Jeffery J. Owens, #10973 Owens Law Firm, PLLC 299 South Main St., Suite 1300 Salt Lake City, Utah 84111 Telephone: (801) 535-4600 Facsimile: (801) 734-8950 jeff@owenslf.com Attorney for Defendant Roberto E. Penedo

IN THE UNITED STATES DISTRICT COURT IN AND FOR

THE DISTRICT OF UTAH, CENTRAL DIVISION

U.S. COMMODITY FUTURES TRADING COMMISSION,

Plaintiff,

U.S. VENTURES LC, et al.,

Defendants.

NOTICE OF APPEAL

Case No. 2:11-CV-0099-BSJ

Judge Bruce S. Jenkins

Notice is hereby given that Claimant ROBERTO E. PENEDO in the above-named case hereby appeals to the United States Court of Appeals for the Tenth Circuit from the Findings of Fact, Conclusions of Law, and Order Regarding Claim No. 1117 (Document 357) entered in this action on June 6, 2014.

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v.

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DATED this 30th day of June, 2014.

/s/ Jeffery J. Owens____

Jeffery J. Owens Owens Law Firm, PLLC Attorney for Defendant/Appellant Roberto E. Penedo

CERTIFICATE OF SERVICE

I hereby certify that on the 30th day of June, 2014, a true and correct copy of the foregoing **NOTICE OF APPEAL** was served by the method indicated below, to the following:

David C. Castleberry Christopher M. Glauser MANNING CURTIS BRADSHAW & BEDNAR, LLC 136 East South Temple, Suite 1300 Salt Lake City, Utah 84111

- () U.S. Mail, Postage Prepaid
- () Hand Delivered
- () Overnight Mail
- () Facsimile
- (X) Email/E-Filer

/s/ Jeffery J. Owens______ Jeffery J. Owens