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*Attorneys for Court-Appointed Receiver R. Wayne Klein*

**UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH  
CENTRAL DIVISION**

R. WAYNE KLEIN, as Receiver,

Plaintiff,

v.

SENOR MORTENSEN, individually and on  
behalf of the Mortensen Family Trust, THE  
MORTENSEN FAMILY TRUST, a trust  
organized under the law of the State of Utah,  
and JOHN DOES 1-5,

Defendants.

**COMPLAINT**

**(Ancillary to Case No. 2:12-cv-00591)**

Civil No. \_\_\_\_\_

R. Wayne Klein, the Court-Appointed Receiver (the “Receiver” or “Plaintiff”) of  
National Note of Utah, LC (“National Note”), its subsidiaries and affiliates (collectively, unless  
otherwise stated, National Note and all subsidiaries and affiliated entities are referred to herein as  
“NNU”), and the assets of Wayne LaMar Palmer (“Palmer”), in the case styled as *Securities and  
Exchange Commission v. National Note of Utah, LC et al.*, Case No. 2:12-cv-00591 (D. Utah)

(Jenkins, J.) (the “SEC Civil Enforcement Case”), hereby files this Complaint against Seno Mortensen, the Mortensen Family Trust, and John Does 1-5 (“Defendant Does”) (collectively, “Defendants”), and states, alleges and avers as follows:

### **STATEMENT OF THE CASE**

1. NNU was operated as an enterprise with all of the characteristics of a Ponzi scheme through which money was solicited from investors.<sup>1</sup> Upon information and belief, Defendants received monies from NNU, and the Receiver seeks to avoid the transfers and/or recover the value of the transfers from Defendants for the benefit of the receivership estate established in the SEC Civil Enforcement Case discussed in greater detail below.

### **PARTIES**

2. Pursuant to an Order Appointing Receiver and Staying Litigation entered on June 25, 2012 in the SEC Civil Enforcement Case (the “Receivership Order”),<sup>2</sup> Plaintiff is the duly-appointed Receiver for National Note and Palmer “together with any and all subsidiaries and affiliated entities of National Note and Palmer. . . .”<sup>3</sup>

3. Upon information and belief, Senor Mortensen is a resident of or is domiciled in the State of Utah.

4. Upon information and belief, the Mortensen Family Trust is a trust organized under the laws of the State of Utah for the benefit of Senor Mortensen. Senor Mortensen and the

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<sup>1</sup> See SEC Civil Enforcement Case, Docket No. 1 (Complaint).

<sup>2</sup> SEC Civil Enforcement Case, Docket No. 9.

<sup>3</sup> *Id.* (Receivership Order, pp. 1-2).

Mortensen Family Trust are collectively referred to herein as “*Mortensen*”.

5. Upon information and belief, Defendant Does are currently unknown parties who have received monies or property from NNU, or are persons to whom Mortensen has transferred monies or property received from NNU.

### **JURISDICTION AND VENUE**

6. Subject matter jurisdiction is proper in this Court pursuant to 28 U.S.C. §1367.

7. The Court has personal jurisdiction over Defendants.

8. Venue is proper in this Court pursuant to 28 U.S.C. § 754.

### **FACTS**

#### **The Ponzi Scheme**

9. Since at least 1994 until the commencement of the SEC Civil Enforcement Case, NNU raised capital by soliciting investors to purchase promissory notes, which typically promised to pay interest at a rate of interest above market rates.

10. Upon information and belief, investors understood that they were investing in an enterprise that, among other things, bought and sold mortgage notes, underwrote and made loans, or bought and sold real estate assets through National Note, or one of many affiliated entities subject to the Receivership Order, all of which are referred to herein collectively as “NNU.”

11. Typically, investment funds were deposited in a commingled bank account controlled by NNU. NNU would then transfer such investor funds to another bank account (the “Investor Account”).

12. Monies on deposit in the Investor Account were commingled, and transfers to

investors by NNU were made from the commingled funds on deposit in that Investor Account.

13. At all times relevant hereto, NNU was insolvent.

**Mortensen's Investment and the Transfers**

14. On or about 1994, Mortensen commenced investing with NNU. Histories of Mortensen's investments are attached hereto as Exhibit A.

15. Mortensen paid NNU cash in the total amount of \$472,631.18 on or about 1994 through 2001 (the "Principal Cash Investment").

16. As set forth on Exhibit A, NNU transferred a total of \$537,061.09 in cash to Mortensen (the "Total Transfers").

17. Of the Total Transfers, \$64,429.91 is an amount that is over and above Mortensen's Principal Cash Investment (the "False Profit Transfers") (collectively, the Total Transfers and the False Profit Transfers are the "Transfers").<sup>4</sup>

**The SEC Civil Case and the Receiver's Appointment**

18. On June 25, 2012, the SEC Civil Enforcement Case was filed, alleging that NNU is a Ponzi scheme, and seeking, among other things, orders (a) restraining and enjoining NNU and Palmer from continuing to violate federal securities laws, (b) freezing assets and prohibiting NNU from transferring, changing, wasting, dissipating, converting, concealing, or otherwise disposing of assets, (c) prohibiting NNU from destroying, mutilating, concealing, transferring, altering, or otherwise disposing of NNU's books and records, (d) imposing civil money penalties

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<sup>4</sup> See Exh. A.

against NNU and Palmer, and (e) requiring the disgorgement by NNU and Palmer of all ill-gotten gains received by them pursuant to the scheme.<sup>5</sup>

19. Also on June 25, 2012, as a result of the filing of the SEC Civil Enforcement Action, the Court entered a Temporary Restraining Order and Order to Show Cause against the defendants<sup>6</sup> and the Receivership Order appointing the Receiver.<sup>7</sup> Since that time, both National Note and Palmer have stipulated to a Preliminary Injunction Order that prohibits National Note and Palmer from committing any further acts in furtherance of the Ponzi scheme and that prohibits National Note and Palmer from withdrawing, transferring, selling, buying, pledging, encumbering, assigning, dissipating, concealing, or otherwise disposing of any of their assets.<sup>8</sup>

20. On or about May 21, 2013, the Court entered an Order authorizing the Receiver to commence legal proceedings for the benefit of and on behalf of the receivership estate.<sup>9</sup>

### **FIRST CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8)*

21. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

22. NNU was engaged in an enterprise with all of the characteristics of a Ponzi scheme.

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<sup>5</sup> SEC Civil Enforcement Case, Docket No. 1 (Complaint).

<sup>6</sup> *Id.*, Docket No. 7.

<sup>7</sup> *Id.*, Docket No. 9.

<sup>8</sup> *Id.*, Docket Nos. 45 and 46.

<sup>9</sup> *Id.*, Docket No. 315.

23. NNU made the Transfers to Mortensen in furtherance of the Ponzi scheme.

24. At all relevant times hereto, NNU had at least one creditor.

25. The Transfers were made and any obligations to Mortensen were incurred with actual intent to hinder, delay or defraud a creditor of NNU.

26. Pursuant to Utah Code Ann. §§ 25-6-5(1)(a) and 25-6-8, the Receiver may avoid and recover the Transfers to Mortensen, or in the event such Transfers were transferred, from the Defendants.

27. Alternatively, to the extent that Mortensen took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Mortensen, or in the event such False Profit Transfers were transferred, from the Defendants.

**SECOND CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8)*

28. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

29. NNU was engaged in an enterprise that has all of the characteristics of a Ponzi scheme.

30. NNU made the Transfers to Mortensen in furtherance of the Ponzi scheme.

31. At all relevant times hereto, NNU had at least one creditor.

32. The Transfers were made or the obligations to Mortensen were incurred by NNU without receiving a reasonably equivalent value in exchange for the Transfers or obligations.

