25, 2012, the SEC Civil Enforcement Case was filed, alleging that NNU is a Ponzi scheme, and seeking, among other things, orders (a) restraining and enjoining NNU and Palmer from continuing to violate federal securities laws, (b) freezing assets and prohibiting NNU from transferring, changing, wasting, dissipating, converting, concealing, or otherwise disposing of assets, (c) prohibiting NNU from destroying, mutilating, concealing, transferring, altering, or otherwise disposing of NNU’s books and records, (d) imposing civil money penalties against NNU and Palmer, and (e) requiring the disgorgement by NNU and Palmer of all ill-gotten gains received by them pursuant to the scheme.<sup>5</sup>

19. Also on June 25, 2012, as a result of the filing of the SEC Civil Enforcement Action, the Court entered a Temporary Restraining Order and Order to Show Cause against the defendants<sup>6</sup> and the Receivership Order appointing the Receiver.<sup>7</sup> Since that time, both National Note and Palmer have stipulated to a Preliminary Injunction Order that prohibits National Note and Palmer from committing any further acts in furtherance of the Ponzi scheme and that

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<sup>4</sup> See Exh. A.

<sup>5</sup> SEC Civil Enforcement Case, Docket No. 1 (Complaint).

<sup>6</sup> *Id.*, Docket No. 7.

<sup>7</sup> *Id.*, Docket No. 9.

prohibits National Note and Palmer from withdrawing, transferring, selling, buying, pledging, encumbering, assigning, dissipating, concealing, or otherwise disposing of any of their assets.<sup>8</sup>

20. On or about May 21, 2013, the Court entered an Order authorizing the Receiver to commence legal proceedings for the benefit of and on behalf of the receivership estate.<sup>9</sup>

**FIRST CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8)*

21. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

22. NNU was engaged in an enterprise with all of the characteristics of a Ponzi scheme.

23. NNU made the Transfers to Sieverts in furtherance of the Ponzi scheme.

24. At all relevant times hereto, NNU had at least one creditor.

25. The Transfers were made and any obligations to Sieverts were incurred with actual intent to hinder, delay or defraud a creditor of NNU.

26. Pursuant to Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8, the Receiver may avoid and recover the Transfers to Sieverts, or in the event such Transfers were transferred, from the Defendant Does.

27. Alternatively, to the extent that Sieverts took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Sieverts, or in the event such False Profit Transfers were transferred, from the Defendant Does.

**SECOND CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8)*

28. The Receiver re-alleges and incorporates herein by reference each of the

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<sup>8</sup> *Id.*, Docket Nos. 45 and 46.

<sup>9</sup> *Id.*, Docket No. 315.

preceding allegations as if set forth completely herein.

29. NNU was engaged in an enterprise that has all of the characteristics of a Ponzi scheme.

30. NNU made the Transfers to Sieverts in furtherance of the Ponzi scheme.

31. At all relevant times hereto, NNU had at least one creditor.

32. The Transfers were made or the obligations to Sieverts were incurred by NNU without receiving a reasonably equivalent value in exchange for the Transfers or obligations.

33. At the time the Transfers were made, NNU (a) was engaged or was about to be engaged in a business or transaction for which the remaining assets of NNU were unreasonably small in relation to the business or transaction; or (b) intended to incur, or believed or reasonably should have believed that it would incur, debts beyond its ability to pay as such debts became due.

34. Pursuant to Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8, the Receiver may avoid and recover the Transfers to Sieverts, or in the event such Transfers were transferred, from the Defendant Does.

35. Alternatively, to the extent that Sieverts took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Sieverts, or in the event such False Profit Transfers were transferred, from the Defendant Does.

**THIRD CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-6(1) and 25-6-8)*

36. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

37. NNU was engaged in a Ponzi scheme.

38. NNU made the Transfers to Sieverts in furtherance of the Ponzi scheme

39. NNU had at least one creditor at the time that the Transfers were made or the obligation to Sieverts was incurred.

40. The Transfers were made or the obligation to Sieverts was incurred by NNU without NNU receiving a reasonably equivalent value in exchange for the Transfer or obligation.

41. NNU was insolvent at the time the Transfers were made or the obligation was incurred, or became insolvent as a result of the Transfers or the obligation incurred.

42. Pursuant to Utah Code Ann. §§ 25-6-6(1) and 25-6-8, the Receiver may avoid and recover the Transfers to Sieverts, or in the event such Transfers were transferred, from the Defendant Does.

43. Alternatively, to the extent that Sieverts took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Sieverts, or in the event such Transfers were transferred, from the Defendant Does.

**FOURTH CLAIM FOR RELIEF**  
*(Constructive Trust)*

44. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

45. The Transfers to Defendants were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

46. Allowing Defendants to retain the Transfers would unjustly enrich Defendants and would be inequitable.

47. The Transfers can be traced to wrongful behavior.

48. An injustice would result if Defendants were allowed to keep the Transfers.

49. A constructive trust for the benefit of the receivership estate must be imposed for the benefit of the receivership estate in the amount of the Transfers made by NNU to Defendants,

or in the alternative if Defendants acted in good faith, for the False Profit Transfers.

**FIFTH CLAIM FOR RELIEF**

*(Unjust Enrichment)*

50. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

51. The Transfers to Defendants were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

52. The Transfers conferred a benefit upon Defendants.

53. The Defendants knowingly benefitted from the Transfers.

54. Allowing Defendants to retain the Transfers would unjustly enrich Defendants and would be inequitable.

55. Absent return of the Transfers, the receivership estate will be damaged by Defendants' unjust enrichment and may have no adequate remedy at law.

56. Defendants must disgorge the amount of the Transfers, or if Defendants acted in good faith, the False Profit Transfers, for the benefit of the receivership estate.

