

INVESTOR QUESTIONNAIRE

US VENTURES AND WINSOME INVESTMENT TRUST

February 24, 2011

Instructions for Completing the Form

1. Additional pages, retain a copy Attach additional sheets to this form if necessary to give complete answers. Please do not write on the backs of these pages. Keep a copy of the completed forms for your records.
2. How this information will be used Please note that the information communicated by you to the Receiver might be used in court filings. In such an event, personally-identifiable information, such as social security numbers and bank account numbers, will be redacted (deleted) from any court filing. The information you provide will be used by the Receiver in administering the Receivership effort, including the resolution of claims. Information likely will be shared with the court and with regulatory and law enforcement agencies. To reduce the expenses of the Receivership and to prevent misunderstandings, all communications to the Receiver should be in writing.
3. Third Parties The questionnaire asks for information about transactions with third parties. Many investors did not deal directly with Winsome or US Ventures, but gave their investment funds to another person or an entity. These other entities may have pooled the funds and sent them on to Winsome or US Ventures or acted as intermediaries to gather investments for Winsome or US Ventures. When the questionnaire asks about third parties, provide information about the entity to which you paid your money.
4. Accuracy of information The response must be signed under penalties of perjury. Please make sure the information is accurate.
5. Questions If you have questions about how to complete this form, consult with your own attorney or other adviser. You are encouraged to secure advice from legal and tax professionals regarding the tax treatment of this investment and how your individual situation will be affected by the Receivership.

Information About You

Investor Name	
Joint Investor (if any)	
If your investment was in a business name, identify the business name.	
Social Security Number	
Street Address	
City, State, Zip	
Home Telephone Number	
Mobile Telephone Number	
E-mail Address	
Is e-mail communication to you O.K.?	
Is your net worth more than \$5 million?	

Money You Sent to Winsome or US Ventures Please provide information about each time you sent money to Winsome, US Ventures, or a third party for an investment in Winsome or US Ventures. If your payment went to a third party, list them in the fourth column.

Date	Amount	Name of Your Bank	Payable to Whom	How Paid (check, wire)	Sent to What Bank
Total					

Money You Received from Winsome or US Ventures List all payments you received from Winsome, US Ventures, or a third party (whether it was profit or a withdrawal of investment).

Date	Amount	Name of Your Bank	Sent From Which Entity	How Paid (check, wire)	Received From What Bank
Total					

Who Did You Deal With? Please provide information about the person(s) you dealt with, including whether you dealt directly with Winsome/US Ventures, or whether you dealt with a third party who introduced you to Winsome/US Ventures.

Did you deal directly with:	Yes	No	Name of Person You Dealt With
Winsome?			
US Ventures?			
A third party? If so, list the name and address of the entity, and the person you dealt with at the entity.			
If a third party, did the third party receive a commission or share of your profits?			
What direct contact did you have with Andres or Holloway?			
Describe any communications you have had with Andres or Holloway since January 25, 2011.			
Identify the employees and officers of Winsome.			

Identify the employees and officers of US Ventures.			
Did you introduce others to Winsome or US Ventures? If so, list the investors.			
Did you receive a commission or share of the other investor's profits? ¹			

Information About Your Investment?

Was your investment in Winsome?	
Was your investment in US Ventures?	
When did you first hear about the investment opportunity?	
How did you first hear about the investment? (such as an advertisement or a referral from another person?) If a person, who and when?	
What did you understand you were investing in?	
What documents did you receive <i>before</i> you invested?	
Who gave (or sent) these documents to you?	
Do you still have these documents? ²	
Did you attend any meetings or seminars about the investment before you invested? If so, please indicate when the meeting was held, where it was held, how many others were at the meeting, and who spoke at the meeting.	
Why did you invest?	
What returns or profits did you expect?	
What were you told about the risks associated with your investment?	
What were you told about the trading history of Winsome and/or US Ventures?	
What were you told about profits and losses that would be earned by Winsome and/or US Ventures in the future?	
Was it your understanding that your funds would be segregated (traded separately) or that your funds would be pooled with money from other investors?	
What did you understand your money would be invested in?	
Was the return of your principal ever guaranteed?	

¹ If you received commissions or a share of profits, provide a separate sheet listing the commissions or share of profits you received for each investor you introduced to the investment program.

² You may be asked to provide these at a later date.

Were profits ever guaranteed?	
Did you participate in a "guaranteed" program?	
Were you told that your investment was secured by any assets? If so, describe what you were told, by whom, and what assets secured your investment.	
Did you receive account statements? If so, who were the reports from?	
If you received account statements, how often were trading losses reported?	
What was the date of the last account statement you received regarding your investment?	

Joint Venture Business Agreement

What is the title of your investment document (sometimes called "Agreement" or "Joint Venture Business Agreement")?	
In your agreement, who is listed as Party A?	
If there is a party A-1, who is listed?	
Who is listed as Party B?	
If there is a Party B-2, who is listed?	

Other

What is the amount you last believed your investment was worth, counting net investments and promised interest?	
What documents do you have supporting your belief of the amount owed to you?	
What was the source of the funds you invested (eg., savings, home equity loan, other borrowings, sale of other investments, etc.)?	
Were you ever an employee or partner of Winsome, US Ventures, Andres, or Holloway?	
Were you ever an employee or partner of any other company owned, controlled, or managed by Andres or Holloway?	
Are you related by blood or marriage to Andres or Holloway? Is so, how?	
Are you aware of the location of any assets of Andres, Holloway, Winsome, or US Ventures? If so, describe the assets and the location.	
What other information should the Receiver or the CFTC know about this case?	

Signatures

	Signature	Printed Name
I/we declare, under penalty of perjury, that the answers provided in this form are truthful and complete and not misleading.		
Joint investor/account holder		
Title/office of signer, if the investment was in a company name.		
Date of signature(s)		

Mailing Instructions, Deadline

The completed form should be sent to the Receiver **by March 21, 2011**. The completed form can be sent by mail or e-mail (either as a scanned document or as a Word document). Mailed forms should be sent to:

Wayne Klein, Receiver for US Ventures/Winsome
299 South Main, Suite 1300
Salt Lake City, UT 84111

E-mailed forms should be sent to kwilliams@kleinutah.com.