33. At the time the Transfers were made, NNU (a) was engaged or was about to be engaged in a business or transaction for which the remaining assets of NNU were unreasonably

small in relation to the business or transaction; or (b) intended to incur, or believed or reasonably should have believed that it would incur, debts beyond its ability to pay as such debts became due.

34. Pursuant to Utah Code Ann. §§ 25-6-5(1)(b) and 25-6-8, the Receiver may avoid and recover the Transfers from Mortensen, or in the event such Transfers were transferred, from the Defendants.

35. Alternatively, to the extent that Mortensen took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Mortensen, or in the event such False Profit Transfers were transferred, from the Defendants.

### **THIRD CLAIM FOR RELIEF**

*(Avoidance of Fraudulent Transfers Under Utah Code Ann. §§ 25-6-6(1) and 25-6-8)*

36. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

37. NNU was engaged in a Ponzi scheme.

38. NNU made the Transfers to Mortensen in furtherance of the Ponzi scheme.

39. NNU had at least one creditor at the time that the Transfers were made or the obligation to Mortensen was incurred.

40. The Transfers were made or the obligation to Mortensen was incurred by NNU without NNU receiving a reasonably equivalent value in exchange for the Transfers or obligation.

41. NNU was insolvent at the time the Transfers were made or the obligation was incurred, or became insolvent as a result of the Transfers or the obligation incurred.

42. Pursuant to Utah Code Ann. §§ 25-6-6(1) and 25-6-8, the Receiver may avoid and recover the Transfers to Mortensen, or in the event such Transfers were transferred, from the Defendants.

43. Alternatively, to the extent that Mortensen took in good faith and for a reasonably equivalent value, the Receiver may avoid and recover the False Profit Transfers from Mortensen, or in the event such Transfers were transferred, from the Defendants.

**FOURTH CLAIM FOR RELIEF**

*(Constructive Trust)*

44. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

45. The Transfers to Defendants were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

46. Allowing Defendants to retain the Transfers would unjustly enrich Defendants and would be inequitable.

47. The Transfers can be traced to wrongful behavior.

48. An injustice would result if Defendants were allowed to keep the Transfers.

49. A constructive trust for the benefit of the receivership estate must be imposed for the benefit of the receivership estate in the amount of the Transfers made by NNU to Defendants, or in the alternative if Mortensen acted in good faith, for the False Profit Transfers.

**FIFTH CLAIM FOR RELIEF**

*(Unjust Enrichment)*

50. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.



51. The Transfers to Defendants were comprised of property of NNU and were made by NNU in furtherance of the Ponzi scheme.

52. The Transfers conferred a benefit upon Defendants.

53. The Defendants knowingly benefitted from the Transfers.

54. Allowing Defendants to retain the Transfers would unjustly enrich Defendants and would be inequitable.

55. Absent return of the Transfers, the receivership estate will be damaged by Defendants' unjust enrichment and may have no adequate remedy at law.

56. Defendants must disgorge the amount of the Transfers, or if Mortensen acted in good faith, the False Profit Transfers, for the benefit of the receivership estate.

**SIXTH CLAIM FOR RELIEF**  
*(Disgorgement)*

57. The Receiver re-alleges and incorporates herein by reference each of the preceding allegations as if set forth completely herein.

58. The Transfers were made as part of and in furtherance of a Ponzi scheme.

59. The Transfers were ill-gotten by Defendants.

60. Defendants have no claim to the Transfers made by NNU, or derivatively, from NNU's investors.

61. All Transfers made to Defendants, or if Mortensen acted in good faith, the False Profit Transfers, should be disgorged to the Receiver for the benefit of the receivership estate.

**PRAYER FOR RELIEF**

WHEREFORE, the Receiver prays for Judgment against Defendants as follows:

A. Pursuant to the Receiver's First Claim for Relief, judgment against the Defendants avoiding the Transfers under Utah Code Ann. §§ 25-6-5(a)(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$537,061.09, or alternatively, the False Profit Transfers, in the total amount of \$64,429.91.

B. Pursuant to the Receiver's Second Claim for Relief, judgment against the Defendants avoiding the Transfers under Utah Code Ann. §§ 25-6-5(a)(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$537,061.09, or alternatively, the False Profit Transfers, in the total amount of \$64,429.91.

C. Pursuant to the Receiver's Third Claim for Relief, judgment against the Defendants avoiding the Transfers under Utah Code Ann. §§ 25-6-5(a)(1) and 25-6-8, and permitting Plaintiff's recovery of the value of the Transfers in the total amount of \$537,061.09, or alternatively, the False Profit Transfers, in the total amount of \$64,429.91.

D. Pursuant to the Receiver's Fourth Claim for Relief, judgment against Defendants imposing a constructive trust for the benefit of the receivership estate on the Transfers, or alternatively, the False Profit Transfers.

E. Pursuant to the Receiver's Fifth Claim for Relief, judgment against Defendants for unjust enrichment, and requiring Defendants to disgorge the Transfers in the total amount of \$537,061.09, or alternatively, the False Profit Transfers, in the total amount of \$64,429.91.

F. Pursuant to the Receiver's Sixth Claim for Relief, entry of an Order requiring the Defendants to disgorge the Transfers in the total amount of \$537,061.09, or alternatively, the False Profit Transfers, in the total amount of \$64,429.91.

G. Judgment for pre-judgment interest, costs, and fees, including reasonable

attorney's fees, as may be allowed by law.

H. For such other and further relief as the Court deems just and proper.

DATED this 21<sup>st</sup> ay of June, 2013.

**DORSEY & WHITNEY LLP**

/s/ Peggy Hunt

Peggy Hunt

Chris Martinez

Jeffrey M. Armington

*Attorneys for Receiver*

# EXHIBIT A

**Investment Pay History****Reference**

SM96-0409-PY NNU

Printed 02/18/13 Period of 01/01/90 - 12/31/12

**Additional information**

Regular pmt:	550.00	Int rate:	12.000 12.000	Acct closed:	11/07/96	Orig bal:	55000.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	04/09/96
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	05/01/97
Total pmt:	550.00	NSF fee:	0.00			Balloon:	55000.00
Ord/Day/LFee: 2/15	11.00						
Total if late:	561.00						

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Payment/Balance		
							Escrow	Late	Svc
04/09/96		0.00	0.00	0.00	0.00	55000.00	0.00	0.00	0.00
05/01/96	05/01/96 R	379.73	0.00	379.73	0.00	55000.00	0.00	0.00	0.00
			5050	18.08 AI					
05/31/96	06/01/96 R	550.00	0.00	550.00	0.00	55000.00	0.00	0.00	0.00
			5088	18.08 AI					
06/28/96	07/01/96 R	550.00	0.00	550.00	0.00	55000.00	0.00	0.00	0.00
			5130	18.08 AI					
08/01/96	08/01/96 R	550.00	0.00	550.00	0.00	55000.00	0.00	0.00	0.00
			5167	18.08 AI					
08/28/96	09/01/96 R	550.00	0.00	550.00	0.00	55000.00	0.00	0.00	0.00
			5208	18.08 AI					
09/30/96	10/01/96 R	550.00	0.00	550.00	0.00	55000.00	0.00	0.00	0.00
			5247	18.08 AI					
10/17/96	P	55307.40	55000.00	307.40	0.00	0.00	0.00	0.00	0.00
			PAYOFF						
Annual Total:		58437.13	55000.00	3437.13	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		58437.13	55000.00	3437.13	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 3437.13