**SIXTH CLAIM FOR RELIEF**

*(Disgorgement)*

57. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

58. The Transfers were made as part of and in furtherance of a Ponzi scheme.

59. The Transfers were ill-gotten by Defendants.

60. Defendants have no claim to the Transfers made by NNU, or derivatively, from NNU's investors.

61. All Transfers made to Defendants, or if Defendants acted in good faith, the False



Profit Transfers, should be disgorged to the Receiver for the benefit of the receivership estate.

**PRAYER FOR RELIEF**

WHEREFORE, the Receiver prays for Judgment against Defendants as follows:

A. Pursuant to the Receiver's First Claim for Relief, judgment against Defendants avoiding the Transfers under Utah Code Ann. §§ 25-6-5(a)(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$595,868.13, or alternatively, the amount of the False Profit Transfers, in the total amount of \$71,068.13.

B. Pursuant to the Receiver's Second Claim for Relief, judgment against Defendants avoiding the Transfers under Utah Code Ann. §§ 25-6-5(a)(2) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$595,868.13, or alternatively, the amount of the False Profit Transfers, in the total amount of \$71,068.13.

C. Pursuant to the Receiver's Third Claim for Relief, judgment against Defendants avoiding the Transfers under Utah Code Ann. §§ 25-6-6(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$595,868.13, or alternatively, the amount of the False Profit Transfers, in the total amount of \$71,068.13.

D. Pursuant to the Receiver's Fourth Claim for Relief, judgment against Defendants imposing a constructive trust for the benefit of the receivership estate on any and all Transfers, or alternatively, all False Profit Transfers.

E. Pursuant to the Receiver's Fifth Claim for Relief, judgment against Defendants for unjust enrichment, and requiring Defendants to disgorge the Transfers in the total amount of \$595,868.13, or alternatively, the amount of the False Profit Transfers, in the total amount of \$71,068.13.

F. Pursuant to the Receiver's Sixth Claim for Relief, entry of an Order requiring

Defendants to disgorge the Transfers in the total amount of \$595,868.13, or alternatively, the amount of the False Profit Transfers, in the total amount of \$71,068.13.

G. Judgment for pre-judgment interest, costs, and fees, including reasonable attorney's fees, as may be allowed by law.

H. For such other and further relief as the Court deems just and proper.

DATED this 20<sup>th</sup> day of June, 2013.

**DORSEY & WHITNEY LLP**

*/s/ Peggy Hunt*

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Peggy Hunt

Chris Martinez

Jeffrey M. Armington

*Attorneys for Receiver*

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts CS980218A-PY NNU  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Internal report: Do not mail to this address!

Sieverts, Dave  
 1646 West 11745 South  
 Riverton, UT 84065

Additional information

Christofferson Clearfield UT  
 Carol Sieverts

W: 8- -

H: 801-254-3026

H: 801-254-3026

Regular pmt:	300.00	Int rate:	12.000	12.000	Acct closed:	10/01/98	Orig bal:	30000.00
Escrow pmt:	0.00	Int calc:		Fixed			Orig date:	02/18/98
Service fee:	0.00	Pmt type:	1099 T	USRule 365 M			Maturity:	03/01/03
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Ord/Day/LFee:	2/15							
	6.00							
Total if late:	306.00							

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----		
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02/18/98	P	-30000.00	-30000.00	0.00	0.00	60000.00	0.00	0.00	0.00
			FROMSUSP						
03/31/98	04/01/98 R	414.25	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6329	114.25 AI					
03/31/98	P	0.00	0.00	114.25	0.00	60000.00	0.00	0.00	0.00
			6329						
04/30/98	05/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
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05/27/98	06/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
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06/30/98	07/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6496						
07/31/98	08/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6558						
09/01/98	09/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
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09/29/98	10/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6680						
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			PO2SUSP						
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<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Report Total:</b>		<b>32214.25</b>	<b>30000.00</b>	<b>2214.25</b>	<b>-30000.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Balances:</b>						<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Taxable: -27785.75

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts CS980218C-PY NNU  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Internal report: Do not mail to this address!

Sieverts, Dave  
 1646 West 11745 South  
 Riverton, UT 84065

Additional information

Mullins Note Leeds UT  
 Carol Sieverts

W: 8- -

H: 801-254-3026

H: 801-254-3026

Regular pmt:	300.00	Int rate:	12.000	12.000	Acct closed:	08/11/98	Orig bal:	30000.00
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Total pmt:	300.00	NSF fee:		0.00			Balloon:	30300.00
Ord/Day/LFee:	2/15	6.00						
Total if late:	306.00							

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----		
							Escrow	Late	Svc
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02/18/98	P	-30000.00	-30000.00	0.00	0.00	60000.00	0.00	0.00	0.00
			FROMSUSP						
03/31/98	04/01/98 R	414.25	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6329	114.25	AI				
03/31/98	P	0.00	0.00	114.25	0.00	60000.00	0.00	0.00	0.00
			6329						
04/30/98	05/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6385						
05/27/98	06/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6437						
06/30/98	07/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6496						
07/31/98	08/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6558						
08/11/98	P	30098.63	60000.00	98.63	-30000.00	0.00	0.00	0.00	0.00
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<b>Balances:</b>						<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Taxable: -28287.12

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts CS98-0922-PY NNU  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Internal report: Do not mail to this address!