**Investment Pay History**

Reference

PY SUSP

Printed 02/18/13

Period of 01/01/90 - 12/31/12

Additional information

SUSPENSE

Regular pmt:		0.01	Int rate:		12.000	12.000	Acct closed:		08/01/01	Orig bal:		0.00
Escrow pmt:		0.00	Int calc:		Fixed					Orig date:		12/30/94
Service fee:		0.00	Pmt type:		1099 T USRule 365 M					Maturity:		12/01/27
Total pmt:		0.01	NSF fee:		0.00							
Ord/Day/LFee: 2/15		16.00										
Total if late:		16.01										
Pay Date	Due/Type	Amount	RetCap/Ref	Income	Disc	Earn	Basis	----- Payment/Balance -----				
								Escrow	Late	Svc		
12/30/94		0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
12/30/94	P	-30000.00	-30000.00	0.00		0.00	30000.00	0.00	0.00	0.00	0.00	
			ADD2PRIN									
12/30/94	P	30000.00	30000.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			2 941042									
Annual Total:		30000.00	0.00	0.00		0.00		0.00	0.00	0.00	0.00	
Escrow Paid Out:								0.00				
01/01/95	01/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
02/01/95	02/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
03/01/95	03/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
03/01/95	P	-30000.00	-30000.00	0.00		0.00	30000.00	0.00	0.00	0.00	0.00	
			ADD2PRIN									
03/01/95	P	30000.00	30000.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			2 LILJEN									
04/01/95	04/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
05/01/95	05/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
06/01/95	06/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
07/01/95	07/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
08/01/95	08/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
09/01/95	09/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
10/01/95	10/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
11/01/95	11/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									
12/01/95	12/01/95 R	0.00	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	
			CURRENT									

## Investment Pay History

Reference

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Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
Annual Total:		30000.00	0.00	0.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/96	01/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
01/06/96	P	-606.12	-606.12	0.00	0.00	606.12	0.00	0.00	0.00
			ADD2PRIN						
01/06/96	P	606.12	606.12	0.00	0.00	0.00	0.00	0.00	0.00
			2 LILJEN						
01/30/96	P	-37000.00	-37000.00	0.00	0.00	37000.00	0.00	0.00	0.00
			ADD2PRIN						
01/30/96	P	37000.00	37000.00	0.00	0.00	0.00	0.00	0.00	0.00
			2 ATKINS						
02/01/96	02/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
03/01/96	03/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
04/01/96	04/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
04/09/96	P	-55000.00	-55000.00	0.00	0.00	55000.00	0.00	0.00	0.00
			ADD2PRIN						
04/09/96	P	55000.00	55000.00	0.00	0.00	0.00	0.00	0.00	0.00
			2 JAMESO						
05/01/96	05/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
06/01/96	06/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
07/01/96	07/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
08/01/96	08/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
09/01/96	09/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
10/01/96	10/01/96 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
10/17/96	P	-55000.00	-55000.00	0.00	0.00	55000.00	0.00	0.00	0.00
			JAMES PO						
11/01/96	11/01/96 R	271.23	0.00	271.23	0.00	55000.00	0.00	0.00	0.00
			5287						
11/01/96	P	30000.00	30000.00	0.00	0.00	25000.00	0.00	0.00	0.00
			2 562 OG						
11/01/96	P	25000.00	25000.00	0.00	0.00	0.00	0.00	0.00	0.00
			2 33ELDO						
11/02/96	P	-25000.00	-25000.00	0.00	0.00	25000.00	0.00	0.00	0.00
			PO2336WA						
11/20/96	P	-30000.00	-30000.00	0.00	0.00	55000.00	0.00	0.00	0.00
			ADD2PRIN	147.95 AI					
11/20/96	P	30000.00	29852.05	147.95	0.00	25147.95	0.00	0.00	0.00
			2 CHRIST						

## Investment Pay History

Reference

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Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
12/01/96	12/01/96 R	238.90	147.95 5324	90.95	0.00	25000.00	0.00	0.00	0.00
Annual Total:		178116.25	-25000.00	510.13	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/97	01/01/97 R	250.00	0.00 5366	250.00	0.00	25000.00	0.00	0.00	0.00
01/01/97	P	-60000.00	-60000.00 POLILJEN	0.00	0.00	85000.00	0.00	0.00	0.00
01/01/97	P	60000.00	60000.00 2 PALMER	0.00	0.00	25000.00	0.00	0.00	0.00
02/01/97	02/01/97 R	250.00	0.00 5413	250.00	0.00	25000.00	0.00	0.00	0.00
02/01/97	P	-25000.00	-25000.00 PO 33ELD	0.00	0.00	50000.00	0.00	0.00	0.00
03/01/97	03/01/97 R	500.00	0.00 5461	500.00	0.00	50000.00	0.00	0.00	0.00
03/31/97	04/01/97 R	500.00	0.00 5506	500.00	0.00	50000.00	0.00	0.00	0.00
04/10/97	P	-30000.00	-30000.00 PO56231S	0.00 147.95 Al	0.00	80000.00	0.00	0.00	0.00
04/30/97	05/01/97 R	700.28	0.00 5550	700.28	0.00	80000.00	0.00	0.00	0.00
05/30/97	06/01/97 R	800.00	0.00 5601	800.00	0.00	80000.00	0.00	0.00	0.00
07/01/97	07/01/97 R	800.00	0.00 5650	800.00	0.00	80000.00	0.00	0.00	0.00
07/29/97	08/01/97 R	800.00	0.00 5701	800.00	0.00	80000.00	0.00	0.00	0.00
08/29/97	09/01/97 R	800.00	0.00 5747	800.00	0.00	80000.00	0.00	0.00	0.00
10/01/97	10/01/97 R	812.47	12.47 6034	800.00	0.00	79987.53	0.00	0.00	0.00
10/31/97	11/01/97 R	812.47	12.59 6083	799.88	0.00	79974.94	0.00	0.00	0.00
11/01/97	P	-37000.00	-37000.00 POATKNSN	0.00	0.00	116974.94	0.00	0.00	0.00
11/01/97	P	-60000.00	-60000.00 POPALMER	0.00	0.00	176974.94	0.00	0.00	0.00
11/01/97	P	60000.00	60000.00 2HOWCROF	0.00	0.00	116974.94	0.00	0.00	0.00
11/01/97	P	46400.00	46400.00 2JACKSON	0.00	0.00	70574.94	0.00	0.00	0.00
11/01/97	P	30000.00	30000.00 2 3825SO	0.00	0.00	40574.94	0.00	0.00	0.00
11/01/97	P	40574.94	40574.94 2RUTHERF	0.00	0.00	0.00	0.00	0.00	0.00
12/01/97	12/01/97 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00



## Investment Pay History

Reference

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 Printed 02/18/13 Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
12/09/97	P	-50000.00	-50000.00 ADD2PRIN	0.00	0.00	50000.00	0.00	0.00	0.00
Annual Total:		244000.16	-25000.00	7000.16	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/98	01/01/98 R	378.08	0.00 6185	378.08	0.00	50000.00	0.00	0.00	0.00
01/31/98	02/01/98 R	500.00	0.00 6224	500.00	0.00	50000.00	0.00	0.00	0.00
02/27/98	03/01/98 R	500.00	0.00 6274	500.00	0.00	50000.00	0.00	0.00	0.00
03/31/98	04/01/98 R	500.00	0.00 6323	500.00	0.00	50000.00	0.00	0.00	0.00
04/30/98	05/01/98 R	500.00	0.00 6380	500.00	0.00	50000.00	0.00	0.00	0.00
05/27/98	06/01/98 R	500.00	0.00 6431	500.00	0.00	50000.00	0.00	0.00	0.00
06/01/98	P	-30000.00	-30000.00 PODCHRIS	0.00	0.00	80000.00	0.00	0.00	0.00
06/01/98	P	-40574.94	-40574.94 PORUTHER	0.00	0.00	120574.94	0.00	0.00	0.00
06/01/98	P	-60000.00	-60000.00 FROMHOWC	0.00	0.00	180574.94	0.00	0.00	0.00
06/01/98	P	-46400.00	-46400.00 FROMJACK	0.00	0.00	226974.94	0.00	0.00	0.00
06/01/98	P	-30000.00	-30000.00 FROM3825	0.00	0.00	256974.94	0.00	0.00	0.00
06/01/98	P	-25.06	-25.06 ADJPRIN	0.00	0.00	257000.00	0.00	0.00	0.00
06/01/98	P	257000.00	257000.00 2SOCHANT	0.00	0.00	0.00	0.00	0.00	0.00
06/30/98	07/01/98 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
07/31/98	08/01/98 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
09/01/98	09/01/98 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
09/29/98	10/01/98 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
10/29/98	11/01/98 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
11/30/98	12/01/98 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
Annual Total:		259878.08	50000.00	2878.08	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/04/99	01/01/99 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00
02/01/99	02/01/99 R	0.00	0.00 CURRENT	0.00	0.00	0.00	0.00	0.00	0.00

## Investment Pay History

Reference

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Period 01/01/1990 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
03/01/99	03/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
03/30/99	04/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
04/29/99	05/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
06/01/99	06/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
07/01/99	07/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
08/02/99	08/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
09/01/99	09/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
10/01/99	10/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
11/01/99	11/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
11/30/99	12/01/99 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
Annual Total:		0.00	0.00	0.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/04/00	01/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
01/31/00	02/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
03/01/00	03/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
03/31/00	04/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
05/01/00	05/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
06/01/00	06/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
07/01/00	07/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
07/28/00	08/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
09/01/00	09/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
09/29/00	10/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
10/31/00	11/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
11/30/00	12/01/00 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
Annual Total:		0.00	0.00	0.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		

## Investment Pay History

Reference

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Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
01/01/01	01/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
02/01/01	02/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
03/01/01	03/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
03/30/01	04/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
05/01/01	05/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
05/31/01	06/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
06/28/01	P	-100000.00	-100000.00	0.00	0.00	100000.00	0.00	0.00	0.00
			PD HEM						
06/28/01	P	100000.00	100000.00	0.00	0.00	0.00	0.00	0.00	0.00
			PAYDOWN						
06/29/01	07/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			PAYDOWN						
07/30/01	08/01/01 R	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
			CURRENT						
08/01/01	P	-57000.00	-57000.00	0.00	0.00	57000.00	0.00	0.00	0.00
			PO HEM						
08/01/01	Y	57000.00	57000.00	0.00	0.00	0.00	0.00	0.00	0.00
			PO 2255						
Annual Total:		157000.00	0.00	0.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		898994.49	0.00	10388.37	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 10388.37

**Investment Pay History****Reference**

SM961101A-PY NNU

Printed 02/18/13

Period of 01/01/90 - 12/31/12

**Additional information**

Regular pmt:	250.00	Int rate:	12.000 12.000	Acct closed:	02/01/97	Orig bal:	25000.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	11/01/96
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	11/01/01
Total pmt:	250.00	NSF fee:	0.00			Balloon:	25250.00
Ord/Day/LFee: 2/15	5.00						
Total if late:	255.00						

Code 1 : [CON ] Code 2 : [ ] Code 3 : [ ]

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
11/01/96		0.00	0.00	0.00	0.00	25000.00	0.00	0.00	0.00
11/29/96	12/01/96 R	250.00	0.00	250.00	0.00	25000.00	0.00	0.00	0.00
			5324						
Annual Total:		250.00	0.00	250.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/97	01/01/97 R	250.00	0.00	250.00	0.00	25000.00	0.00	0.00	0.00
			5366						
02/01/97	02/01/97 R	250.00	0.00	250.00	0.00	25000.00	0.00	0.00	0.00
			5413						
02/01/97	P	25000.00	25000.00	0.00	0.00	0.00	0.00	0.00	0.00
			PO2SUSP						
Annual Total:		25500.00	25000.00	500.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		25750.00	25000.00	750.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 750.00

**Investment Pay History**Reference

Printed 02/18/13      Period of 01/01/90 - 12/31/12

Additional information

Regular pmt:	300.00	Int rate:	12.000 12.000	Acct closed:	04/10/97	Orig bal:	30000.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	11/01/96
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	11/01/01
Total pmt:	300.00	NSF fee:	0.00			Balloon:	30300.00
Ord/Day/LFee: 2/15	6.00						
Total if late:	306.00						
Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance ----- Escrow Late Svc
11/01/96		0.00	0.00	0.00	0.00	30000.00	0.00 0.00 0.00
11/29/96	12/01/96 R	300.00	0.00	300.00	0.00	30000.00	0.00 0.00 0.00
			5324				
Annual Total:		300.00	0.00	300.00	0.00		0.00 0.00 0.00
Escrow Paid Out:							0.00
01/01/97	01/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00 0.00 0.00
			5366				
02/01/97	02/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00 0.00 0.00
			5413				
03/01/97	03/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00 0.00 0.00
			5461				
03/31/97	04/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00 0.00 0.00
			5506				
04/10/97	P	30088.77	30000.00	88.77	0.00	0.00	0.00 0.00 0.00
			16484 PO				
Annual Total:		31288.77	30000.00	1288.77	0.00		0.00 0.00 0.00
Escrow Paid Out:							0.00
Report Total:		31588.77	30000.00	1588.77	0.00		0.00 0.00 0.00
Escrow Paid Out:							0.00
Balances:						0.00	0.00 0.00 0.00

Taxable: 1588.77

**Investment Pay History****Reference**

M96-1120-PY NNU

Printed 02/18/13

Period of 01/01/90 - 12/31/12

**Additional information**

Regular pmt:	300.00	Int rate:	12.000 12.000	Acct closed:	06/01/98	Orig bal:	30000.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	11/20/96
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	10/20/99
Total pmt:	300.00	NSF fee:	0.00			Balloon:	30300.00
Ord/Day/LFee: 2/15	6.00						
Total If late:	306.00						

Code 1 : [SFR ] Code 2 : [2ND ] Code 3 : [ ]

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
11/20/96		0.00	0.00	0.00	0.00	30000.00	0.00	0.00	0.00
11/29/96	12/01/96 R	100.00	0.00	100.00	0.00	30000.00	0.00	0.00	0.00
			5324	8.49 AI					
Annual Total:		100.00	0.00	100.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/97	01/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			5366	8.49 AI					
02/01/97	02/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			5413	8.49 AI					
03/01/97	03/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			5461	8.49 AI					
03/31/97	04/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			5506	8.49 AI					
04/30/97	05/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			5550	8.49 AI					
05/30/97	06/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			5601	8.49 AI					
07/01/97	07/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			5650	8.49 AI					
07/29/97	08/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			5701	8.49 AI					
08/29/97	09/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			5747	8.49 AI					
10/01/97	10/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			6034	8.49 AI					
10/31/97	11/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			6083	8.49 AI					
12/01/97	12/01/97 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			6131	8.49 AI					
Annual Total:		3600.00	0.00	3600.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/98	01/01/98 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			6185	8.49 AI					

**Investment Pay History**

Reference

M96-1120-PY NNU Page 2  
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Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
01/31/98	02/01/98 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			6224	8.49 AI					
02/27/98	03/01/98 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			6274	8.49 AI					
03/31/98	04/01/98 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			6323	8.49 AI					
04/30/98	05/01/98 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			6380	8.49 AI					
05/27/98	06/01/98 R	300.00	0.00	300.00	0.00	30000.00	0.00	0.00	0.00
			6431	8.49 AI					
06/01/98	P	30008.49	30000.00 PO2SUSP	8.49	0.00	0.00	0.00	0.00	0.00
Annual Total:		31808.49	30000.00	1808.49	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		35508.49	30000.00	5508.49	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 5508.49

**Investment Pay History****Reference**

M97-1004-PY NNU

Printed 02/18/13 Period of 01/01/90 - 12/31/12

**Additional information**

Regular pmt:	405.75	Int rate:	12.000 12.000	Acct closed:	06/01/98	Orig bal:	40574.94
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	11/01/97
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	11/01/02
Total pmt:	405.75	NSF fee:	0.00			Balloon:	40574.94
Ord/Day/LFee: 2/15	8.12						
Total if late:	413.87						