Sieverts, Dave  
 1646 West 11745 South  
 Riverton, UT 84065

Additional information

Cothron Note Layton UT 84041  
 Carol Sieverts

W: 8- - H: 801-254-3026

Regular pmt:	149.00	Int rate:	12.000 12.000	Acct closed:	08/01/99	Orig bal:	14900.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	09/01/98
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			FROMSUSP						
09/29/98	10/01/98 R	149.00	0.00	149.00	0.00	29800.00	0.00	0.00	0.00
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11/30/98	12/01/98 R	149.00	0.00	149.00	0.00	29800.00	0.00	0.00	0.00
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<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/04/99	01/01/99 R	149.00	0.00	149.00	0.00	29800.00	0.00	0.00	0.00
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03/01/99	03/01/99 R	149.00	0.00	149.00	0.00	29800.00	0.00	0.00	0.00
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03/30/99	04/01/99 R	149.00	0.00	149.00	0.00	29800.00	0.00	0.00	0.00
			7045						
04/29/99	05/01/99 R	149.00	0.00	149.00	0.00	29800.00	0.00	0.00	0.00
			7101						
06/01/99	06/01/99 R	149.00	0.00	149.00	0.00	29800.00	0.00	0.00	0.00
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07/01/99	07/01/99 R	149.00	0.00	149.00	0.00	29800.00	0.00	0.00	0.00
			7219						
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<b>Escrow Paid Out:</b>							<b>0.00</b>		

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts CS98-0922-PY NNU Page 2  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
<b>Report Total:</b>		16539.00	14900.00	1639.00	-14900.00		0.00	0.00	0.00
<b>Escrow Paid Out:</b>							0.00		
<b>Balances:</b>						0.00	0.00	0.00	0.00

Taxable: -13261.00

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts CS99-0101-PY NNU  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Internal report: Do not mail to this address!

Sieverts, Dave  
 1646 West 11745 South  
 Riverton, UT 84065

Additional information

Shakespeare Note  
 Carol Sieverts

W: 8- - H: 801-254-3026

Regular pmt:	370.00	Int rate:	12.000	12.000	Acct closed:	08/01/99	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:		Fixed			Orig date:	01/01/99
Service fee:	0.00	Pmt type:	1099 T	USRule 365 M			Maturity:	01/01/04
Total pmt:	370.00	NSF fee:		0.00				
Ord/Day/LFee:	2/15							
	7.40							
Total if late:	377.40							

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		
							Escrow	Late	Svc
01/01/99		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
01/01/99	P	-37000.00	-37000.00	0.00	0.00	37000.00	0.00	0.00	0.00
			FROMSUSP						
02/01/99	02/01/99 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			6917						
03/01/99	03/01/99 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			6974						
03/30/99	04/01/99 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			7045						
04/29/99	05/01/99 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			7101						
06/01/99	06/01/99 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			7157						
07/01/99	07/01/99 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			7219						
08/01/99	08/01/99 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			7274						
08/01/99	Y	37000.00	37000.00	0.00	0.00	0.00	0.00	0.00	0.00
			PO2SUSP						
<b>Annual Total:</b>		<b>39590.00</b>	<b>0.00</b>	<b>2590.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Report Total:</b>		<b>39590.00</b>	<b>0.00</b>	<b>2590.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
<b>Balances:</b>						<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Taxable: 2590.00

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts DS98-1201-PY NNU  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Internal report: Do not mail to this address!

Sieverts, Dave  
 1646 West 11745 South  
 Riverton, UT 84065

Additional information

Fullmer Note  
 Carol Sieverts

W: 8- - H: 801-254-3026

Regular pmt:	80.00	Int rate:	12.000	12.000	Acct closed:	08/01/99	Orig bal:	0.00
Escrow pmt:	0.00	Int calc:		Fixed			Orig date:	12/01/98
Service fee:	0.00	Pmt type:	1099 T	USRule 365 M			Maturity:	12/01/04
Total pmt:	80.00	NSF fee:		0.00				
Ord/Day/LFee:	2/15			1.60				
Total if late:	81.60							

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc	
12/01/98		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
12/01/98	P	-8000.00	-8000.00	0.00	0.00	8000.00	0.00	0.00	0.00	
			FROMSUSP							
Annual Total:		0.00	-8000.00	0.00	0.00		0.00	0.00	0.00	
Escrow Paid Out:							0.00			
01/04/99	01/01/99 R	80.00	0.00	80.00	0.00	8000.00	0.00	0.00	0.00	
			6855							
02/01/99	02/01/99 R	80.00	0.00	80.00	0.00	8000.00	0.00	0.00	0.00	
			6917							
03/01/99	03/01/99 R	80.00	0.00	80.00	0.00	8000.00	0.00	0.00	0.00	
			6974							
03/30/99	04/01/99 R	80.00	0.00	80.00	0.00	8000.00	0.00	0.00	0.00	
			7045							
04/29/99	05/01/99 R	80.00	0.00	80.00	0.00	8000.00	0.00	0.00	0.00	
			7101							
06/01/99	06/01/99 R	80.00	0.00	80.00	0.00	8000.00	0.00	0.00	0.00	
			7157							
07/01/99	07/01/99 R	80.00	0.00	80.00	0.00	8000.00	0.00	0.00	0.00	
			7219							
08/01/99	08/01/99 R	80.00	0.00	80.00	0.00	8000.00	0.00	0.00	0.00	
			7274							
08/01/99	Y	8000.00	8000.00	0.00	0.00	0.00	0.00	0.00	0.00	
			PO2SUSP							
Annual Total:		8640.00	8000.00	640.00	0.00		0.00	0.00	0.00	
Escrow Paid Out:							0.00			
Report Total:		8640.00	0.00	640.00	0.00		0.00	0.00	0.00	
Escrow Paid Out:							0.00			
Balances:						0.00	0.00	0.00	0.00	

Taxable: 640.00



**National Note of Utah, LC**

1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference  
 Sieverts SIEVESUSP-PY SUSP  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Internal report: Do not mail to this address!

Sieverts, Dave  
 1646 West 11745 South  
 Riverton, UT 84065

Additional information

Dave & Carol Sieverts # 560  
 Carol Sieverts 1646 West 11745 South Riverton  
 UT 84065

W: 8- -

H: 801-254-3026

H: 801-254-3026

Regular pmt:	2000.00	Int rate:	12.000	12.000	Rem pmts:	7/ 7	Orig bal:	100000.00
Escrow pmt:	0.00	Int calc:		Fixed	Per diem:	65.75	Orig date:	02/18/98
Service fee:	0.00	Pmt type:	1099 T	USRULE 365 M	Next due:	12/01/11	Maturity:	06/01/12
Total pmt:	2000.00	NSF fee:		0.00	Arrears:	14280.00	Balloon:	200000.00
Ord/Day/LFee:	2/15 40.00	Reminder:		06/01/12				
Total if late:	2040.00	MATURITY DATE						

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----		
							Escrow	Late	Svc
02/18/98		0.00	0.00	0.00	0.00	100000.00	0.00	0.00	0.00
02/18/98	P	30000.00	30000.00	0.00	0.00	70000.00	0.00	0.00	0.00
			2CHRISTO						
02/18/98	P	40000.00	40000.00	0.00	0.00	30000.00	0.00	0.00	0.00
			2RUTHERF						
02/18/98	P	30000.00	30000.00	0.00	0.00	0.00	0.00	0.00	0.00
			2MULLINS						
03/31/98	04/01/98 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
04/30/98	05/01/98 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
05/27/98	06/01/98 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
06/30/98	07/01/98 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
07/31/98	08/01/98 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
08/11/98	P	-30000.00	-30000.00	0.00	0.00	30000.00	0.00	0.00	0.00
			POMULLIN						
09/01/98	09/01/98 R	207.12	0.00	207.12	0.00	30000.00	0.00	0.00	0.00
			6619						
09/01/98	P	14900.00	14900.00	0.00	0.00	15100.00	0.00	0.00	0.00
			2COTHRON						
09/29/98	10/01/98 R	151.00	0.00	151.00	0.00	15100.00	0.00	0.00	0.00
			6680						
10/01/98	P	-30000.00	-30000.00	0.00	0.00	45100.00	0.00	0.00	0.00
			FROMCHRI						
10/29/98	11/01/98 R	451.00	0.00	451.00	0.00	45100.00	0.00	0.00	0.00
			6742						
11/30/98	12/01/98 R	451.00	0.00	451.00	0.00	45100.00	0.00	0.00	0.00
			6798						
12/01/98	P	8000.00	8000.00	0.00	0.00	37100.00	0.00	0.00	0.00
			2FULLMER						
<b>Annual Total:</b>		<b>124160.12</b>	<b>62900.00</b>	<b>1260.12</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts SIEVESUSP-PY SUSP Page 2  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		Svc
							Escrow	Late	
01/01/99	01/01/99 R	371.00	0.00	0.01	0.00	37100.00	0.00	0.00	0.00
			6855	370.99 AI					
01/01/99	P	0.00	0.00	370.99	0.00	37100.00	0.00	0.00	0.00
			6855						
01/01/99	P	37000.00	37000.00	0.00	0.00	100.00	0.00	0.00	0.00
			2SHAKESP						
02/01/99	02/01/99 R	1.00	0.00	0.01	0.00	100.00	0.00	0.00	0.00
			6917	0.99 AI					
02/01/99	P	0.00	0.00	0.99	0.00	100.00	0.00	0.00	0.00
			6917						
03/01/99	03/01/99 R	1.00	0.00	0.01	0.00	100.00	0.00	0.00	0.00
			6974	0.99 AI					
03/01/99	P	0.00	0.00	0.99	0.00	100.00	0.00	0.00	0.00
			6974						
03/30/99	04/01/99 R	1.00	0.00	0.01	0.00	100.00	0.00	0.00	0.00
			7046	0.99 AI					
03/30/99	P	0.00	0.00	0.99	0.00	100.00	0.00	0.00	0.00
			7046						
04/29/99	05/01/99 R	1.00	0.00	0.01	0.00	100.00	0.00	0.00	0.00
			7101	0.99 AI					
04/29/99	P	0.00	0.00	0.99	0.00	100.00	0.00	0.00	0.00
			7101						
06/01/99	06/01/99 R	1.00	0.00	0.01	0.00	100.00	0.00	0.00	0.00
			7157	0.99 AI					
06/01/99	P	0.00	0.00	0.99	0.00	100.00	0.00	0.00	0.00
			7157						
07/01/99	07/01/99 R	1.00	0.00	0.01	0.00	100.00	0.00	0.00	0.00
			7219	0.99 AI					
07/01/99	P	0.00	0.00	0.99	0.00	100.00	0.00	0.00	0.00
			7219						
08/01/99	08/01/99 R	1.00	0.00	0.01	0.00	100.00	0.00	0.00	0.00
			7274	0.99 AI					
08/01/99	P	0.00	0.00	0.99	0.00	100.00	0.00	0.00	0.00
			7274						
08/01/99	P	-14900.00	-14900.00	0.00	0.00	15000.00	0.00	0.00	0.00
			POCOTHRN						
08/01/99	P	-40000.00	-40000.00	0.00	0.00	55000.00	0.00	0.00	0.00
			PORUTHER						
08/01/99	P	-37000.00	-37000.00	0.00	0.00	92000.00	0.00	0.00	0.00
			POSHAKES						
08/01/99	P	-8000.00	-8000.00	0.00	0.00	100000.00	0.00	0.00	0.00
			POFULLMR						
09/01/99	09/01/99 R	1000.00	0.00	0.01	0.00	100000.00	0.00	0.00	0.00
			7335	999.99 AI					
09/01/99	P	0.00	0.00	999.99	0.00	100000.00	0.00	0.00	0.00
			7335						
10/01/99	10/01/99 R	1000.00	0.00	0.01	0.00	100000.00	0.00	0.00	0.00
			7399	999.99 AI					
10/01/99	P	0.00	0.00	999.99	0.00	100000.00	0.00	0.00	0.00
			7399						