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Late	Svc
11/01/97		0.00	0.00	0.00	0.00	40574.94	0.00	0.00	0.00
11/01/97	P	-40574.94	-40574.94	0.00	0.00	81149.88	0.00	0.00	0.00
			FROMSUSP						
12/01/97	12/01/97 R	405.75	0.00	405.75	0.00	81149.88	0.00	0.00	0.00
			6131						
Annual Total:		405.75	-40574.94	405.75	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/98	01/01/98 R	405.75	0.00	405.75	0.00	81149.88	0.00	0.00	0.00
			6185						
01/31/98	02/01/98 R	405.75	0.00	405.75	0.00	81149.88	0.00	0.00	0.00
			6224						
02/27/98	03/01/98 R	405.75	0.00	405.75	0.00	81149.88	0.00	0.00	0.00
			6274						
03/31/98	04/01/98 R	405.75	0.00	405.75	0.00	81149.88	0.00	0.00	0.00
			6323						
04/30/98	05/01/98 R	405.75	0.00	405.75	0.00	81149.88	0.00	0.00	0.00
			6380						
05/27/98	06/01/98 R	405.75	0.00	405.75	0.00	81149.88	0.00	0.00	0.00
			6431						
06/01/98	P	40574.94	81149.88	0.00	-40574.94	0.00	0.00	0.00	0.00
			PO2SUSP						
Annual Total:		43009.44	81149.88	2434.50	-40574.94		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		43415.19	40574.94	2840.25	-40574.94		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: -37734.69



**Investment Pay History****Reference**

M97-1101-PY NNU

Printed 02/18/13

Period of 01/01/90 - 12/31/12

**Additional information**

Regular pmt:	600.00	Int rate:	12.000 12.000	Acct closed:	06/01/98	Orig bal:	60000.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	11/01/97
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	11/01/00
Total pmt:	600.00	NSF fee:	0.00			Balloon:	60000.00
Ord/Day/LFee: 2/15	12.00						
Total if late:	612.00						

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
11/01/97		0.00	0.00	0.00	0.00	60000.00	0.00	0.00	0.00
11/01/97	P	-60000.00	-60000.00	0.00	0.00	120000.00	0.00	0.00	0.00
			FROMSUSP						
12/01/97	12/01/97 R	600.00	0.00	600.00	0.00	120000.00	0.00	0.00	0.00
			6130						
Annual Total:		600.00	-60000.00	600.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/98	01/01/98 R	600.00	0.00	600.00	0.00	120000.00	0.00	0.00	0.00
			6185						
01/31/98	02/01/98 R	600.00	0.00	600.00	0.00	120000.00	0.00	0.00	0.00
			6224						
02/27/98	03/01/98 R	600.00	0.00	600.00	0.00	120000.00	0.00	0.00	0.00
			6274						
03/31/98	04/01/98 R	600.00	0.00	600.00	0.00	120000.00	0.00	0.00	0.00
			6323						
04/30/98	05/01/98 R	600.00	0.00	600.00	0.00	120000.00	0.00	0.00	0.00
			6380						
05/27/98	06/01/98 R	600.00	0.00	600.00	0.00	120000.00	0.00	0.00	0.00
			6431						
06/01/98	P	60000.00	120000.00	0.00	-60000.00	0.00	0.00	0.00	0.00
			PO2SUSP						
Annual Total:		63600.00	120000.00	3600.00	-60000.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		64200.00	60000.00	4200.00	-60000.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: -55800.00

Regular pmt:	464.00	Int rate:	12.000	12.000	Acct closed:	06/01/98	Orig bal:	46400.00
Escrow pmt:	0.00	Int calc:		Fixed			Orig date:	11/01/97
Service fee:	0.00	Pmt type:	1099 T	USRule 365 M			Maturity:	11/01/02
Total pmt:	464.00	NSF fee:		0.00			Balloon:	46400.00
Ord/Day/LFee: 2/15	9.28							
Total if late:	473.28							

  

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----		
							Escrow	Late	Svc
11/01/97		0.00	0.00	0.00	0.00	46400.00	0.00	0.00	0.00
11/01/97	P	-46400.00	-46400.00	0.00	0.00	92800.00	0.00	0.00	0.00
			FROMSUSP						
12/01/97	12/01/97 R	464.00	0.00	464.00	0.00	92800.00	0.00	0.00	0.00
			6128						
Annual Total:		464.00	-46400.00	464.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/98	01/01/98 R	464.00	0.00	464.00	0.00	92800.00	0.00	0.00	0.00
			6185						
01/31/98	02/01/98 R	464.00	0.00	464.00	0.00	92800.00	0.00	0.00	0.00
			6224						
02/27/98	03/01/98 R	464.00	0.00	464.00	0.00	92800.00	0.00	0.00	0.00
			6274						
03/31/98	04/01/98 R	464.00	0.00	464.00	0.00	92800.00	0.00	0.00	0.00
			6323						
04/30/98	05/01/98 R	464.00	0.00	464.00	0.00	92800.00	0.00	0.00	0.00
			6380						
05/27/98	06/01/98 R	464.00	0.00	464.00	0.00	92800.00	0.00	0.00	0.00
			6431						
06/01/98	P	46400.00	92800.00	0.00	-46400.00	0.00	0.00	0.00	0.00
			PO2SUSP						
Annual Total:		49184.00	92800.00	2784.00	-46400.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		49648.00	46400.00	3248.00	-46400.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

  

Taxable: -43152.00	
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**Investment Pay History**

Reference

M97-1103-PY NNU

Printed 02/18/13 Period of 01/01/90 - 12/31/12

Additional information

Regular pmt:	300.00	Int rate:	12.000 12.000	Acct closed:	06/01/98	Orig bal:	30000.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	11/01/97
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	11/01/98
Total pmt:	300.00	NSF fee:	0.00			Balloon:	30000.00
Ord/Day/LFee: 2/15	6.00						
Total if late:	306.00						

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance -----		
							Escrow	Late	Svc
11/01/97		0.00	0.00	0.00	0.00	30000.00	0.00	0.00	0.00
11/01/97	P	-30000.00	-30000.00	0.00	0.00	60000.00	0.00	0.00	0.00
			FROMSUSP						
12/01/97	12/01/97 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6131						
Annual Total:		300.00	-30000.00	300.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/98	01/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6185						
01/31/98	02/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6224						
02/27/98	03/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6275						
03/31/98	04/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6323						
04/30/98	05/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6380						
05/27/98	06/01/98 R	300.00	0.00	300.00	0.00	60000.00	0.00	0.00	0.00
			6431						
06/01/98	P	30000.00	60000.00	0.00	-30000.00	0.00	0.00	0.00	0.00
			PO2SUSP						
Annual Total:		31800.00	60000.00	1800.00	-30000.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		32100.00	30000.00	2100.00	-30000.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: -27900.00

**Investment Pay History****Reference**

SM96-0130-PY NNU

Printed 02/18/13

Period of 01/01/90 - 12/31/12

**Additional information**

Regular pmt:	370.00	Int rate:	12.000 12.000	Acct closed:	11/01/97	Orig bal:	37000.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	01/30/96
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	02/01/99
Total pmt:	370.00	NSF fee:	0.00			Balloon:	37370.00
Ord/Day/LFee: 2/15	7.40						
Total if late:	377.40						

Code 1 : [SFR ] Code 2 : [2nd ] Code 3 : [ ]