**National Note of Utah, LC**

1549 West 7800 South

West Jordan, UT 84088

801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts SIEVESUSP-PY SUSP Page 3

Printed 02/12/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		Svc
							Escrow	Late	
11/01/99	11/01/99 R	1000.00	0.00	0.01	0.00	100000.00	0.00	0.00	0.00
			7466	999.99 AI					
11/01/99	P	0.00	0.00	999.99	0.00	100000.00	0.00	0.00	0.00
			7466						
11/01/99	P	-20000.00	-20000.00	0.00	0.00	120000.00	0.00	0.00	0.00
			ADD2PRIN						
11/30/99	12/01/99 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			7529	1199.99 AI					
11/30/99	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			7529						
<b>Annual Total:</b>		<b>41578.00</b>	<b>-82900.00</b>	<b>4578.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/04/00	01/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			7596	1199.99 AI					
01/04/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			7596						
01/31/00	02/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			7660	1199.99 AI					
01/31/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			7660						
03/01/00	03/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			7729	1199.99 AI					
03/01/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			7729						
03/31/00	04/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			7796	1199.99 AI					
03/31/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			7796						
05/01/00	05/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			7863	1199.99 AI					
05/01/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			7863						
06/01/00	06/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			7938	1199.99 AI					
06/01/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			7938						
07/01/00	07/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			7998	1199.99 AI					
07/01/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			7998						
07/28/00	08/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			869	1199.99 AI					
07/28/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			869						
09/01/00	09/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			8134	1199.99 AI					
09/01/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			8134						

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts SIEVESUSP-PY SUSP Page 4  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
09/29/00	10/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			8201	1199.99 AI					
09/29/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			8201						
10/31/00	11/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			8267	1199.99 AI					
10/31/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			8267						
11/30/00	12/01/00 R	1200.00	0.00	0.01	0.00	120000.00	0.00	0.00	0.00
			8336	1199.99 AI					
11/30/00	P	0.00	0.00	1199.99	0.00	120000.00	0.00	0.00	0.00
			8336						
12/27/00	P	5000.00	3974.25	1025.75	0.00	116025.75	0.00	0.00	0.00
			2083						
<b>Annual Total:</b>		<b>19400.00</b>	<b>3974.25</b>	<b>15425.75</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/01/01	01/01/01 R	1216.48	0.00	0.01	0.00	116025.75	0.00	0.00	0.00
			8406	190.72 AI					
01/01/01	P	0.00	1025.75	190.72	0.00	115000.00	0.00	0.00	0.00
			8406						
02/01/01	02/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			8476	1149.99 AI					
02/01/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			8476						
03/01/01	03/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			8547	1149.99 AI					
03/01/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			8547						
03/30/01	04/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			8623	1149.99 AI					
03/30/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			8623						
05/01/01	05/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			8700	1149.99 AI					
05/01/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			8700						
05/31/01	06/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			8781	1149.99 AI					
05/31/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			8781						
06/29/01	07/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			8865	1149.99 AI					
06/29/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			8865						
07/30/01	08/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			8947	1149.99 AI					
07/30/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			8947						

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts SIEVESUSP-PY SUSP Page 5  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
08/31/01	09/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			9033	1149.99 AI					
08/31/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			9033						
10/01/01	10/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			9116	1149.99 AI					
10/01/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			9116						
11/01/01	11/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			9203	1149.99 AI					
11/01/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			9203						
11/29/01	12/01/01 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			9285	1149.99 AI					
11/29/01	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			9285						
<b>Annual Total:</b>		<b>13866.48</b>	<b>1025.75</b>	<b>12840.73</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/01/02	01/01/02 R	1150.00	0.00	0.01	0.00	115000.00	0.00	0.00	0.00
			9371	1149.99 AI					
01/01/02	P	0.00	0.00	1149.99	0.00	115000.00	0.00	0.00	0.00
			9371						
02/01/02	02/01/02 R	1150.00	0.00	1150.00	0.00	115000.00	0.00	0.00	0.00
			9456						
02/28/02	03/01/02 R	1150.00	0.00	1150.00	0.00	115000.00	0.00	0.00	0.00
			9545						
04/01/02	04/01/02 R	1150.00	0.00	1150.00	0.00	115000.00	0.00	0.00	0.00
			9626						
05/01/02	05/01/02 R	1150.00	0.00	1150.00	0.00	115000.00	0.00	0.00	0.00
			9710						
06/01/02	06/01/02 R	1150.00	0.00	1150.00	0.00	115000.00	0.00	0.00	0.00
			9795						
07/01/02	07/01/02 R	1150.00	0.00	1150.00	0.00	115000.00	0.00	0.00	0.00
			9879						
08/01/02	08/01/02 R	1150.00	0.00	1150.00	0.00	115000.00	0.00	0.00	0.00
			9970						
09/01/02	09/01/02 R	1150.00	0.00	1150.00	0.00	115000.00	0.00	0.00	0.00
			10060						
10/01/02	10/01/02 R	1150.00	0.00	1150.00	0.00	115000.00	0.00	0.00	0.00
			10154						
10/30/02	P	-65000.00	-65000.00	0.00	0.00	180000.00	0.00	0.00	0.00
			772	1096.44 AI					
11/01/02	11/01/02 R	1214.80	0.00	1150.00	0.00	180000.00	0.00	0.00	0.00
			10249	64.80 AI					
11/01/02	P	0.00	0.00	64.80	0.00	180000.00	0.00	0.00	0.00
			10249						
12/01/02	12/01/02 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			10337						

**National Note of Utah, LC**  
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**Investment Pay History**

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Escrow	Payment/Balance Late	----- Svc
<b>Annual Total:</b>		14514.80	-65000.00	14514.80	0.00		0.00	0.00	0.00
<b>Escrow Paid Out:</b>							0.00		
01/01/03	01/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			10432						
02/01/03	02/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			10520						
03/01/03	03/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			10609						
04/01/03	04/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			10698						
05/01/03	05/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			10794						
06/01/03	06/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			10892						
07/01/03	07/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			10984						
08/01/03	08/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11080						
09/01/03	09/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11175						
10/01/03	10/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11271						
11/01/03	11/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11369						
12/01/03	12/01/03 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11467						
<b>Annual Total:</b>		21600.00	0.00	21600.00	0.00		0.00	0.00	0.00
<b>Escrow Paid Out:</b>							0.00		
01/01/04	01/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11564						
02/01/04	02/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11660						
03/01/04	03/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11766						
04/01/04	04/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11871						
05/01/04	05/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			11995						
06/01/04	06/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			12100						
07/01/04	07/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			12229						
08/01/04	08/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			12360						
09/01/04	09/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			12500						
10/01/04	10/01/04 R	1800.00	0.00	1800.00	0.00	180000.00	0.00	0.00	0.00
			12647						

**National Note of Utah, LC**  
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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
11/01/04	11/01/04 R	1800.00	0.00 12805	1800.00	0.00	180000.00	0.00	0.00	0.00
12/01/04	12/01/04 R	1800.00	0.00 12962	1800.00	0.00	180000.00	0.00	0.00	0.00
<b>Annual Total:</b>		<b>21600.00</b>	<b>0.00</b>	<b>21600.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/01/05	01/01/05 R	1800.00	0.00 13135	1800.00	0.00	180000.00	0.00	0.00	0.00
02/01/05	02/01/05 R	1800.00	0.00 13303	1800.00	0.00	180000.00	0.00	0.00	0.00
03/01/05	03/01/05 R	1800.00	0.00 13483	1800.00	0.00	180000.00	0.00	0.00	0.00
04/01/05	04/01/05 R	1800.00	0.00 13682	1800.00	0.00	180000.00	0.00	0.00	0.00
05/01/05	05/01/05 R	1800.00	0.00 13890	1800.00	0.00	180000.00	0.00	0.00	0.00
06/01/05	06/01/05 R	1800.00	0.00 14109	1800.00	0.00	180000.00	0.00	0.00	0.00
07/01/05	07/01/05 R	1800.00	0.00 15351	1800.00	0.00	180000.00	0.00	0.00	0.00
08/01/05	08/01/05 R	1800.00	0.00 14608	1800.00	0.00	180000.00	0.00	0.00	0.00
09/01/05	09/01/05 R	1800.00	0.00 14871	1800.00	0.00	180000.00	0.00	0.00	0.00
10/01/05	10/01/05 R	1800.00	0.00 15149	1800.00	0.00	180000.00	0.00	0.00	0.00
11/01/05	11/01/05 R	1800.00	0.00 15437	1800.00	0.00	180000.00	0.00	0.00	0.00
12/01/05	12/01/05 R	1800.00	0.00 15740	1800.00	0.00	180000.00	0.00	0.00	0.00
12/22/05	P	-20000.00	-20000.00 895	0.00 1242.74 AI	0.00	200000.00	0.00	0.00	0.00
<b>Annual Total:</b>		<b>21600.00</b>	<b>-20000.00</b>	<b>21600.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/01/06	01/01/06 R	1900.27	0.00 16054	1800.00 100.27 AI	0.00	200000.00	0.00	0.00	0.00
01/01/06	P	0.00	0.00 16054	100.27	0.00	200000.00	0.00	0.00	0.00
02/01/06	02/01/06 R	2000.00	0.00 16380	2000.00	0.00	200000.00	0.00	0.00	0.00
03/01/06	03/01/06 R	2000.00	0.00 16716	2000.00	0.00	200000.00	0.00	0.00	0.00
04/01/06	04/01/06 R	2000.00	0.00 17070	2000.00	0.00	200000.00	0.00	0.00	0.00
05/01/06	05/01/06 R	2000.00	0.00 17441	2000.00	0.00	200000.00	0.00	0.00	0.00
06/01/06	06/01/06 R	2000.00	0.00 17822	2000.00	0.00	200000.00	0.00	0.00	0.00