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Late	Svc
01/30/96		0.00	0.00	0.00	0.00	37000.00	0.00	0.00	0.00
03/01/96	03/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			1563	7.10 AI					
04/01/96	04/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5018	7.10 AI					
05/01/96	05/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5050	7.10 AI					
05/31/96	06/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5088	7.10 AI					
06/28/96	07/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5130	7.10 AI					
08/01/96	08/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5167	7.10 AI					
08/28/96	09/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5208	7.10 AI					
09/30/96	10/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5247	7.10 AI					
10/31/96	11/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5287	7.10 AI					
11/29/96	12/01/96 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5324	7.10 AI					
Annual Total:		3700.00	0.00	3700.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/97	01/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5366	7.10 AI					
02/01/97	02/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5413	7.10 AI					
03/01/97	03/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5461	7.10 AI					
03/31/97	04/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5506	7.10 AI					
04/30/97	05/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5550	7.10 AI					
05/30/97	06/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5601	7.10 AI					

**Investment Pay History**

Reference

SM96-0130-PY NNU

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Printed 02/18/13

Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Escrow	Payment/Balance Late	----- Svc
07/01/97	07/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5650	7.10 AI					
07/29/97	08/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5701	7.10 AI					
08/29/97	09/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			5747	7.10 AI					
10/01/97	10/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			6034	7.10 AI					
10/31/97	11/01/97 R	370.00	0.00	370.00	0.00	37000.00	0.00	0.00	0.00
			6083	7.10 AI					
11/01/97	P	37007.10	37000.00	7.10	0.00	0.00	0.00	0.00	0.00
			PO2SUSP						
Annual Total:		41077.10	37000.00	4077.10	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		44777.10	37000.00	7777.10	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 7777.10

**Investment Pay History****Reference**

3M94-1042-PY NNU

Printed 02/18/13 Period of 01/01/90 - 12/31/12

**Additional information**

Regular pmt:	394.91	Int rate:	12.000 12.000	Acct closed:	11/07/96	Orig bal:	28000.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	12/30/94
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	01/01/00
Total pmt:	394.91	NSF fee:	0.00			Balloon:	18822.03
Ord/Day/LFee: 2/15	7.90						
Total if late:	402.81						

Code 1 : [COM ] Code 2 : [ ] Code 3 : [ ]

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	----- Payment/Balance ----- Escrow Late	Svc
12/30/94		0.00	0.00	0.00	0.00	28000.00	0.00 0.00	0.00
Annual Total:		0.00	0.00	0.00	0.00		0.00 0.00	0.00
Escrow Paid Out:							0.00	
01/04/95	02/01/95 R	394.91	91.13	303.78	0.00	27908.87	0.00 0.00	0.00
02/01/95	03/01/95 R	394.91	115.82	279.09	0.00	27793.05	0.00 0.00	0.00
			1185					
02/28/95	04/01/95 R	394.91	116.98	277.93	0.00	27676.07	0.00 0.00	0.00
			1198					
03/29/95	05/01/95 R	394.91	118.15	276.76	0.00	27557.92	0.00 0.00	0.00
			1222					
05/03/95	06/01/95 R	394.91	119.33	275.58	0.00	27438.59	0.00 0.00	0.00
			1248					
05/31/95	07/01/95 R	394.91	120.52	274.39	0.00	27318.07	0.00 0.00	0.00
			1274					
07/05/95	08/01/95 R	394.91	121.73	273.18	0.00	27196.34	0.00 0.00	0.00
			1326					
07/28/95	09/01/95 R	394.91	122.95	271.96	0.00	27073.39	0.00 0.00	0.00
			1302					
08/29/95	10/01/95 R	394.91	124.18	270.73	0.00	26949.21	0.00 0.00	0.00
			1360					
09/28/95	11/01/95 R	394.91	125.42	269.49	0.00	26823.79	0.00 0.00	0.00
			1395					
10/27/95	12/01/95 R	394.91	126.67	268.24	0.00	26697.12	0.00 0.00	0.00
			1428					
11/29/95	01/01/96 R	394.91	127.94	266.97	0.00	26569.18	0.00 0.00	0.00
			1459					
Annual Total:		4738.92	1430.82	3308.10	0.00		0.00 0.00	0.00
Escrow Paid Out:							0.00	
01/06/96	02/01/96 R	394.91	129.22	265.69	0.00	26439.96	0.00 0.00	0.00
			1504					
02/01/96	03/01/96 R	394.91	130.51	264.40	0.00	26309.45	0.00 0.00	0.00
			1529					
03/01/96	04/01/96 R	394.91	131.82	263.09	0.00	26177.63	0.00 0.00	0.00
			1563					

**Investment Pay History**

Reference

M94-1042-PY NNU

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Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
04/01/96	05/01/96 R	394.91	133.13 5018	261.78	0.00	26044.50	0.00	0.00	0.00
05/01/96	06/01/96 R	394.91	134.46 5050	260.45	0.00	25910.04	0.00	0.00	0.00
05/31/96	07/01/96 R	394.91	135.81 5088	259.10	0.00	25774.23	0.00	0.00	0.00
06/28/96	08/01/96 R	394.91	137.17 5130	257.74	0.00	25637.06	0.00	0.00	0.00
08/01/96	09/01/96 R	394.91	138.54 5167	256.37	0.00	25498.52	0.00	0.00	0.00
08/28/96	10/01/96 R	394.91	139.92 5208	254.99	0.00	25358.60	0.00	0.00	0.00
09/30/96	11/01/96 R	394.91	141.32 5247	253.59	0.00	25217.28	0.00	0.00	0.00
10/31/96	12/01/96 R	394.91	142.74 5287	252.17	0.00	25074.54	0.00	0.00	0.00
11/02/96	P	25074.54	25074.54 PAYOFF	0.00	0.00	0.00	0.00	0.00	0.00
Annual Total:		29418.55	26569.18	2849.37	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		34157.47	28000.00	6157.47	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 6157.47

**Investment Pay History**Reference

SM95-0301-PY NNU

Printed 02/18/13 Period of 01/01/90 - 12/31/12

Additional information

Regular pmt:	600.00	Int rate:	12.000 12.000	Acct closed:	01/01/97	Orig bal:	30000.00
Escrow pmt:	0.00	Int calc:	Fixed			Orig date:	03/01/95
Service fee:	0.00	Pmt type:	1099 T USRule 365 M			Maturity:	12/06/98
Total pmt:	600.00	NSF fee:	0.00			Balloon:	60000.00
Ord/Day/LFee: 2/15	12.00						
Total if late:	612.00						

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
03/01/95		0.00	0.00	0.00	0.00	30000.00	0.00	0.00	0.00
03/29/95	04/01/95 R	360.05	60.05 1222	300.00	0.00	29939.95	0.00	0.00	0.00
05/03/95	05/01/95 R	360.05	60.65 1248	299.40	0.00	29879.30	0.00	0.00	0.00
05/31/95	06/01/95 R	360.05	61.26 1274	298.79	0.00	29818.04	0.00	0.00	0.00
07/05/95	07/01/95 R	360.05	61.87 1326	298.18	0.00	29756.17	0.00	0.00	0.00
07/28/95	08/01/95 R	360.05	62.49 1302	297.56	0.00	29693.68	0.00	0.00	0.00
08/29/95	09/01/95 R	360.05	63.11 1360	296.94	0.00	29630.57	0.00	0.00	0.00
09/28/95	10/01/95 R	360.05	63.74 1395	296.31	0.00	29566.83	0.00	0.00	0.00
10/27/95	11/01/95 R	360.05	64.38 1428	295.67	0.00	29502.45	0.00	0.00	0.00
11/29/95	12/01/95 R	360.05	65.03 1459	295.02	0.00	29437.42	0.00	0.00	0.00
12/06/95	P	-30000.00	-30000.00 2079	0.00 48.39 AI	0.00	59437.42	0.00	0.00	0.00

Annual Total:	3240.45	-29437.42	2677.87	0.00			0.00	0.00	0.00
Escrow Paid Out:							0.00		