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
07/01/06	07/01/06 R	2000.00	0.00 18212	2000.00	0.00	200000.00	0.00	0.00	0.00
08/01/06	08/01/06 R	2000.00	0.00 18611	2000.00	0.00	200000.00	0.00	0.00	0.00
09/01/06	09/01/06 R	2000.00	0.00 19022	2000.00	0.00	200000.00	0.00	0.00	0.00
10/01/06	10/01/06 R	2000.00	0.00 19443	2000.00	0.00	200000.00	0.00	0.00	0.00
11/01/06	11/01/06 R	2000.00	0.00 19871	2000.00	0.00	200000.00	0.00	0.00	0.00
12/01/06	12/01/06 R	2000.00	0.00 20307	2000.00	0.00	200000.00	0.00	0.00	0.00
<b>Annual Total:</b>		23900.27	0.00	23900.27	0.00		0.00	0.00	0.00
<b>Escrow Paid Out:</b>							0.00		
01/01/07	01/01/07 R	2000.00	0.00 20746	2000.00	0.00	200000.00	0.00	0.00	0.00
02/01/07	02/01/07 R	2000.00	0.00 21192	2000.00	0.00	200000.00	0.00	0.00	0.00
03/01/07	03/01/07 R	2000.00	0.00 21652	2000.00	0.00	200000.00	0.00	0.00	0.00
04/01/07	04/01/07 R	2000.00	0.00 22116	2000.00	0.00	200000.00	0.00	0.00	0.00
05/01/07	05/01/07 R	2000.00	0.00 22591	2000.00	0.00	200000.00	0.00	0.00	0.00
06/01/07	06/01/07 R	2000.00	0.00 23053	2000.00	0.00	200000.00	0.00	0.00	0.00
07/01/07	07/01/07 R	2000.00	0.00 23531	2000.00	0.00	200000.00	0.00	0.00	0.00
08/01/07	08/01/07 R	2000.00	0.00 24009	2000.00	0.00	200000.00	0.00	0.00	0.00
09/01/07	09/01/07 R	2000.00	0.00 24495	2000.00	0.00	200000.00	0.00	0.00	0.00
10/01/07	10/01/07 R	2000.00	0.00 24998	2000.00	0.00	200000.00	0.00	0.00	0.00
11/01/07	11/01/07 R	2000.00	0.00 25498	2000.00	0.00	200000.00	0.00	0.00	0.00
12/01/07	12/01/07 R	2000.00	0.00 26013	2000.00	0.00	200000.00	0.00	0.00	0.00
<b>Annual Total:</b>		24000.00	0.00	24000.00	0.00		0.00	0.00	0.00
<b>Escrow Paid Out:</b>							0.00		
01/01/08	01/01/08 R	2000.00	0.00 26529	2000.00	0.00	200000.00	0.00	0.00	0.00
02/01/08	02/01/08 R	2000.00	0.00 27043	2000.00	0.00	200000.00	0.00	0.00	0.00
03/01/08	03/01/08 R	2000.00	0.00 27571	2000.00	0.00	200000.00	0.00	0.00	0.00
04/01/08	04/01/08 R	2000.00	0.00 28113	2000.00	0.00	200000.00	0.00	0.00	0.00



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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
05/01/08	05/01/08 R	2000.00	0.00 28654	2000.00	0.00	200000.00	0.00	0.00	0.00
06/01/08	06/01/08 R	2000.00	0.00 29200	2000.00	0.00	200000.00	0.00	0.00	0.00
07/01/08	07/01/08 R	2000.00	0.00 29759	2000.00	0.00	200000.00	0.00	0.00	0.00
08/01/08	08/01/08 R	2000.00	0.00 30309	2000.00	0.00	200000.00	0.00	0.00	0.00
09/01/08	09/01/08 R	2000.00	0.00 30861	2000.00	0.00	200000.00	0.00	0.00	0.00
10/01/08	10/01/08 R	2000.00	0.00 31423	2000.00	0.00	200000.00	0.00	0.00	0.00
11/01/08	11/01/08 R	2000.00	0.00 31985	2000.00	0.00	200000.00	0.00	0.00	0.00
12/01/08	12/01/08 R	2000.00	0.00 32552	2000.00	0.00	200000.00	0.00	0.00	0.00
<b>Annual Total:</b>		24000.00	0.00	24000.00	0.00		0.00	0.00	0.00
<b>Escrow Paid Out:</b>							0.00		
01/01/09	01/01/09 R	2000.00	0.00 33122	2000.00	0.00	200000.00	0.00	0.00	0.00
02/01/09	02/01/09 R	2000.00	0.00 33692	2000.00	0.00	200000.00	0.00	0.00	0.00
03/01/09	03/01/09 R	2000.00	0.00 34265	2000.00	0.00	200000.00	0.00	0.00	0.00
04/01/09	04/01/09 R	2000.00	0.00 34846	2000.00	0.00	200000.00	0.00	0.00	0.00
05/01/09	05/01/09 R	2000.00	0.00 35432	2000.00	0.00	200000.00	0.00	0.00	0.00
06/01/09	06/01/09 R	2000.00	0.00 36026	2000.00	0.00	200000.00	0.00	0.00	0.00
07/01/09	07/01/09 R	2000.00	0.00 36622	2000.00	0.00	200000.00	0.00	0.00	0.00
08/01/09	08/01/09 R	2000.00	0.00 37218	2000.00	0.00	200000.00	0.00	0.00	0.00
09/01/09	09/01/09 R	2000.00	0.00 37813	2000.00	0.00	200000.00	0.00	0.00	0.00
10/01/09	10/01/09 R	2000.00	0.00 38419	2000.00	0.00	200000.00	0.00	0.00	0.00
11/01/09	11/01/09 R	2000.00	0.00 39025	2000.00	0.00	200000.00	0.00	0.00	0.00
12/01/09	12/01/09 R	2000.00	0.00 39639	2000.00	0.00	200000.00	0.00	0.00	0.00
<b>Annual Total:</b>		24000.00	0.00	24000.00	0.00		0.00	0.00	0.00
<b>Escrow Paid Out:</b>							0.00		
01/01/10	01/01/10 R	2000.00	0.00 40267	2000.00	0.00	200000.00	0.00	0.00	0.00
02/01/10	02/01/10 R	2000.00	0.00 40891	2000.00	0.00	200000.00	0.00	0.00	0.00