01/06/96	01/01/96 R	600.00	43.54 1506	556.46	0.00	59393.88	0.00	0.00	0.00
01/06/96	P	-606.12	-606.12 2095\$60K	0.00	0.00	60000.00	0.00	0.00	0.00
02/01/96	02/01/96 R	600.00	0.00 1529	600.00	0.00	60000.00	0.00	0.00	0.00
03/01/96	03/01/96 R	600.00	0.00 1563	600.00	0.00	60000.00	0.00	0.00	0.00
04/01/96	04/01/96 R	600.00	0.00 5018	600.00	0.00	60000.00	0.00	0.00	0.00
05/01/96	05/01/96 R	600.00	0.00 5050	600.00	0.00	60000.00	0.00	0.00	0.00



**Investment Pay History**

Reference

M95-0301-PY NNU

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Period of 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	RetCap/Ref	Income	DiscEarn	Basis	Escrow	Payment/Balance Late	Svc
05/31/96	06/01/96 R	600.00	0.00 5088	600.00	0.00	60000.00	0.00	0.00	0.00
06/28/96	07/01/96 R	600.00	0.00 5130	600.00	0.00	60000.00	0.00	0.00	0.00
08/01/96	08/01/96 R	600.00	0.00 5167	600.00	0.00	60000.00	0.00	0.00	0.00
08/28/96	09/01/96 R	600.00	0.00 5208	600.00	0.00	60000.00	0.00	0.00	0.00
09/30/96	10/01/96 R	600.00	0.00 5247	600.00	0.00	60000.00	0.00	0.00	0.00
10/31/96	11/01/96 R	600.00	0.00 5287	600.00	0.00	60000.00	0.00	0.00	0.00
11/29/96	12/01/96 R	600.00	0.00 5324	600.00	0.00	60000.00	0.00	0.00	0.00
Annual Total:		7200.00	-562.58	7156.46	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/97	01/01/97 R	600.00	0.00 5366	600.00	0.00	60000.00	0.00	0.00	0.00
01/01/97	P	60000.00	60000.00 PAYOFF	0.00	0.00	0.00	0.00	0.00	0.00
Annual Total:		60600.00	60000.00	600.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		71040.45	30000.00	10434.33	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Taxable: 10434.33

**Payment History****Reference**

SM97-0101-PY NNU

Printed: 02/18/13

Period: 01/01/90 - 12/31/12

**Additional information**

Regular pmt:	600.00	Int rate:	12.000	Acct closed:	11/01/97	Orig bal:	60000.00
Escrow pmt :	0.00	Int calc:	Fixed			Orig date:	01/01/97
Service fee:	0.00	Pmt type:	USRule 365 M			Maturity:	01/01/02
Total pmt:	600.00	NSF fee:	0.00			Balloon:	60600.00
Ord/Day/LFee: 2/15	12.00						
Total if late:	612.00						

Pay Date	Due/Type	Amount	Applied/Ref	Interest	Principal	Balance	Payment/Balance		
							Escrow	Late	Svc
01/01/97		0.00	0.00	0.00	0.00	60000.00	0.00	0.00	0.00
02/01/97	02/01/97 R	600.00	600.00 5413	600.00	0.00	60000.00	0.00	0.00	0.00
03/01/97	03/01/97 R	600.00	600.00 5461	600.00	0.00	60000.00	0.00	0.00	0.00
03/31/97	04/01/97 R	600.00	600.00 5506	600.00	0.00	60000.00	0.00	0.00	0.00
04/30/97	05/01/97 R	600.00	600.00 5550	600.00	0.00	60000.00	0.00	0.00	0.00
05/30/97	06/01/97 R	600.00	600.00 5601	600.00	0.00	60000.00	0.00	0.00	0.00
07/01/97	07/01/97 R	600.00	600.00 5650	600.00	0.00	60000.00	0.00	0.00	0.00
07/29/97	08/01/97 R	600.00	600.00 5701	600.00	0.00	60000.00	0.00	0.00	0.00
08/29/97	09/01/97 R	600.00	600.00 5747	600.00	0.00	60000.00	0.00	0.00	0.00
10/01/97	10/01/97 R	600.00	600.00 6034	600.00	0.00	60000.00	0.00	0.00	0.00
10/31/97	11/01/97 R	600.00	600.00 6083	600.00	0.00	60000.00	0.00	0.00	0.00
11/01/97	P	60000.00	60000.00 PO2SUSP	0.00	60000.00	0.00	0.00	0.00	0.00
Annual Total:		66000.00		6000.00	60000.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Report Total:		66000.00		6000.00	60000.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
Balances:						0.00	0.00	0.00	0.00

Payment types: R: Regular; r: Part pmt; P: Principal; L: Late; S: Service; E, T, I: Escrow, Tax, Ins; F: Returned chk or reversal; J: Adjustment; AI: Accrued Interest  
 Financial counseling is available at [www.HUD.gov](http://www.HUD.gov). Choose Resources, then HUD Approved Housing Counseling Agencies.

**Payment History****Reference**

38-0601SM-PY NNU

Printed: 02/18/13

Period: 01/01/90 - 12/31/12

**Additional information**

Regular pmt:	2570.00	Int rate:	12.000	Acct closed:	08/01/01	Orig bal:	257000.00
Escrow pmt :	0.00	Int calc:	Fixed			Orig date:	06/01/98
Service fee:	0.00	Pmt type:	USRule 365 M			Maturity:	06/01/03
Total pmt:	2570.00	NSF fee:	0.00				
Ord/Day/LFee: 2/15	51.40						
Total If late:	2621.40						

Pay Date	Due/Type	Amount	Applied/Ref	Interest	Principal	Balance	Escrow	Payment/Balance Late	Svc
06/01/98		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
06/01/98	P	-257000.00	0.00	0.00	-257000.00	257000.00	0.00	0.00	0.00
			FROMSUSP						
06/30/98	07/01/98 R	2570.00	2570.00 6489	2570.00	0.00	257000.00	0.00	0.00	0.00
07/31/98	08/01/98 R	2570.00	2570.00 6551	2570.00	0.00	257000.00	0.00	0.00	0.00
09/01/98	09/01/98 R	2570.00	2570.00 6612	2570.00	0.00	257000.00	0.00	0.00	0.00
09/29/98	10/01/98 R	2570.00	2570.00 6674	2570.00	0.00	257000.00	0.00	0.00	0.00
10/29/98	11/01/98 R	2570.00	2570.00 6736	2570.00	0.00	257000.00	0.00	0.00	0.00
11/30/98	12/01/98 R	2570.00	2570.00 6791	2570.00	0.00	257000.00	0.00	0.00	0.00
Annual Total:		15420.00		15420.00	-257000.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/04/99	01/01/99 R	2570.00	2570.00 6848	2570.00	0.00	257000.00	0.00	0.00	0.00
02/01/99	02/01/99 R	2570.00	2570.00 6911	2570.00	0.00	257000.00	0.00	0.00	0.00
03/01/99	03/01/99 R	2570.00	2570.00 6968	2570.00	0.00	257000.00	0.00	0.00	0.00
03/30/99	04/01/99 R	2570.00	2570.00 7039	2570.00	0.00	257000.00	0.00	0.00	0.00
04/29/99	05/01/99 R	2570.00	2570.00 7093	2570.00	0.00	257000.00	0.00	0.00	0.00
06/01/99	06/01/99 R	2570.00	2570.00 7150	2570.00	0.00	257000.00	0.00	0.00	0.00
07/01/99	07/01/99 R	2570.00	2570.00 7212	2570.00	0.00	257000.00	0.00	0.00	0.00
08/02/99	08/01/99 R	2570.00	2570.00 7267	2570.00	0.00	257000.00	0.00	0.00	0.00
09/01/99	09/01/99 R	2570.00	2570.00 7328	2570.00	0.00	257000.00	0.00	0.00	0.00
10/01/99	10/01/99 R	2570.00	2570.00 7392	2570.00	0.00	257000.00	0.00	0.00	0.00