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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
03/01/10	03/01/10 R	2000.00	0.00 41527	2000.00	0.00	200000.00	0.00	0.00	0.00
04/01/10	04/01/10 R	2000.00	0.00 42161	2000.00	0.00	200000.00	0.00	0.00	0.00
05/01/10	05/01/10 R	2000.00	0.00 42793	2000.00	0.00	200000.00	0.00	0.00	0.00
06/01/10	06/01/10 R	2000.00	0.00 43537	2000.00	0.00	200000.00	0.00	0.00	0.00
07/01/10	07/01/10 R	2000.00	0.00 44068	2000.00	0.00	200000.00	0.00	0.00	0.00
08/01/10	08/01/10 R	2000.00	0.00 44710	2000.00	0.00	200000.00	0.00	0.00	0.00
09/01/10	09/01/10 R	2000.00	0.00 45365	2000.00	0.00	200000.00	0.00	0.00	0.00
10/01/10	10/01/10 R	2000.00	0.00 46027	2000.00	0.00	200000.00	0.00	0.00	0.00
11/01/10	11/01/10 R	2000.00	0.00 46699	2000.00	0.00	200000.00	0.00	0.00	0.00
12/01/10	12/01/10 R	2000.00	0.00 47378	2000.00	0.00	200000.00	0.00	0.00	0.00
<b>Annual Total:</b>		<b>24000.00</b>	<b>0.00</b>	<b>24000.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		
01/01/11	01/01/11 R	2000.00	0.00 48098	2000.00	0.00	200000.00	0.00	0.00	0.00
02/01/11	02/01/11 R	2000.00	0.00 48774	2000.00	0.00	200000.00	0.00	0.00	0.00
03/01/11	03/01/11 R	2000.00	0.00 49458	2000.00	0.00	200000.00	0.00	0.00	0.00
04/01/11	04/01/11 R	2000.00	0.00 50157	2000.00	0.00	200000.00	0.00	0.00	0.00
05/02/11	05/01/11 R	2000.00	0.00 50848	2000.00	0.00	200000.00	0.00	0.00	0.00
06/01/11	06/01/11 R	2000.00	0.00 51551	2000.00	0.00	200000.00	0.00	0.00	0.00
07/01/11	07/01/11 R	2000.00	0.00 52261	2000.00	0.00	200000.00	0.00	0.00	0.00
08/01/11	08/01/11 R	2000.00	0.00 52974	2000.00	0.00	200000.00	0.00	0.00	0.00
09/01/11	09/01/11 R	2000.00	0.00 53696	2000.00	0.00	200000.00	0.00	0.00	0.00
10/01/11	10/01/11 R	2000.00	0.00 54420	2000.00	0.00	200000.00	0.00	0.00	0.00
11/01/11	11/01/11 R	2000.00	0.00 55143	2000.00	0.00	200000.00	0.00	0.00	0.00
<b>Annual Total:</b>		<b>22000.00</b>	<b>0.00</b>	<b>22000.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Escrow Paid Out:</b>							<b>0.00</b>		

**National Note of Utah, LC**  
 1549 West 7800 South  
 West Jordan, UT 84088  
 801-566-7337 Julie@nationalnote.com

**Investment Pay History**

Reference

Sieverts SIEVESUSP-PY SUSP Page 11  
 Printed 02/12/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		Svc
							Escrow	Late	
<b>Report Total:</b>		420219.67	-100000.00	255319.67	0.00		0.00	0.00	0.00
<b>Escrow Paid Out:</b>							0.00		
<b>Balances:</b>						200000.00	0.00	0.00	0.00

Taxable: 255319.67

JS 44 (Rev. 12/12)

**CIVIL COVER SHEET**

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

**I. (a) PLAINTIFFS**

R. Wayne Klein, as Receiver

**DEFENDANTS**

Dave Sieverts, Carol Sieverts and John Does 1-5,

(b) County of Residence of First Listed Plaintiff Salt Lake  
(EXCEPT IN U.S. PLAINTIFF CASES)

County of Residence of First Listed Defendant Salt Lake  
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

(c) Attorneys (Firm Name, Address, and Telephone Number)  
Peggy Hunt  
Dorsey & Whitney, LLP  
136 South Main Street #1000, SLC, UT 84101; 801-933-7360

Attorneys (If Known)

**II. BASIS OF JURISDICTION** (Place an "X" in One Box Only)

- 1 U.S. Government Plaintiff
- 3 Federal Question (U.S. Government Not a Party)
- 2 U.S. Government Defendant
- 4 Diversity (Indicate Citizenship of Parties in Item III)

**III. CITIZENSHIP OF PRINCIPAL PARTIES** (Place an "X" in One Box for Plaintiff and One Box for Defendant)

	PTF	DEF		PTF	DEF
Citizen of This State	<input type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated or Principal Place of Business In This State	<input type="checkbox"/> 4	<input type="checkbox"/> 4
Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business In Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5
Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6

**IV. NATURE OF SUIT** (Place an "X" in One Box Only)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input checked="" type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	<b>PERSONAL INJURY</b> <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	<b>PERSONAL INJURY</b> <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability <b>PERSONAL PROPERTY</b> <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other  <b>LABOR</b> <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act  <b>IMMIGRATION</b> <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157  <b>PROPERTY RIGHTS</b> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark  <b>SOCIAL SECURITY</b> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g))  <b>FEDERAL TAX SUITS</b> <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes

**V. ORIGIN** (Place an "X" in One Box Only)

- 1 Original Proceeding
- 2 Removed from State Court
- 3 Remanded from Appellate Court
- 4 Reinstated or Reopened
- 5 Transferred from Another District (specify)
- 6 Multidistrict Litigation

**VI. CAUSE OF ACTION**

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):  
28 U.S.C. § 754

Brief description of cause:  
Recovery of the value of transfers from Defendant for the benefit of the receivership estate.

**VII. REQUESTED IN COMPLAINT:**

CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P. DEMAND \$ 595,868.13 CHECK YES only if demanded in complaint: JURY DEMAND:  Yes  No

**VIII. RELATED CASE(S) IF ANY**

(See instructions): JUDGE Jenkins DOCKET NUMBER 2:12-cv-00591

DATE 06/20/13 SIGNATURE OF ATTORNEY OF RECORD 

FOR OFFICE USE ONLY

RECEIPT # \_\_\_\_\_ AMOUNT \_\_\_\_\_ APPLYING IFP \_\_\_\_\_ JUDGE \_\_\_\_\_ MAG. JUDGE \_\_\_\_\_