## Payment History

Reference

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Printed: 02/18/13

Period: 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	Applied/Ref	Interest	Principal	Balance	Escrow	Payment/Balance Late	Svc
11/01/99	11/01/99 R	2570.00	2570.00 7458	2570.00	0.00	257000.00	0.00	0.00	0.00
11/30/99	12/01/99 R	2570.00	2570.00 7522	2570.00	0.00	257000.00	0.00	0.00	0.00
Annual Total:		30840.00		30840.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/04/00	01/01/00 R	2570.00	2570.00 7589	2570.00	0.00	257000.00	0.00	0.00	0.00
01/31/00	02/01/00 R	2570.00	2570.00 7653	2570.00	0.00	257000.00	0.00	0.00	0.00
03/01/00	03/01/00 R	2570.00	2570.00 7721	2570.00	0.00	257000.00	0.00	0.00	0.00
03/31/00	04/01/00 R	2570.00	2570.00 7789	2570.00	0.00	257000.00	0.00	0.00	0.00
05/01/00	05/01/00 R	2570.00	2570.00 7856	2570.00	0.00	257000.00	0.00	0.00	0.00
06/01/00	06/01/00 R	2570.00	2570.00 7932	2570.00	0.00	257000.00	0.00	0.00	0.00
07/01/00	07/01/00 R	2570.00	2570.00 7991	2570.00	0.00	257000.00	0.00	0.00	0.00
07/28/00	08/01/00 R	2570.00	2570.00 8062	2570.00	0.00	257000.00	0.00	0.00	0.00
09/01/00	09/01/00 R	2570.00	2570.00 8127	2570.00	0.00	257000.00	0.00	0.00	0.00
09/29/00	10/01/00 R	2570.00	2570.00 8194	2570.00	0.00	257000.00	0.00	0.00	0.00
10/31/00	11/01/00 R	2570.00	2570.00 8259	2570.00	0.00	257000.00	0.00	0.00	0.00
11/30/00	12/01/00 R	2570.00	2570.00 8328	2570.00	0.00	257000.00	0.00	0.00	0.00
Annual Total:		30840.00		30840.00	0.00		0.00	0.00	0.00
Escrow Paid Out:							0.00		
01/01/01	01/01/01 R	2570.00	2570.00 8398	2570.00	0.00	257000.00	0.00	0.00	0.00
02/01/01	02/01/01 R	2570.00	2570.00 8467	2570.00	0.00	257000.00	0.00	0.00	0.00
03/01/01	03/01/01 R	2570.00	2570.00 8538	2570.00	0.00	257000.00	0.00	0.00	0.00
03/08/01	P	100000.00	100000.00 2148	591.45	99408.55	157591.45	0.00	0.00	0.00
03/30/01	04/01/01 R	1834.91	1834.91 8614	1243.46	591.45	157000.00	0.00	0.00	0.00
05/01/01	05/01/01 R	1570.00	1570.00 8691	1570.00	0.00	157000.00	0.00	0.00	0.00
05/31/01	06/01/01 R	1570.00	1570.00 8772	1570.00	0.00	157000.00	0.00	0.00	0.00
06/28/01	P	100000.00	100000.00 PO2SUSP	1393.64	98606.36	58393.64	0.00	0.00	0.00
06/29/01	07/01/01 R	1451.23	1451.23 8856	57.59	1393.64	57000.00	0.00	0.00	0.00

**Payment History**

Reference

-0601SM-PY NNU

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Printed: 02/18/13

Period: 01/01/90 - 12/31/12

Pay Date	Due/Type	Amount	Applied/Ref	Interest	Principal	Balance	Escrow	Payment/Late	Balance	Svc
07/30/01	08/01/01 R	570.00	570.00	570.00	0.00	57000.00	0.00	0.00		0.00
			8938							
08/01/01	Y	57000.00	57000.00	0.00	57000.00	0.00	0.00	0.00		0.00
			PO2SUSP							
Annual Total:		271706.14		14706.14	257000.00		0.00	0.00		0.00
Escrow Paid Out:							0.00			
Report Total:		348806.14		91806.14	0.00		0.00	0.00		0.00
Escrow Paid Out:							0.00			
Balances:						0.00	0.00	0.00		0.00

Payment types: R: Regular; r: Part pmt; P: Principal; L: Late; S: Service; E, T, I: Escrow, Tax, Ins; F: Returned chk or reversal; J: Adjustment; AI: Accrued Interest  
 Financial counseling is available at [www.HUD.gov](http://www.HUD.gov). Choose Resources, then HUD Approved Housing Counseling Agencies.

JS 44 (Rev. 12/12)

**CIVIL COVER SHEET**

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

**I. (a) PLAINTIFFS**

R. Wayne Klein, as Receiver

(b) County of Residence of First Listed Plaintiff Salt Lake  
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number)

Peggy Hunt  
Dorsey & Whitney, LLP  
136 South Main Street #1000, SLC, UT 84101; 801-933-7360

**DEFENDANTS**

SENOR MORTENSEN, individually and on behalf of the Mortensen Family Trust, THE MORTENSEN FAMILY TRUST, a trust organized under the law of the State of Utah, and JOHN DOES 1-5,

County of Residence of First Listed Defendant Tooele  
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

**II. BASIS OF JURISDICTION** (Place an "X" in One Box Only)

- ☐ 1 U.S. Government Plaintiff
- ☒ 3 Federal Question (U.S. Government Not a Party)
- ☐ 2 U.S. Government Defendant
- ☐ 4 Diversity (Indicate Citizenship of Parties in Item III)

**III. CITIZENSHIP OF PRINCIPAL PARTIES** (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- |   | PTF                        | DEF                        |   | PTF                        | DEF                        |
|---|----------------------------|----------------------------|---|----------------------------|----------------------------|
| Citizen of This State                   | <input type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State     | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State                | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation  | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

**IV. NATURE OF SUIT** (Place an "X" in One Box Only)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input checked="" type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	<b>PERSONAL INJURY</b> <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	<b>PERSONAL INJURY</b> <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability <b>PERSONAL PROPERTY</b> <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other <b>LABOR</b> <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act <b>IMMIGRATION</b> <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 <b>PROPERTY RIGHTS</b> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark <b>SOCIAL SECURITY</b> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) <b>FEDERAL TAX SUITS</b> <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes
<b>REAL PROPERTY</b> <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	<b>CIVIL RIGHTS</b> <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 448 Education	<b>PRISONER PETITIONS</b> <b>Habeas Corpus:</b> <input type="checkbox"/> 463 Alien Detainee <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty <b>Other:</b> <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <input type="checkbox"/> 560 Civil Detainee - Conditions of Confinement			

**V. ORIGIN** (Place an "X" in One Box Only)

- ☒ 1 Original Proceeding
- ☐ 2 Removed from State Court
- ☐ 3 Remanded from Appellate Court
- ☐ 4 Reinstated or Reopened
- ☐ 5 Transferred from Another District (specify)
- ☐ 6 Multidistrict Litigation

**VI. CAUSE OF ACTION**

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):  
28 U.S.C. § 754

Brief description of cause:

Recovery of the value of transfers from Defendant for the benefit of the receivership estate.

**VII. REQUESTED IN COMPLAINT:**

☐ CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P.

DEMAND \$

537,061.09

CHECK YES only if demanded in complaint:

JURY DEMAND: ☐ Yes ☒ No**VIII. RELATED CASE(S) IF ANY**

(See instructions):

JUDGE Jenkins

DOCKET NUMBER 2:12-cv-00591

DATE

6/20/13

SIGNATURE OF ATTORNEY OF RECORD

FOR OFFICE USE ONLY

RECEIPT #

AMOUNT

APPLYING IFP

JUDGE

MAG. JUDGE